

**JAERI-Research**  
**95-075**



**RECENT RESULTS OF H-MODE CONFINEMENT STUDY IN JT-60U**  
**(APRIL-SEPTEMBER, 1995)**

**November 1995**

**JT-60U H-mode Study Group**

**日本原子力研究所**  
**Japan Atomic Energy Research Institute**

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編集兼発行 日本原子力研究所

印刷 ㈱原子力資料サービス

Recent Results of H-mode Confinement Study in JT-60U (April-September, 1995)

JT-60U H-mode Study Group

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(Received October 13, 1995)

Improvement in the performance of energy confinement is one of the most important issues to realize thermonuclear fusion reactors. The H-mode is one of excellent improved confinement modes. From the view point of steady-state operation, the ELMy H-mode is considered to be a principal operation mode in ITER. For the engineering design of the ITER, there still remain issues to be clarified on the H-mode characteristics. These issues are required to be studied on the present tokamaks as ITER physics research needs.

In order to satisfy the above request, experiments of the H-mode confinement have been carried out on JT-60U. Recent results of H-mode confinement study in JT-60U during April to September, 1995 are summarized in the present report. The scaling of high  $T_i$  H-mode confinement is described in section 2. The time behaviour of transport properties are shown in sections 3 and 4. Result of the non-dimensional transport experiment is presented in section 5. The H-mode transition is investigated in sections 6, 7, 8 and 9; threshold power scaling, parametric study on edge local quantities, effect of edge neutrals, and H-L back transition. The onset condition of ELMs is studied in section 10.

Keywords: Tokamak Plasma, JT-60U, H-mode, Confinement Scaling, Transport Analysis, Non-dimensional Transport, H-mode Transition, Threshold Power, ELM, ITER

JT-60UにおけるHモード閉じ込め研究の最近の成果（1995年4月—9月）

日本原子力研究所那珂研究所炉心プラズマ研究部

JT-60U Hモード研究グループ

（1995年10月13日受理）

熱核融合炉実験のためにはエネルギー閉じ込め性能の改善が最重要課題の一つである。優れた改善モードの一つとしてHモードがある。定常運転の観点から、ITERでは主要な運転モードとしてELMy Hモードが考えられている。ITERの工学設計において、Hモードの特性に関し未だ解明されていない問題点が残っている。これらの問題点に対し、ITER物理R&Dとして研究を進めることが要求されている。

この要求に応えるために、JT-60UにおいてHモード閉じ込め研究が行われてきた。この報告書は、1995年4月から9月の間に行われたHモード閉じ込め研究の最近の成果をまとめたものである。第2節には高イオン温度Hモードの閉じ込めの比例則が記述されている。第3節と4節には、輸送特性の時間的挙動が示されている。第5節には、無次元輸送実験の結果が表されている。第6、7、8、9節では、Hモード遷移に関する研究が行われた：パワー閾値の比例則、周辺プラズマ物理量のパラメータ依存性、中性粒子の影響、H-Lバック遷移について調べられている。第10節にはELMの発生条件が示されている。

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## 1. Introduction

Improvement in the performance of energy confinement is one of the most important issues to realize thermonuclear fusion reactors. On the basis of the L-mode confinement performance, a tokamak reactor will require a large value of  $AXI_p$  of more than 100 MA, where  $A$  is the aspect ratio and  $I_p$  is the plasma current. This value is too large for the economic and engineering view points. Therefore the improved confinement should be realized in the fusion plasmas.

Various kinds of improved confinement modes have been found out and developed on many tokamaks in the world. The H-mode is one of excellent improved confinement modes. This mode has a characteristic of the edge transport barrier. The feature of improved core confinement is sometimes combined with that of H-mode, and higher performance of the energy confinement has been attained through this combination.

From the view point of steady-state operation, ELMs (Edge Localized Modes) are useful for the particle control, although the energy confinement performance is slightly degraded by them. The ELMy H-mode is considered to be a principal operation mode in ITER.

For the engineering design of the ITER, there still remain issues to be clarified on the H-mode characteristics, such as energy confinement time scaling, H-mode power threshold scaling, non-dimensional transport, ELM model and so on. These issues are required to be studied on the present tokamaks as ITER physics research needs [1.1].

In order to satisfy the above request, experiments of the H-mode confinement have been carried out on JT-60U. Recent results of H-mode confinement study in JT-60U during April to September, 1995 are summarized in the present report. The scaling of high  $T_i$  H-mode confinement is described in section 2. The time behaviour of transport properties are shown in sections 3 and 4. Result of the non-dimensional transport experiment is presented in section 5. The H-mode transition is investigated in sections 6, 7, 8 and 9; threshold power scaling, parametric study on edge local quantities, effect of edge neutrals, and H-L back transition. The onset condition of ELMs is studied in section 10.

The authorship for each study lies on the authors of each section, and there is no special authorship for the whole report.

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## 2. Scaling of High $T_i$ H-mode Confinement in JT-60U

Tomonori TAKIZUKA, Mitsuru KIKUCHI, Hiroshi SHIRAI

### 2.1 Introduction

In order to make a tokamak fusion reactor being compact, improvement in the energy confinement from L-mode state is indispensable. At the present time, the physical mechanisms of the energy transport and of its improvement are not clarified, and the confinement performance in future tokamak reactors is estimated by using scaling laws empirically obtained from the existing confinement database. The energy confinement time  $\tau_E$  of L-mode plasmas can be predicted by a scaling law called ITER89 power law scaling [2.1];

$$\tau_E^{\text{ITER89P}} = 0.038 M^{0.5} I_p^{0.85} B_t^{0.2} n_e^{0.1} R^{1.2} a^{0.3} \kappa^{0.5} / P_{\text{net}}^{0.5}. \quad (2.1)$$

The units in the scaling expression are following; confinement time  $\tau$  is in s, plasma current  $I_p$  in MA, toroidal field  $B_t$  in T, line averaged density  $n_e$  in  $10^{19} \text{ m}^{-3}$ , major radius  $R$  in m, minor radius  $a$  in m, and net power  $P_{\text{net}}$  is in MW. The ion mass number is denoted by  $M$  and the ellipticity by  $\kappa$ .

Several kinds of improved confinement modes have been found in tokamak experiments, such as H-mode, Supershot, high  $\beta_p$  mode, VH-mode and so on. Measure of the improvement in the energy confinement is usually based on the above scaling, i.e., H-factor is defined as  $H = \tau_E / \tau_E^{\text{ITER89P}}$ . The values of H-factor in improved confinement plasmas are not constant at all. As for the H-mode, one sees from the ITER H-mode confinement database that H-factor increases with the plasma size. A resultant scaling expression for ELM-free H-mode is given by [2.2]

$$\tau_E^{\text{ELM-free}} = 0.045 M^{0.43} I_p^{0.87} B_t^{0.45} n_e^{0.03} R^{1.86} a^{-0.02} \kappa^{0.53} / P_{\text{net}}^{0.55}. \quad (2.2)$$

For the design of tokamak reactors, the confinement performance of thermal energy is more important than that of total energy which includes the high energy  $\alpha$  particle component. A scaling of the thermal energy confinement time for ELM-free H-mode is also given by [2.2]

$$\tau_{\text{th}}^{\text{ELM-free}} = 0.036 M^{0.41} I_p^{1.06} B_t^{0.32} n_e^{0.17} R^{1.9} a^{-0.11} \kappa^{0.66} / P_{\text{net}}^{0.67}. \quad (2.3)$$

In the present paper, we compare the results of ELM-free H-mode confinement in JT-60U with above scaling laws. The data used in this paper are collected from the shots between E16098 and E16265, which were discharged in September 1992. The plasma shapes were almost the same in the data;  $R \approx 3.2$  m,  $a \approx 0.85$  m, and  $\kappa \approx 1.65$ . This database was used to show, in Fig. 6 of Ref. [2.3], the relation between H-factor and the effective safety factor  $q_{\text{eff}}$ .



