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Catchment-scale distribution of radiocesium air dose rate in a mountainous deciduous forest and its relation to topography

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## Highlights (3 to 5 bullet points, maximum 85 characters per bullet point)

- Spatial variation in air dose rates in a mountainous area was investigated
- Measurements obtained by KURAMA-II, NaI detectors, and airborne surveys were compared
- Radiocesium deposition was greater on ridges than in valley bottoms
- Elevation and slope aspect strongly affected air dose rates

# Catchment-scale distribution of radiocesium air dose rate in a mountainous deciduous forest and its relation to topography

3

#### 4 Abstract

 $\mathbf{5}$ A large number of air dose rate measurements were collected by walking through a 6 mountainous area with a small gamma-ray survey system, KURAMA-II. The data were 7 used to map the air dose rate of a mountainous deciduous forest that received 8 radiocesium from the Fukushima Dai-ichi Nuclear Power Plant accident. Measurements were conducted in a small stream catchment (0.6 km<sup>2</sup> in area) in August and September 9 10 2013, and the relationship between air dose rates and the mountainous topography was 11 examined. Air dose rates increased with elevation, indicating that more radiocesium was 12deposited on ridges, and suggesting that it had remained there for 2.5 y with no 13significant downslope migration by soil erosion or water drainage. Orientation in 14relation to the dominant winds when the radioactive plume flowed to the catchment also 15strongly affected the air dose rates. Based on our continuous measurements using the 16 KURAMA-II, we describe the variation in air dose rates in a mountainous forest area 17and suggest that it is important to consider topography when determining sampling 18points and resolution to assess the spatial variability of dose rates and contaminant 19deposition.

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21 Key words

22 Air dose rate, Mountainous forest area, KURAMA-II, Fukushima Dai-ichi NPP

23 accident, Radiocesium deposition

#### 25 **1. Introduction**

26Most of the area contaminated by radioactive matter from the Fukushima 27Dai-ichi Nuclear Power Plant (FDNPP) accident is mountainous and forested (~70%) 28(Hashimoto et al., 2012). Understanding the variation in air dose rates in such areas is 29essential for effective management of dose exposure for nearby residents and forest 30 workers. It is also important to consider levels of contamination in the context that 31forests provide recreation and wild food products. Air dose rate and radionuclide 32deposition mapping has been mainly implemented for residential areas within the 100 33 km zone of the FDNPP since the accident (Mikami et al., 2015a and 2015b; Saito, 2014; 34Saito and Onda, 2015; Saito et al., 2015). Airborne monitoring using aircraft has also 35been conducted in the zone including mountainous forests (Nuclear Regulation Authority, Japan, 2013; Sanada et al., 2013 and 2014). In addition, detailed studies have 36 investigated radiocesium (137Cs and 134Cs) deposition and migration processes on a 37 38 small spatial scale within forests (e.g., Kato et al., 2012; Koarashi et al., 2012a and 39 2012b; Matsunaga et al, 2013). However, few studies have assessed the spatial 40distribution of radiocesium on a catchment scale in extensive mountainous forest areas affected by the FDNPP accident. 41

Studies that have examined global fallout from nuclear weapons tests and fallout from the Chernobyl NPP accident have indicated that <sup>137</sup>Cs distributions in alpine regions are highly heterogeneous because of the complex topography (Albers et al., 1998; Agnesod et al., 2001; Machart et al., 2007; Schaub et al., 2010). Schaub et al. (2010) compared *in situ* soil measurements in the field (using a NaI scintillation detector) with soil measurements in the laboratory (using a GeLi detector) and concluded that the use of small NaI detectors is effective for estimating <sup>137</sup>Cs concentration in soil in steep alpine terrain with limited accessibility. Plamboeck et al. (2006) mapped the distribution of <sup>137</sup>Cs in a boreal forest using a portable NaI detector connected to a Global Positioning System (GPS) and demonstrated that the detector system has potential for evaluating <sup>137</sup>Cs inventories and detecting anomalies over large areas.