In this database, the net power was evaluated by considering effects of shine-through loss of beam neutrals, ripple loss of beam ions [2.4], time derivative of stored energy etc. We also investigate the effect of high ion temperature ( $T_i/T_e > 1$ ) on the improvement in energy confinement, and a scaling expression for H-mode confinement including its effect is shown. Generalized H-mode database including hot ion mode and scaling laws for them are required as ITER physics research needs [2.5]. The present paper is the first and preliminary step for this requirement.

## 2.2 Comparison with scaling laws

Figure 2.1 shows the comparison of  $\tau_E$  of H-mode plasmas in JT-60U with  $\tau_E^{\text{ITER89P}}$ . In this subsection, the data of low H-factor ( $H < 1.1$ ) are omitted. The average of H-factor for these data is about 1.8 excluding data of low  $q_{\text{eff}}$  ( $q_{\text{eff}} < 3.3$ ), which have low H-factor [2.3] and are plotted by closed circles. The horizontal axis, therefore, is chosen as  $1.8 \times \tau_E^{\text{ITER89P}}$ . The scattering of data points from the line of proportion is rather large. The standard deviation  $\sigma_y$  ( $\sigma_y^2 = N^{-1} \sum (\tau/\tau_{\text{fit}} - 1)^2$ ) is about 0.15 excluding data of low  $q_{\text{eff}}$ . This value is as large as the standard deviation of variation in  $\tau$ ;  $\sigma_x = 0.16$  ( $\sigma_x^2 = N^{-1} \sum (\tau/\langle \tau \rangle - 1)^2$ ), where  $N$  is the number of data points and  $\langle \tau \rangle = N^{-1} \sum \tau$ .

Next we compare the data with H-mode scaling laws in Fig. 2.2 ( $\tau_E$  vs  $\tau_E^{\text{ELM-free}}$ ). The H-factor predicted by Eq. (2.2),  $H_E^* = \tau_E^{\text{ELM-free}} / \tau_E^{\text{ITER89P}}$ , is roughly given as  $H_E^* \approx 1.1 B_t^{0.25} R^{0.66} a^{-0.32} / P_{\text{net}}^{0.05}$ . For the typical parameters of JT-60U H-mode plasmas, values of  $H_E^*$  are from 3 to 3.5. These values are too large compared with experimental data of JT-60U as is clearly seen in Fig. 2.2. Even when we compare the data with the thermal confinement scaling given by Eq. (2.3), we find that majority of predicted values exceed experimental data. The H-factor predicted by Eq. (2.3),  $H_{\text{th}}^* = \tau_{\text{th}}^{\text{ELM-free}} / \tau_E^{\text{ITER89P}}$ , is given as  $H_{\text{th}}^* \approx 0.9 I_p^{0.21} B_t^{0.11} R^{0.7} a^{-0.41} \kappa^{0.16} / P_{\text{net}}^{0.17}$ . For the typical parameters, values of  $H_{\text{th}}^*$  are from 2 to 2.5.

It is necessary to establish a new scaling which will be able to fit the H-mode confinement data of JT-60U as well as the data of ITER H-mode confinement database. It should be noted that JT-60U data are not included in the ITER H-mode confinement database at present, because JT-60U data with high  $T_i$  nature ( $T_i/T_e > 1$ ) are out of the standard data with nearly equal temperatures ( $T_i/T_e \approx 1$ ).

## 2.3 Effect of high $T_i$ on the improvement

To obtain high H-factor in JT-60U plasmas, the high  $T_i$  condition ( $T_i/T_e > 1$ ) has been inevitable (a necessary condition) so far. The high value of  $T_i/T_e$ , however, has been considered not a sufficient condition. Figure 2.3 shows the relation between the H-factor and the temperature ratio at the plasma center,  $T_{i0}/T_{e0}$ . As is mentioned above, high H-factor is

realized only for high  $T_{i0}/T_{e0}$ . The data with  $B_t \approx 4.2$  T /  $I_p \approx 2.7$  MA ( $q_{eff} \approx 4.2$ ) are selected as shown in Fig. 2.4, where the correlation between H-factor and  $T_{i0}/T_{e0}$  seems very weak. We find, however, this scattering is caused by the variation of  $n_e$  value. We introduce an improvement rate defined as  $(H - 1)/(T_{i0}/T_{e0} - 1)$ . Figure 2.5 demonstrates the clear dependence of the improvement rate on the line averaged density;  $(H - 1)/(T_{i0}/T_{e0} - 1)$  is almost proportional to  $n_e^{1.5}$ . The H-factor increases not only with  $T_{i0}/T_{e0}$  but also with  $n_e$ . The  $I_p$  and  $B_t$  dependencies of H-factor are also investigated in the range,  $1.5$  MA  $< I_p < 3.5$  MA and  $3.0$  T  $< B_t < 4.2$  T ( $3.1 < q_{eff} < 6.3$ ). Figure 2.6 shows  $(H - 1)/(T_{i0}/T_{e0} - 1) n_e^{1.5}$  vs  $I_p$  for  $q_{eff} > 3.3$ , where open circles represent the data of  $B_t \approx 4.2$  T and closed squares represent the data of  $B_t \approx 3.1$  T. The values of  $(H - 1)/(T_{i0}/T_{e0} - 1) n_e^{1.5}$  is inversely proportional to  $I_p^2$  while the  $B_t$  dependence of  $(H - 1)/(T_{i0}/T_{e0} - 1) n_e^{1.5}$  is weak as shown in Fig. 2.7, where  $(H - 1) I_p^2 / (T_{i0}/T_{e0} - 1) n_e^{1.5}$  vs  $B_t$  is plotted.

Finally we obtain a following fitting formula;

$$(H^{fit} - 1)/(T_{i0}/T_{e0} - 1) = C n_e^{1.5}/I_p^2 \quad \text{with } C = 1. \quad (2.4)$$

Figure 2.8 shows  $\tau_E$  vs  $\tau_E^{fit}$ , where  $\tau_E^{fit} = H^{fit} \times \tau_E^{ITER89P}$ . The standard deviation  $\sigma_y$  is reduced to 0.098 excluding data of low  $q_{eff}$ , and the clear correlation is seen. Note that the data of low H-factor are not excluded in this subsection unlike subsection 2.2.

When H-factor become large, Eq. (2.4) simply gives that  $\tau_E$  is inversely proportional to  $I_p$  because  $H \sim I_p^{-2}$  and  $\tau_E^{ITER89P} \sim I_p$ . In a highly improved plasma with large H-factor, however, there exists the strong correlation between parameters, such as  $(T_{i0}/T_{e0} - 1) \sim B_t$ ,  $n_e \sim I_p^{0.5}$  etc. Therefore the obtainable  $\tau_E$  value at the same  $P_{net}$  is not inversely proportional to  $I_p$  but is almost independent of  $I_p$ . On the other hand it increases with higher  $B_t$ .

## 2.4 Summary

We have compared the confinement data of ELM-free high  $T_i$  H-mode in JT-60U with an L-mode scaling law (ITER-89 power law) and ELM-free H-mode scaling laws. The average of H-factor for the present data is about 1.8, which is much smaller than that expected from the ELM-free H-mode scaling ( $H \approx 3$ ). The scattering from a fitting line,  $\tau_E = 1.8 \times \tau_E^{ITER89P}$ , is rather large. In order to clarify the effect of high  $T_i$ , we have carried out a preliminary analysis of the data, and found for the first time that values of H-factor are fitted well by a formula,  $H^{fit} = 1 + (T_{i0}/T_{e0} - 1) n_e^{1.5}/I_p^2$

The database used here are limited one. In order to check the validity of the present formula and to refine it, replenishment of the database will be progressed in near future.

## Acknowledgment

The authors express their sincere gratitude to members of JT-60U team for their contributions to the database.

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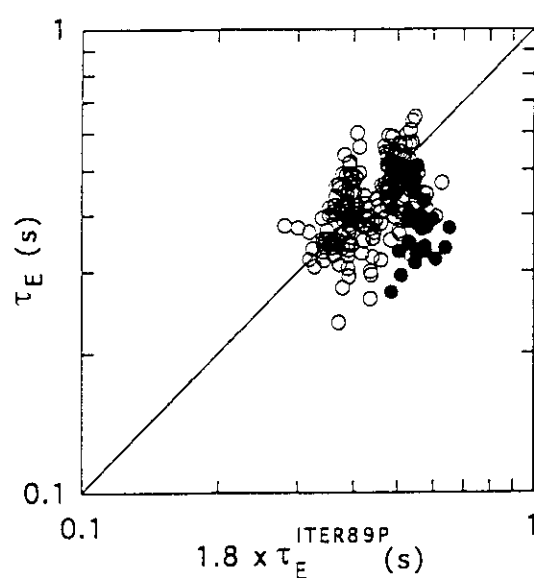


Fig. 2.1 Comparison of energy confinement time  $\tau_E$  of ELM-free high  $T_i$  H-mode in JT-60U with  $1.8 \times \tau_E^{\text{ITER89P}}$ . Closed circles represent the data of  $q_{\text{eff}} < 3.3$ .

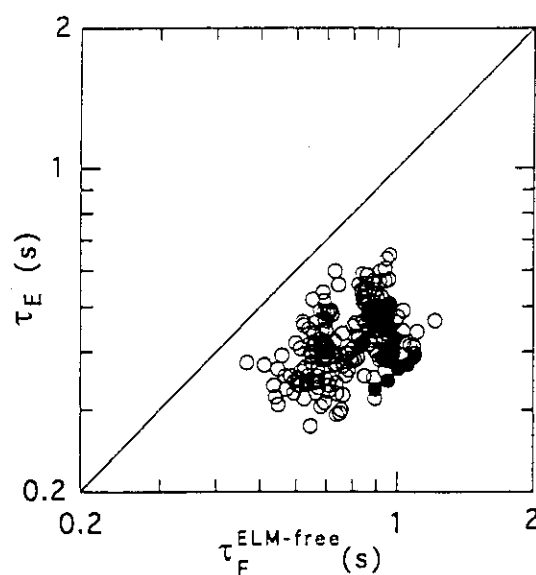


Fig. 2.2 Comparison of  $\tau_E$  with  $\tau_E^{\text{ELM-free}}$ . Closed circles represent the data of  $q_{\text{eff}} < 3.3$ .

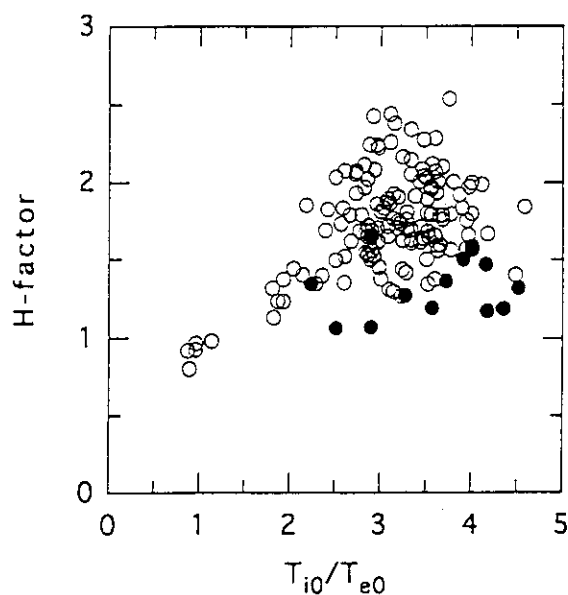


Fig. 2.3 Relation between H-factor and temperature ratio at the plasma center,  $T_{i0}/T_{e0}$ . Closed circles represent the data of  $q_{eff} < 3.3$ .

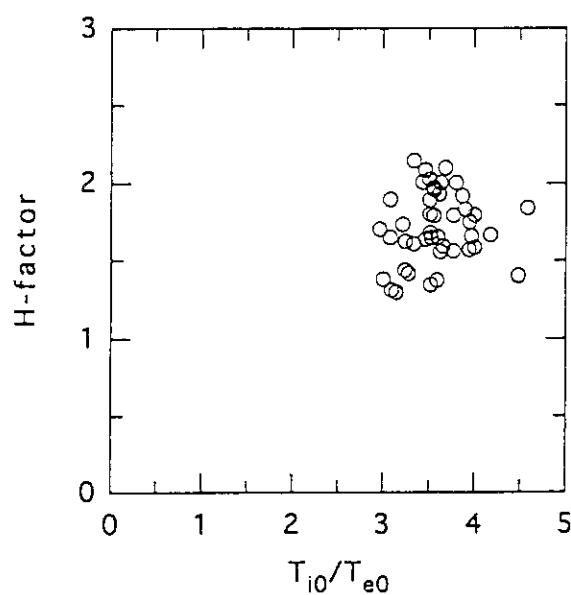


Fig. 2.4 Relation between H-factor and  $T_{i0}/T_{e0}$  for  $Bt \approx 4.2 \text{ T} / I_p \approx 2.7 \text{ MA}$  ( $q_{eff} \approx 4.2$ ).