In the present study, we evaluated the catchment-scale distribution of 5455radiocesium air dose rates in a mountainous deciduous forest contaminated by the 56FDNPP accident and its relation to topography. To achieve this, we employed two field 57measurement methods: (1) continuous measurement while walking through a mountainous area with a KURAMA-II, a system consisting of a small highly sensitive 5859CsI(Tl) scintillation detector and a GPS device, which was originally developed for 60 car-borne radiation surveys (Andoh et al., 2015; Tsuda et al., 2015), and (2) grid-based 61 systematic measurement with a NaI survey meter. Geostatistical analysis was performed 62 on the measurement data to assess the spatial structure of the air dose rate, which 63 allowed us to estimate values at locations where no measurements were carried out and 64 consequently to produce a map for the catchment-scale distribution of air dose rate 65 (Mabit and Bernard, 2007). In addition, the air dose rate data measured by the two 66 methods were compared to each other and to the airborne monitoring data previously 67 reported for the catchment to assess the effectiveness of the methods.

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#### 69 2. Materials and methods

70 2.1. Study area

71This study was conducted in the catchment area of a mountain stream 72(36.93°N, 140.58°E) in Ibaraki Prefecture, Japan. The site is located ~70 km southwest of the FDNPP and was affected by radioactive fallout from the FDNPP accident at a 73level of 10–60 kBq m<sup>-2</sup> of <sup>137</sup>Cs deposition according to an airborne monitoring survey 74(Ministry of Education, Culture, Sports, Science, and Technology, Japan). Several 75studies have been conducted in the catchment since the accident: <sup>137</sup>Cs vertical 76migration in soil by seepage water (Nakanishi et al., 2014), fluvial discharge of <sup>137</sup>Cs 7778via the stream (Matsunaga et al., 2014), and the effects of topography on the redistribution of <sup>137</sup>Cs on the forest floor (Koarashi et al., 2014). Furukawa and 7980 Shingaki (2012) reported that the gannma radiation dose rate before the FDNPP accident around this study site was  $40-50 \text{ nGy h}^{-1}$ . 81

82 The catchment is located in a temperate forest, dominated by broad-leaved 83 deciduous trees such as Japanese beech (Fagus crenata) and Japanese oak (Quercus 84 serrata). The trees were leafless in March 2011 when the FDNPP accident occurred. The forest catchment covers an area of 0.6 km<sup>2</sup> with an elevation ranging from 588 to 85 86 724 m (Abe et al., 2011). The mean slope angle is 16.5° (range: 0-40°). The mountain 87 stream, which flows into the Shitoki River and belongs to the Same River Basin, flows 88 through the catchment area from northwest to southeast. The mean annual temperature 89 and precipitation are 10.7°C and 1910 mm, respectively (Mizoguchi et al., 2002).

#### 91 2.2. Air dose rate measurements

92 2.2.1. Grid-based systematic measurement with a NaI survey meter

93 The catchment was divided into 150 m (north-south) × 120 m (east-west) 94rectangular grids, and air dose rates were measured at roughly the center of each of the 95 grids, using a NaI survey meter (TCS-172B, Hitachi-Aloka Medical, Ltd., Tokyo, 96 Japan). The NaI survey meter and the CsI(Tl) scintillation detector incorporated into the 97 KURAMA-II (see the next subsection 2.2.2) are able to accurately measure the ambient 98 dose equivalent rates even if the photon spectrum varies, by utilizing a spectrum-dose 99 conversion function (G[E] function) (Tsuda et al., 2015; Saito et al., 2015). In this paper 100 the obtained value is represented as the "air dose rate". There were 42 measurement 101 points in total: 41 inside the catchment and 1 outside the catchment. The air dose rates 102were measured at heights of 0.05 m and 1 m. It took 6 d (August 1, 2, 7 and 8, and 103 September 8 and 10, 2013) to complete the measurements.

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105 2.2.2. Continuous measurement while walking with a KURAMA-II system

106 The KURAMA-II system (Hamamatsu Photonics K.K., Tokyo, Japan) is a 107 GPS-aided mobile radiation monitoring system developed by the Kyoto University 108 Research Reactor Institute (KURRI). Details are reported in Andoh et al. (2015) and 109 Tsuda et al. (2015). For the grid-based systematic measurements, a researcher carried 110 the KURAMA-II unit on a backpack frame while walking through the mountainous area. 111 The CsI(TI) scintillation detector was set to ~1 m above the ground, collecting air dose 112 rate data and the corresponding GPS locations every 3 s. The statistical error in the air

dose rate measurements was ~20% of the background level ( $< 0.1 \ \mu Sv \ h^{-1}$ ) (Tsuda et al., 1131142015). The shielding effect due to the case, backpack frame, and the carrier's body was 115confirmed to be negligible by comparison of data collected with and without the shields. 116 After collecting the data, we extracted 3797 data points for analysis in the 117following ways: (1) after retaining the first value collected, all extra data collected at the 118same latitude and longitude were excluded, and (2) the catchment was divided into 5 m 119grid squares, and the value nearest to the center of each grid was selected as a 120representative data value for that grid.