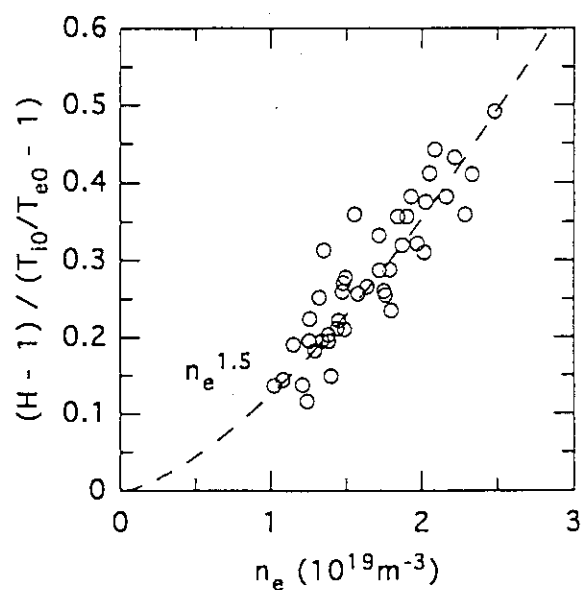


Fig. 2.5 Dependence of improvement rate  $(H - 1) / (T_{i0}/T_{e0} - 1)$  on  $n_e$  for  $Bt \approx 4.2 \text{ T} / I_p \approx 2.7 \text{ MA}$  ( $q_{eff} \approx 4.2$ ). The rate is almost proportional to  $n_e^{1.5}$ .

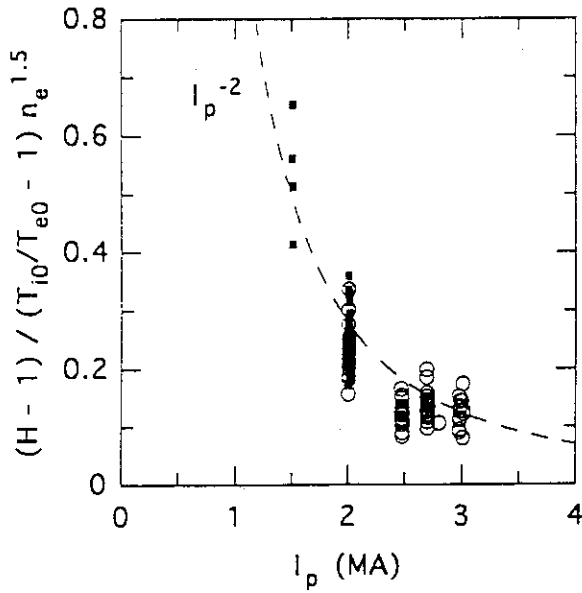


Fig. 2.6 Dependence of improvement rate divided by  $n_e^{1.5}$ ,  $(H-1)/(T_{i0}/T_{e0}-1) n_e^{1.5}$ , on  $I_p$  for  $B_t \approx 4.2$  T (open circles) and  $B_t \approx 3.1$  T (closed squares). The rate is almost proportional to  $I_p^{-2}$ .

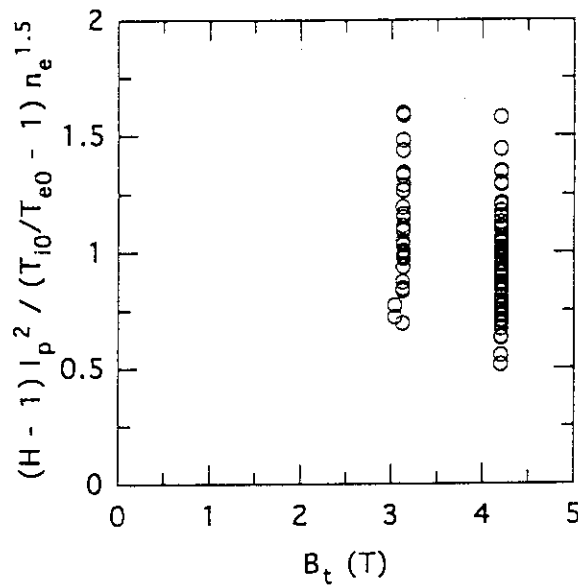


Fig. 2.7 Improvement rate multiplied by  $I_p^2 / n_e^{1.5}$ ,  $(H-1) I_p^2 / (T_{i0}/T_{e0}-1) n_e^{1.5}$ , vs  $B_t$ .

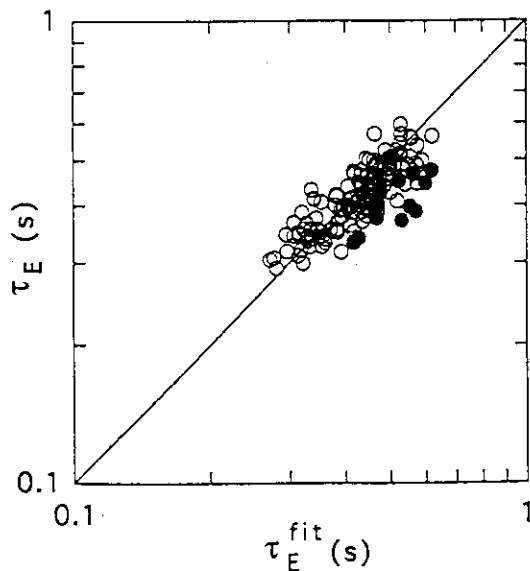


Fig. 2.8 Comparison of  $\tau_E$  with  $\tau_E^{\text{fit}}$ . Fitting formula is given as  $\tau_E^{\text{fit}} = H^{\text{fit}} \times \tau_E^{\text{ITER89P}}$  with  $(H^{\text{fit}} - 1)/(T_{i0}/T_{e0} - 1) = n_e^{1.5}/I_p^2$ . Closed circles represent to data of  $q_{\text{eff}} < 3.3$ .

### 3. Time Behaviour of Heat Diffusivity during L-H-L Transitions in JT-60U

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#### 3.1 Introduction

An understanding of the time evolution of local transport coefficients during a transition between various confinement regimes is important to clarify the physical mechanism responsible for the anomalous transport observed in tokamak plasmas.

In relation to L-H-L transitions on JET, the time evolutions of electron temperature  $T_e$ , ion temperature  $T_i$ , electron heat diffusivity  $\chi_e$ , and ion heat diffusivity  $\chi_i$  have been analyzed and reported previously [3.1,3.2]. The simultaneous  $T_e$  response was clearly seen during L-H-L transitions in the plasma region,  $0.2 < r/a < 1$ . To describe this event, we apply a simple heat diffusion equation with the change in  $\chi_e$  after the transition. It was shown that this  $\chi_e$  jump,  $\delta\chi_e$ , occurs across almost all plasma volume on a millisecond time scale. Let us remind that in the "traditional picture" the L-H or H-L transition occurs near the plasma surface, and the confinement improvement in plasma interior then evolves on a time scale of the order of the energy confinement time  $\tau_E$  [3.3]. It was not obvious up today whether these diffusivity jumps across almost all plasma volume are the peculiar feature of JET or not.

In order to answer above questions, we have investigated the time evolution of  $\chi_e$  and  $\chi_i$  during L-H-L transitions on JT-60U [3.4,3.5]. The present paper is a review of our investigations.