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122 2.3. Geostatistical analysis

123 2.3.1. Topography

Elevation data from the 50 m mesh numerical topographic map published by the Geospatial Information Authority of Japan were used to design a contour map of the catchment and to calculate the slope aspect (octas) and angle of each 10 m square grid. We created a 2D contour map and a 3D surface map using Surfer® 12 (Golden Software, Inc., Golden, CO, USA). The 3D surface map is lit from the east to visualize the relationship between aspect and air dose rates (see Results).

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131 2.3.2. Distribution of air dose rates

Based on the KURAMA-II data, we used the Kriging method to extrapolate air dose rates in new locations within the catchment (Mabit and Bernard, 2007). An experimental variogram (or semi-variogram) was used in an autocorrelation analysis to evaluate the spatial dependence of the values. The variogram  $\{\gamma(h)\}\$  is a function of separation distance (lag distance, h):

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$$\gamma(h) = \frac{1}{2N(h)} \sum_{i=1}^{N(h)} \{Z(x_i) - Z(x_i + h)\}^2$$
(1)

where N(h) is the number of pairs of observations separated by a distance h, and  $Z(x_i)$  and  $Z(x_i+h)$  are the measured values at points  $x_i$  and  $x_i+h$ . The model best fitted to the variograms was used with the Kriging method. The variogram analysis and resulting contour map with Kriging were conducted using Surfer® 12.

142

#### 143 **3. Results**

144 3.1. Spatial distribution of air dose rates

145Figure 1(a) shows a 2D contour map of the study area, and Fig. 1 (b) and 1(c) 146 show the spatial distributions of air dose rates measured by the NaI survey meter (at a 147height of 1 m above the ground) and KURAMA-II, respectively. Both values obtained 148 at the same measurement points were similar. The western area of the catchment across 149 the river generally had higher air dose rates than the eastern area. Table 1 shows the air 150dose rates measured by the NaI survey meter (1 m height) and KURAMA-II, which were 0.12–0.52 (mean  $\pm$  SD, 0.22  $\pm$  0.08)  $\mu$ Sv h<sup>-1</sup> and 0.06–0.59 (0.19  $\pm$  0.07)  $\mu$ Sv h<sup>-1</sup>, 151respectively. 152

Figure 2 shows box plots of air dose rates measured using the KURAMA-II within each of the 150 m  $\times$  120 m rectangular grids, as well as NaI survey data. The air dose rates varied widely in each grid. The NaI values deviated from the interquartile ranges 156 (25th to 75th percentile) of KURAMA-II data for more than half of the grids (23 out of 157 41). The NaI survey data taken at heights of 1 m and 0.05 m showed a linear 158 relationship (Fig. 3, correlation coefficient r = 0.84, p < 0.001, n = 41).

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160 3.2. Relationship between air dose rates and topography

Figure 4 shows dot plots of air dose rates measured by KURAMA-II for each octas slope aspect. Higher values were obtained for northeast-, east-, and southeast-facing slopes, indicating that <sup>137</sup>Cs contamination in this area was brought about by wind and rain from the east. Air dose rates increased with elevation (r = 0.46, p < 0.01) but did not display any clear relationship to slope angles.