#### 3.2 Analyses of $\delta\chi$ during transitions

We analyze the jumps of  $\chi$ ,  $\delta\chi$ , during L-H-L transitions on JT-60U mainly for high field pulses ( $B_t \approx 4$  T). Typical shape parameters are  $R \approx 3.2$  m,  $a \approx 1.05$  m (volume-averaged one),  $\kappa \approx 1.6$ ,  $\delta < 0.1$ , and  $V \approx 70$  m<sup>3</sup>. We restrict the analyses here for the cases of L-H-L transitions, after the improved confinement with high H-factor (ratio of  $\tau_E$  to  $\tau_E^{\text{ITER89P}}$ ) is well developed, because the change in the global parameters is clearly seen during these transitions.

The time evolution of electron temperature profile,  $T_e(\rho, t)$ , is measured by ECE grating polychromator and that of ion temperature profile,  $T_i(\rho, t)$ , is measured by charge-exchange recombination spectroscopy. The normalized radial position,  $\rho = r/a$ , are labeled in the following figures of time evolutions of  $T_e$  and  $T_i$ .

Figure 3.1 shows the time evolution of plasma parameters in a high  $T_i$  H-mode pulse E17058 (3.5 MA / 4.2 T), where a clear H-L transition occurred at  $t = 8.38$  s. In the figure, the plasma current  $I_p$ , the line integrated electron density  $n_e L$  (length  $L$  is about 2.5 m), the stored energy measured by diamagnetic loop  $W$ , the injected neutral beam power  $P_{NB}$ , and the  $H\alpha$  intensity from the divertor region  $H\alpha_{div}$  are shown. The growth of  $W$  was interrupted by the H-L transition and  $H\alpha_{div}$  abruptly increased. The value of  $\tau_E$  before the time A is about 380 ms ( $H \approx 1.5$ ) and  $\tau_E \approx 190$  ms ( $H \approx 1.0$ ) after A. Figure 3.2 shows the time evolutions of  $T_e(\rho, t)$  and  $T_i(\rho, t)$ . Sawtooth crash occurs about 50 ms after the transition A. The beginning of the decay of ECE signals over the region  $0.43 < \rho < 0.83$  and the jump of  $H\alpha$  occur simultaneously (about 10 ms uncertainties).  $T_i(\rho, t)$  behaves similarly to  $T_e(\rho, t)$ .

It is natural for us to explain the sudden change in  $T_e$  at a transition caused by sudden variation of the electron heat flux. As described in Refs. [3.1,3.2] we apply a simple heat diffusion equation with the change in  $\chi_e$  across almost all plasma volume after the transition. The value of  $\chi_e$  jump,  $\delta\chi_e$ , can be estimated easily from  $T_e(\rho, t)$ . Values of  $\delta\chi_e$  after the above H-L transition are about  $0.65 \text{ m}^2/\text{s}$  and  $0.85 \text{ m}^2/\text{s}$  at  $\rho \approx 0.61$  and  $0.71$ , respectively. The value of  $\delta\chi_i$  is about  $0.55 \text{ m}^2/\text{s}$  at  $\rho \approx 0.56$ , which is almost the same as that of  $\delta\chi_e$ .

For the central region,  $\rho < 0.36$ , however, the time delay of  $T_e$  response is seen as was observed in JET [3.1,3.2]. This result shows that the heat pulse propagation (HPP) may play important role near the center or that the improvement and degradation of transport may propagate from the mid region to the center with the propagation speed of about 10 m/s.

The L-H and H-L transitions in a low- $I_p$  pulse 17038 (2 MA / 4.2 T) are studied. Figure 3.3 shows evolutions of  $T_e(\rho, t)$  and  $T_i(\rho, t)$ . Waveforms of  $W$ ,  $P_{NB}$  and  $H\alpha_{div}$  are also shown to compare the time response of  $T_e$  and  $T_i$ . During short ELM-free H-mode phases (A-B and C-D) values of  $\tau_E$  are about 330 ms ( $H \approx 1.8$ ), while during high- $T_i$  L-mode phases (before A, B-C, and after D)  $\tau_E \approx 190$  ms ( $H \approx 1.3$ ). The simultaneous response of  $T_e$  and  $T_i$  over  $0.3 < \rho < 0.8$  is clearly seen in Figs. 3.4 and 3.5. The value of  $\delta\chi_e$  after the L-H transition C is about  $-0.65 \text{ m}^2/\text{s}$  at  $\rho \approx 0.63$ , of which absolute value is almost the same as those at  $\rho \approx 0.6$  for higher- $I_p$  pulses. On the other hand, values of  $\delta\chi_i$  after C are about  $-0.64 \text{ m}^2/\text{s}$  and  $-0.93 \text{ m}^2/\text{s}$  at  $\rho \approx 0.55$  and  $0.63$ , respectively. These values are almost the same as or a little higher than those for high- $I_p$  pulses.

Values of  $\delta\chi$  analyzed for various pulses are summarized in Table 3.1.

### 3.3 Discussion

We examine whether obtained values of  $\delta\chi$  are consistent with the change of  $\tau_E$  during transitions. Though the value of  $\tau_E$  was calculated not from the thermal stored energy but from the total stored energy including fast ion component, the following approximate relation is used for the comparison between  $|\delta\chi|$  and the change of  $\tau_E$ :  $\tau_E \approx a^2/4\chi_{\rho \approx 0.6}$  and  $|\delta\chi_{\rho \approx 0.6}| \approx$

$(a^2/4) \{ \tau_E(L)^{-1} - \tau_E(H)^{-1} \}$ . Here we consider cases of  $\delta\chi_e \approx \delta\chi_i$  and the smooth radial variation of  $\delta\chi$ . Typical values of  $\tau_E(L)$  and  $\tau_E(H)$  in plasmas of  $a \approx 1.05$  m are about 0.2 s and 0.4 s, respectively, and the value of  $|\delta\chi_{\rho \approx 0.6}|$  is about  $0.6 \text{ m}^2/\text{s}$ . These values roughly satisfies the above relation. This result supports the validity of the present model in which  $\chi$  jumps simultaneously over the wide plasma region during a transition.

The behaviour of  $\chi_i$  for a low field pulse 17298 with 1.5 MA/2.5 T is analyzed for comparison. The polychromator data are not available to use for low field pulses,  $B_t < 3.5$  T. Clear L-H-L transitions were repeated in a shot E17298. High values of  $\delta\chi_i$  are obtained as listed in Table 3.1. These values are about three times larger than those for high field pulses on JT-60U, but similar to those of JET data [3.1,3.2]. If we combine JT-60U data and JET data together, we suppose that the dependence of  $\delta\chi_i$  is negative on  $B_t$  like  $1/B_t$ , while the dependence of  $\delta\chi_e$  is weak on  $B_t$ . The tendency of the negative dependence on  $I_p$  is also seen for  $\delta\chi_i$ . The increase of  $\chi_i^{\text{PB}}$  with decrease of  $I_p$  in JT-60U L-mode plasmas was found from the power balance analysis [3.6]. The weak  $I_p$  dependence of  $\chi_e^{\text{PB}}$  was also reported in Ref. [3.6]. These dependencies on  $I_p$  are in qualitative agreement with each other.

### 3.4 Conclusion

We have analyzed L-H-L transitions mainly for high field pulses in JT-60U [3.4,3.5]. The simultaneous  $T_e$  response (with uncertainty of 10 ms) has been clearly observed during L-H-L transitions over  $0.2 < \rho < 0.9$  in JT-60U plasmas similarly in JET [3.1,3.2]. This evolution of  $T_e(\rho, t)$  is difficult to be explained as the result of HPP from the periphery, but is reasonable to be described as the result of the fast  $\chi_e$  jump (reduction at L-H transitions and increment at H-L transitions) over  $0.2 < \rho < 0.9$ . The simultaneous  $T_i$  response (with uncertainty of 20 ms) were also observed similarly to the  $T_e$  response. The fast variation of  $\chi$  (at least 20-40 times less than  $\tau_E$ ) inside almost all plasma volume is a new finding of the L-H-L transitions in JT-60U. The  $T_e$  response in the central region ( $\rho \sim 0.3$ ) often delays, compared with that in the outer region ( $\rho \sim 0.5$ ) seen, and the role of HPP could be important similarly to JET results [3.1,3.2]. Values of  $\chi_e$  jump,  $\delta\chi_e$ , were obtained for  $0.5 < \rho < 0.7$ , whose absolute values were  $0.6 \text{ m}^2/\text{s} < |\delta\chi_e| < 0.9 \text{ m}^2/\text{s}$ . They usually increase with radius. Values of  $\delta\chi_i$  were also obtained for  $0.4 < \rho < 0.7$ . Their absolute values,  $|\delta\chi_e|$  and  $|\delta\chi_i|$ , usually increase with radius. In high  $I_p$  pulses ( $I_p > 3 \text{ MA}/B_t = 4.2 \text{ T}$ ), values of  $|\delta\chi_i| \approx 0.5 \text{ m}^2/\text{s}$  are almost the same as or a little smaller than those of  $|\delta\chi_e|$ , while in a low  $I_p$  pulse (2 MA/4.2 T), values of  $0.6 \text{ m}^2/\text{s} < |\delta\chi_i| < 1 \text{ m}^2/\text{s}$  are almost the same or a little larger than  $|\delta\chi_e|$ . In a low field pulse (1.5 MA/2.5 T), values of  $|\delta\chi_i|$  are rather large as  $2 \text{ m}^2/\text{s}$  at  $\rho \approx 0.60$ .

Values of  $\delta\chi$  are consistent with the change of  $\tau_E$  during transitions. The dependence of  $\delta\chi_i$  is negative on  $B_t$  or  $I_p$  like  $1/B_t$  or  $1/I_p$ , while the  $B_t$  or  $I_p$  dependence of  $\delta\chi_e$  is weak.



These  $I_p$  dependencies agree qualitatively with the result of power balance analysis for JT-60U L-mode plasmas [3.6].

## References

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Table 3.1 Plasma parameters and  $\delta\chi$  values

shot No	t (s)	$I_p$ (MA)	$B_t$ (T)	r	$\delta\chi_e$ (m <sup>2</sup> /s)	$\delta\chi_i$ (m <sup>2</sup> /s)
E16130	7.17	3.0	4.2	0.64	+ 0.60	
E17058	8.38	3.5	4.2	0.56		+0.55
				0.61	+0.65	
				0.71	+0.85	
E17060	8.49	3.5	4.2	0.63		+0.58
				0.73	+0.77	
E17038	7.15	2.0	4.2	0.55		-0.64
				0.63		-0.93
				0.63	-0.65	
E17298	5.71	1.5	2.5	0.44		-0.85
				0.52		-1.3
				0.60		-2.0

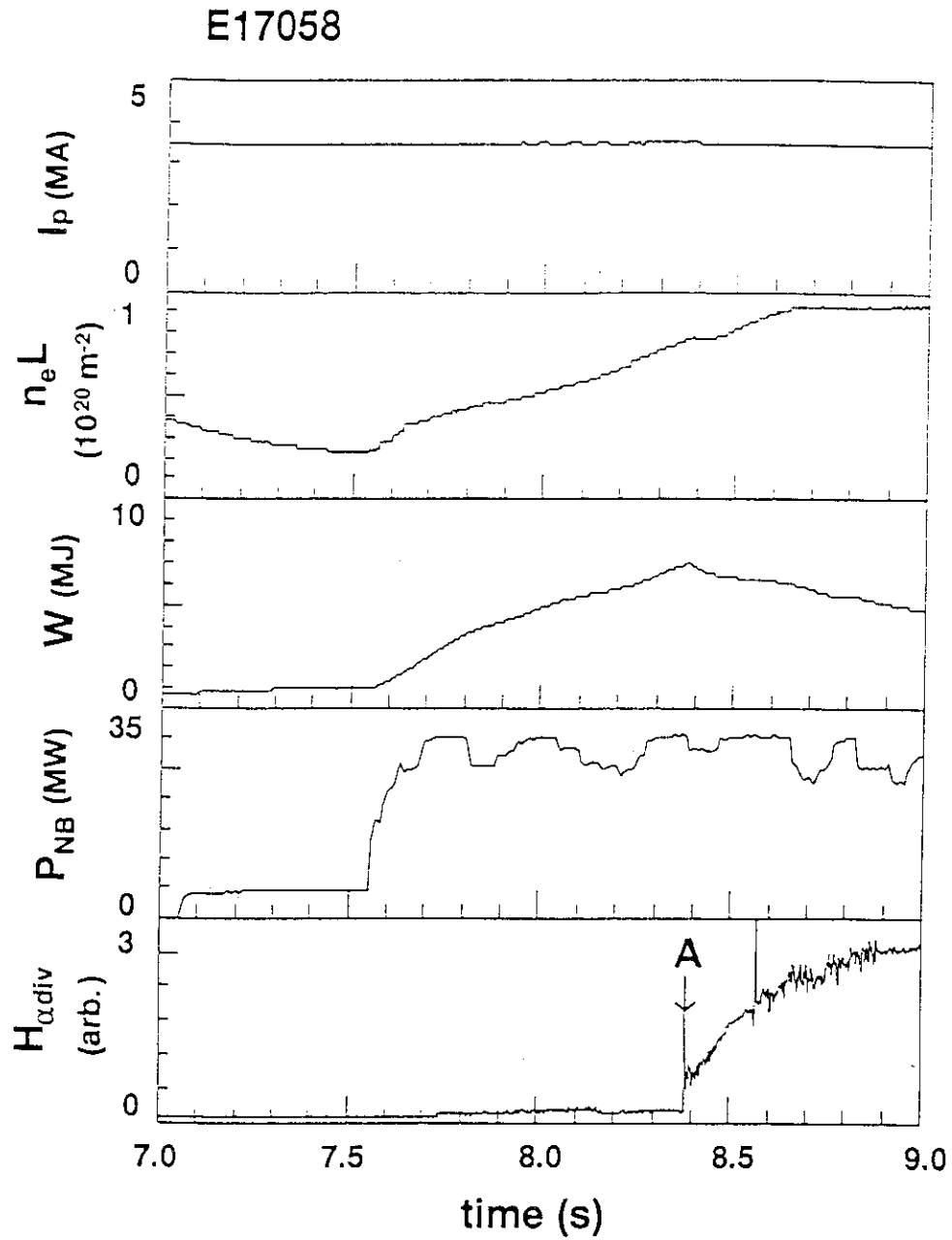


Fig. 3.1 Waveforms of a hot ion H-mode pulse E17058 (3.5 MA/4.2 T). The growth of  $W$  is interrupted by a clear H-L transition at the time A.