166 Figure 5(a) and 5(b) show the distributions of air dose rates measured along 167 two topographical transects (see also Fig. 1(a) for the locations of the transects in the 168catchment). The first transect (T1) was ~134 m long from east to west around a ridge, 169and the air dose rates obtained in a 45-m-wide area (from north to south) across the 170transect are plotted in Fig. 5(a). This figure demonstrates a large difference in the air 171dose rate between the east and west sides of the ridge, being higher (on average  $0.5 \,\mu$ Sv  $h^{-1}$ ) on the east side and lower (0.2  $\mu$ Sv  $h^{-1}$ ) on the west side. The west side of the ridge 172173is a Japanese cedar forest and lies outside of the catchment. The second transect (T2) 174was ~547 m long across the catchment from east to west, and the air dose rates obtained in a 111 m wide area are plotted in Fig. 5(b). The air dose rate increased with elevation 175176on the east-facing slope (the left side of the valley in the figure). In contrast, the air dose 177rates on the west-facing slope were independent of elevation and generally lower than those on the east-facing slope. Air dose rates were lower on the ridge at the west end (the left end in the figure) than on a slightly lower part of the slope. This is likely because gamma rays observed on the ridge top also originated from the west side of the ridge (outside of the catchment) where there was probably less radiocesium deposition and the air dose rates contained contributions from both aspects.

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184 3.3. Geostatistical analysis

185As described above, the air dose rate distribution was affected by elevation 186 and aspect, implying that it is anisotropic. The differences in air dose rates among 187 neighboring points were smaller along the parallel direction to the watercourse (from 188 northwest to southeast) than on the cross direction where the elevation changes steeply 189 (Fig. 1). We conducted a geostatistical analysis using Surfer® 12 taking this anisotropy 190 into consideration (details are given in Golden Software, Inc., Variogram tutorial). The variogram fitted the exponential model well (Fig. 6). The nugget-to-sill (nugget + scale) 191 192ratio was 22.2%, indicating that air dose rate has a strong spatial dependence 193 (Cambardella et al., 1994; Mabit and Bernard, 2007). Figure 7(a) shows a 3D contour map of the study area, and Fig. 7(b) shows the contours of the air dose rates in the 194195catchment, which were drawn based on the results of the geostatistical analysis.

196

197 4. Discussion

198 4.1. Catchment-scale distribution of air dose rates and its relation to topography

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The results obtained with the two field methods (the grid-based systematic

200 measurement and continuous measurement) showed that the air dose rates in the 201mountainous forested area are highly spatially variable and topographically anisotropic 202 (Figs. 1 and 2). The air dose rates measured at 0.05 m by the NaI survey meter are 203mainly due to gamma rays from the soil, while those measured at 1 m are considered to 204contain gamma rays from the surrounding area within a ~60 m radius (both soil and tree 205canopy) (Andoh et al., 2015). However, a strong linear relationship was found between 206 the air dose rates from the two methods (Fig. 3), indicating a predominant contribution 207 of soil radiocesium to the air dose rates at 1 m height (where the air dose rates were also 208measured by the KURAMA-II). A few outliers can be found in Figure 3, which may be 209 due to high spatial variation in radiocesium deposition to the soil even over a small area 210(Schaub et al., 2010). Another potential cause for the outliers is a larger contribution of 211gamma rays from tree canopies at the measurement points. However, the vegetation at 212this site consists of deciduous trees; it is likely that almost all of the radiocesium existed 213on the soil surface at the time of investigation (roughly 2.5 y after the accident). After 214the Chernobyl NPP accident, tree canopy contamination fell rapidly over a period of weeks or months, and  $^{137}$ Cs stored in the forest standing biomass was only ~5% of the 215216total activity in a forest ecosystem (IAEA, 2006). Thus, gamma rays from tree canopies 217were likely not a factor. The predominant contribution of soil radiocesium to the air 218dose rates at 1 m height suggests that these air dose rates can possibly be used to 219evaluate the deposition density of radiocesium on soil at this site. Nakanishi et al. (2014) reported that the total inventory of <sup>137</sup>Cs (in litter and 0–10 cm soil depth) at a 220point in this study area was ~22 kBq m<sup>-2</sup> on 23 August 2012. The air dose rate measured 221

using the KURAMA-II was 0.17  $\mu$ Sv h<sup>-1</sup> at the same point. Assuming that the background air dose rate without accidental <sup>137</sup>Cs deposition was 0.045  $\mu$ Sv h<sup>-1</sup> (Furukawa and Shingaki, 2012), the ratio of <sup>137</sup>Cs deposition density to air dose rate was calculated as 176. However, more <sup>137</sup>Cs deposition density data are necessary to accurately determine the ratio.