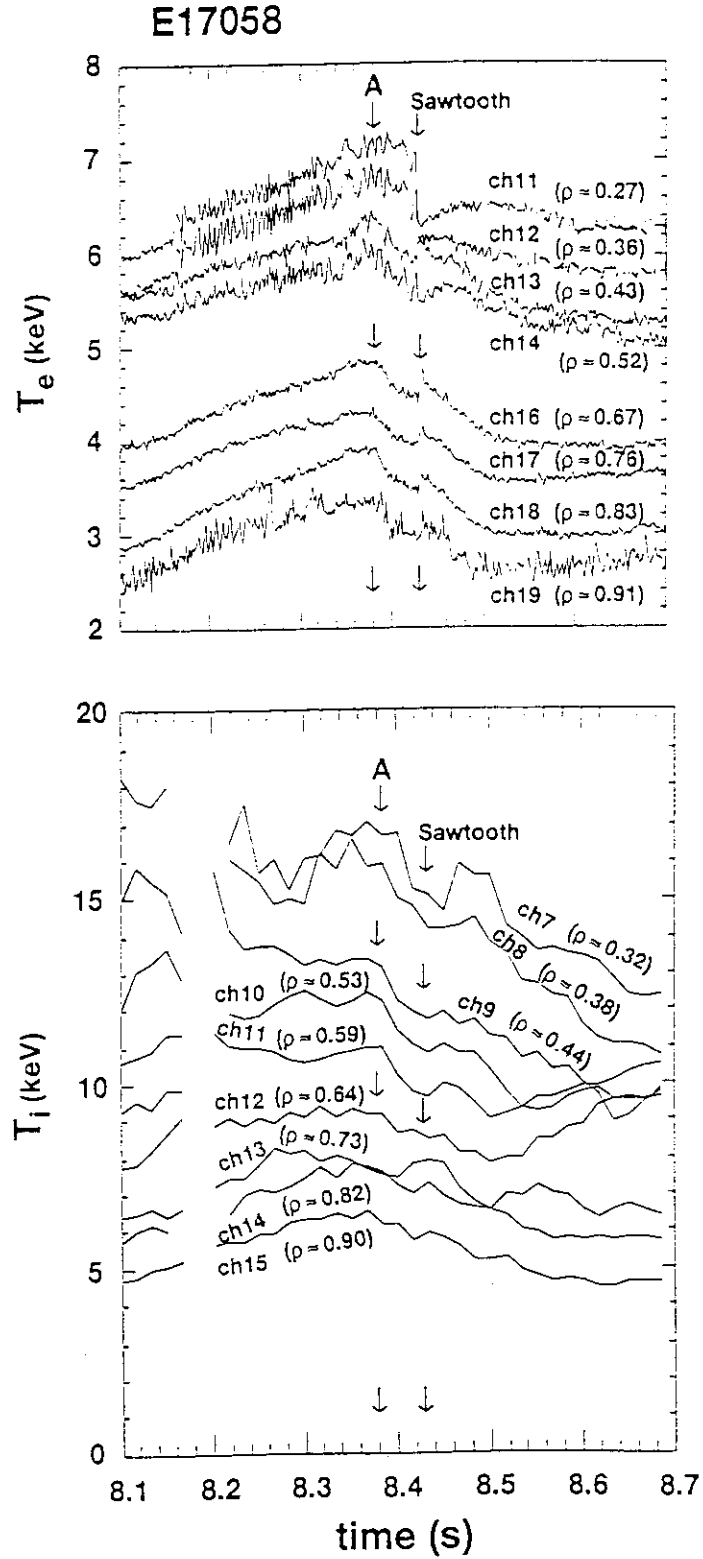


Fig. 3.2 Time evolutions of  $T_e(\rho, t)$  and  $T_i(\rho, t)$  for E17058 pulse. In the region  $0.43 < \rho < 0.83$ ,  $T_e$  varies simultaneously after A, while in the central region  $\rho < 0.36$ ,  $T_e$  is changed slowly.  $T_i$  behaves similarly to  $T_e$ .