227Although it is well-documented that the structure of the forest canopy is important in determining the extent of fallout-<sup>137</sup>Cs interception (Bunzl et al., 1989; 228229Nimis, 1996), our results clearly demonstrate that the elevation and slope aspect are also 230very important. We found a well-defined proportional relationship between the air dose 231rate and elevation on the east-facing slope {Fig. 5(b)}. Schaub et al. (2010) observed a 232similar relationship in an alpine site. The effect of aspect may further complicate the 233relationship between air dose rate and elevation. The spatial pattern of the air dose rate 234was similar to the lighting pattern in the 3D surface map (Fig. 7), indicating that 235radiocesium deposition is strongly affected by an east wind in this catchment. It is 236reasonable to assume that aerosol interception by mountainous forests is greater for dry 237deposition than for wet deposition because radiocesium in raindrops should uniformly 238reach the soil surface over the catchment, although radiocesium distribution is affected 239by rain intensity (Nimis, 1996). According to a numerical simulation by Terada et al. 240(2012), deposition after the FDNPP accident was mainly due to dry deposition at our 241 study site, and dry deposition was estimated to account for 60-80% of the total 242deposition. To understand the general relationship between air dose rate and elevation, 243more investigations on the distribution of air dose rates on a catchment scale are necessary under varying deposition conditions, and the present study presents a usefulmethod for this purpose.

246Radiocesium deposited on soil binds to soil particles (Sawhney, 1972), and therefore soil erosion is an important factor in migration of <sup>137</sup>Cs on slopes (e.g., 247248Bonnett, 1990; Fukuyama et al., 2005). Leaf litter on forest soil also retains some 249radiocesium, such that migration with leaf litter caused by wind is also possible. On a steep slope at our study site, Koarashi et al. (2014) reported that <sup>137</sup>Cs accumulation in 250251the litter layer at the bottom of the slope was five-fold higher than that at 12 m above 252the bottom, which is rapidly driven by biologically mediated processes. Our large-scale 253(ranging from hundreds to thousands of meters) investigation on air dose rates, however, 254showed that most radiocesium remained on the ridges on the catchment scale; this can 255be largely explained by the larger deposition of Fukushima-derived radiocesium in higher-elevation areas. Therefore, the topographically biased distribution pattern of 256257radiocesium in the catchment suggests that a slowed fluvial discharge of radiocesium 258from the forest via the stream is possible despite the fact that the forest is located in a mountainous and hilly region with steep terrain. Our measurements were taken ~2.5 y 259after the accident, and it is therefore unclear how radiocesium deposited to soil had 260261migrated along slopes over this period. Additional studies on the spatial distribution of 262air dose rate using the KURAMA-II at the same site are required to estimate future 263migration patterns.

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265 4.2. Comparison of the two methods

266 The two methods yielded similar statistical results for air dose rates (Table 1). 267 However, the high spatial variation in air dose rate even in the 150 m  $\times$  120 m grids (Fig. 2682) indicates that continuous measurements using the KURAMA-II is more effective for 269estimating accurate distributions over a large area. The limited number of measurements 270carries the risk of over- or underestimation if the measurement points are not selected 271carefully. Moreover, using geostatistical analysis, the air dose rate was interpolated 272successfully when taking topographical anisotropy into consideration, which was 273determined based on the continuous measurements. The contour map {Fig. 7(b)} shows 274the spatial variation in air dose rate. This will be important for developing radiation 275dose management strategies for nearby residents and forest workers. The data can also 276be used to evaluate the deposition density of radiocesium on soil (see section 4.1). The 277results of the comparison of the two methods suggest that grid size and measurement 278points should be carefully determined by considering the topographic features of the 279target (catchment-scale) area when grid-based systematic measurements are used.

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#### 281 4.3. Comparison with airborne monitoring

The Nuclear Regulation Authority in Japan has monitored air dose rates based on a series of aircraft surveys. The seventh airborne monitoring was performed in September 2013; the average air dose rate for the catchment area was 0.20  $\mu$ Sv h<sup>-1</sup> (range: 0.18–0.21  $\mu$ Sv h<sup>-1</sup>), similar to our results (0.22 ± 0.08  $\mu$ Sv h<sup>-1</sup> and 0.19 ± 0.07  $\mu$ Sv h<sup>-1</sup> for the grid-based systematic and continuous measurements, respectively; see Table 1). This implies that airborne monitoring provides an accurate estimation of the air dose rate averaged over thousands of meters even in mountainous areas. However,
the spatial variation in the air dose rate in the catchment revealed by our field
measurements was not identified from the airborne results.

291

#### **292 5. Conclusion**

293We evaluated the spatial distribution of radiocesium air dose rates in a 294mountainous deciduous forest contaminated by the FDNPP accident, and estimated the 295relationship between air dose rate and topographical features by continuous 296measurements using the KURAMA-II system. Air dose rates were highly spatially 297 variable and topographically anisotropic. There was a well-defined proportional 298relationship between air dose rate and elevation on the east-facing slope. However, the 299air dose rate on the opposite slope was independent of elevation. We conducted a 300 geostatistical analysis taking this anisotropy into consideration. The resulting contour 301 map revealed a larger inventory of radiocesium on the ridge 2.5 y after the deposition, 302 mainly due to a larger deposition density. Further investigation using a KURAMA-II at 303 the same site will be useful for evaluating the long-term catchment-scale migration of 304 radiocesium in the mountainous forest area. The present study was conducted in a 305 deciduous broad-leaved forest where dry deposition was dominant after the FDNPP 306 accident. Therefore, further studies in mountainous forest areas under varying 307 deposition and vegetation conditions using the same methods employed in the present 308 study will aid understanding of the relationship between air dose rate and topography.

309

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- 316

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#### 441 Figure captions

442

Fig. 1. (a) Topographical map of the study site, (b) air dose rates measured by the NaI
survey meter, and (c) air dose rates measured by the KURAMA-II system. Black, blue,
and red lines (T1 and T2) in Fig. 1(a) show watersheds, streams, and transects used for
detailed analysis in Fig. 5, respectively.

447

Fig. 2. Box plots of air dose rates measured using KURAMA-II for 150 m  $\times$  120 m rectangular grids with the NaI measurement points in the grid center. (A) = maximum excluding outliers, (B) = 75th percentile (UQ), (C) = median, (D) = 25th percentile (LQ), (E) = minimum excluding outliers, (F) = outliers { > UQ + 1.5  $\times$  (UQ - LQ) or < LQ - 1.5  $\times$  (UQ - LQ)}. Black squares show air dose rates measured using the NaI survey meter at 1 m height.

454

Fig. 3. Scatter plot of air dose rates at heights of 1 m and 0.05 m, measured using the
NaI survey meter. The regression line fitted to the plotted data and the correlation
coefficient are also shown.

458

459 Fig. 4. Dot plots of the air dose rates measured using the KURAMA-II for each slope
460 aspect. The lines in the figure indicate the arithmetic means of air dose rates for each
461 slope aspect.

Fig. 5. Topographical cross-sections and air dose rates for transects T1 (a) and T2 (b).
The locations of the transects in the catchment are shown as lines in Fig. 1(a). In the
upper graphs, circles, squares, and diamonds represent results from the KURAMA-II,
NaI survey meter at 0.05 m, and NaI survey meter at 1 m, respectively.

467

468 Fig. 6. Variogram of air dose rates measured using the KURAMA-II system. The line469 represents an exponential model. Model constants are also shown.

470

471 Fig. 7. A 3D surface map of the study site and contour map of the air dose rates. (a) A
472 3D surface map lit from the east and (b) contour map of the air dose rates in the
473 catchment. The black lines in Fig. 7(a) indicate watersheds. The mountain stream runs
474 from the far to the near side.



Fig. 1 (Atarashi-Andoh et al.) Color in the Web and in print

Fig. 2 (Atarashi-Andoh et al.)











Fig.6 (Atarashi-Andoh et al.)



Fig.7 (Atarashi-Andoh et al.) Color in the Web and in print



Number of data and statistics of air dose rates (µSV h)			
	NaI survey meter <sup>a</sup>	KURAMA-II <sup>a</sup>	
Number of data	41	3797	
Arithmetic mean	0.22	0.19	
SD	0.08	0.07	
Maximum	0.52	0.59	
Minimum	0.12	0.06	
Median	0.21	0.18	

Number of data and statistics of air dose rates ( $\mu$ Sv h<sup>-1</sup>)

<sup>a</sup>Measured at 1 m height

Table 1