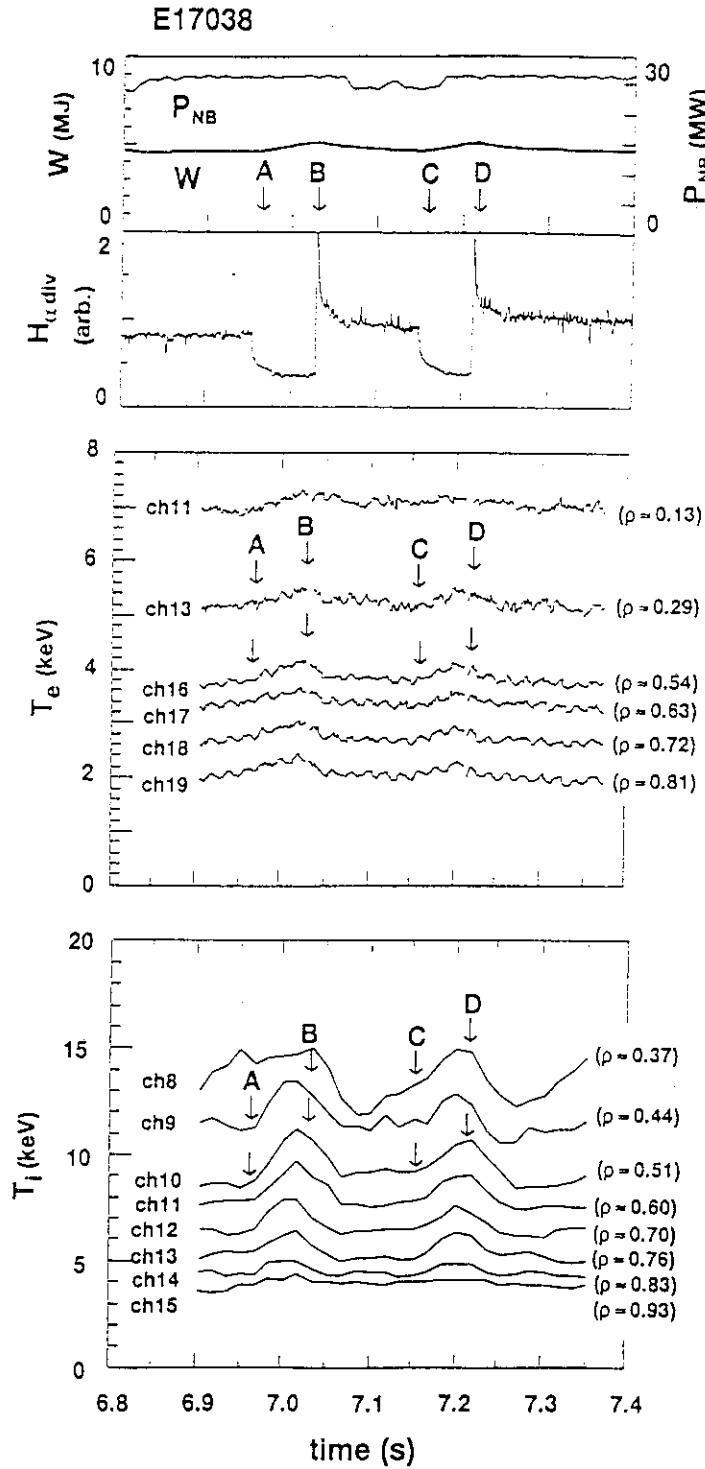


Fig. 3.3 Evolutions of  $W$ ,  $P_{NB}$ ,  $H_{\alpha\text{div}}$ ,  $T_e(\rho, t)$ , and  $T_i(\rho, t)$  for a hot ion H-mode pulse E17038 with low  $I_p$  (2 MA / 4.2 T). Clear L-H and H-L transitions are observed and two short H-mode phases appear. Simultaneous response of  $T_e$  with  $W$  and  $H_{\alpha\text{div}}$  is clearly seen over  $0.3 < \rho < 0.8$ . Simultaneous response of  $T_i$  is also seen.

## 4. Time Evolution of Transport Properties in JT-60U H-mode Plasmas with Improved Core Confinement

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### 4.1 Introduction

One of the major objectives of H-mode experiments in JT-60U is to obtain high confinement plasmas. They were obtained by a high NBI power ( $P_{inj} \geq 20$  MW) and high toroidal field ( $B_t = 4.0$  T). These high confinement plasmas have a feature of the improved core confinement (ICC) with  $T_i(0) \geq 20$  keV,  $T_i(0)/T_e(0) \geq 2$  and high H factor ( $\geq 2$ ). Hereafter we call them "ICC plasmas". The "high  $T_i$  H-mode plasmas" [4.1] and "high  $\beta_p$  H-mode plasmas" [4.2] are categorized in ICC plasmas. The ion thermal diffusivity,  $\chi_i$ , substantially decreases in the plasma core region to the neoclassical level [4.3]. In some ICC plasmas an internal transport barrier (ITB) was formed [4.4]. Accross the ITB, the ion temperature increases by several keV.

Usually the first L-H transition of ICC plasmas occurs when plasma profiles are not yet in the steady state. Since the improvement of core confinement and the L-H transition occur simultaneously, the local transport analysis including the time evolution of density and temperature is necessary to identify the H-mode effect on the transport in ICC plasmas.

Several hundreds milliseconds after the first L-H transition, ELM activity starts in most of the ICC H-mode plasmas and the stored energy is saturated or gradually decreased. In some ICC H-mode plasmas, on the other hand, short period ( $\sim 100$  ms) of ELM-free H-mode phase and L-mode phase appear alternately after the saturation of the stored energy. We call this phenomenon "repetitive L-H-L transitions" in this paper. The stored energy and the local  $T_e$  and  $T_i$  values change simultaneously at L-H and H-L transitions [4.5]. The change rate of these variables is much larger than that at the first L-H transition. The repetitive L-H-L transitions were observed after the confinement improvement is well developed.

In this paper, the thermal confinement and local transport properties in relation to the first L-H transition and the repetitive L-H-L transitions appeared in ICC H-mode plasmas are analyzed.

### 4.2 Numerical calculation method

Profiles of electron and ion thermal diffusivities,  $\chi_e$  and  $\chi_i$ , are evaluated from the profile data of  $n_e$ ,  $T_e$ ,  $T_i$ ,  $P_{rad}$ ,  $P_{abs}$  and so forth. We use 1.5 dimensional time transient

tokamak transport analysis code, TOPICS, with 1D Fokker-Planck (FP) code which includes the effect of delayed heating by fast ions. In JT-60U plasmas, however, the ripple loss of beam ions cannot be neglected. An Orbit Following Monte Carlo (OFMC) code is used to evaluate the power deposition profile. Thus the deposition profile of NBI is calculated by OFMC and the total power is adjusted to the results of FP.

At the L-H and H-L transitions, we evaluate the improvement and degradation of the thermal confinement together with the local transport analysis. The scaling of the thermal stored energy,  $W_{th}$ , in JT-60 ohmically heated plasmas and NBI heated L-mode plasmas is given as follows [4.3],

$$W_{th}^{scaling}(MJ) = 0.026 M_i^{0.3} \kappa^{0.87} R^{1.44}(m) a^{0.93}(m) B_t^{0.39}(T) I_p^{0.5}(MA) \\ n_e^{0.5}(10^{19}m^{-3}) P_{net}^{0.33}(MW) .$$

When  $W_{th}$  varies in time, we put  $P_{net} = P_{abs} - dW_{th}/dt$ . Here we define the "TH factor" (Thermal H factor) by  $W_{th}^{exp}/W_{th}^{scaling}$ . The ratio of the beam stored energy,  $W_{beam}$ , to the total stored energy is considerably large ( $\geq 0.3$ ), especially at the beginning of the NBI heating in ICC plasmas. Therefore we do not use the "H factor" based on ITER89 power scaling because it is not appropriate for evaluating the transport and thermal confinement properties.

#### 4.3 Transport properties before and after the first L-H transition

In this subsection, we study the dynamic changes in local transport and global confinement properties before and after the first L-H transition of an ICC plasma when the confinement continues to improve.

Figure 4.1 shows the waveform of shot E16168 ( $I_p = 3.5$  MA,  $B_t = 4.2$  T,  $q_{eff} = 3.2$ ,  $R = 3.2$  m,  $a = 0.84$  m,  $\kappa = 1.65$ ,  $V = 69$  m<sup>3</sup>). The line integrated electron density,  $n_e L$  ( $L$  is about 2.4 m), the injected NBI power,  $P_{inj}$ , the net power,  $P_{net}$ , the stored energy measured by diamagnetic loop,  $W_{dia}$ , the  $D_\alpha$  from the divertor region,  $D_{\alpha div}$ , and the TH factor are shown. A L-H transition (first transition) is clearly seen at  $t = 8.5$  s. The ELM activity starts from  $t = 9.08$  s and  $W_{dia}$  begins to decrease gradually. In the ohmic heating phase, TH factor is almost unity. At the L-H transition TH factor jumps from 1.31 to 1.51. After the L-H transition, TH factor keeps increasing up to 1.73 on a time scale of the thermal energy confinement time,  $\tau_E^{th}$ . After the ELM starts, TH factor decreases dramatically to the level before the L-H transition.

The time evolution of  $n_e$ ,  $T_e$  and  $T_i$  profiles of shot E16168 is shown in Fig. 4.2. The ITB is seen around  $r/a = 0.4 \sim 0.5$  in the  $T_i$  profile. At  $t = 8.4$  s, the ITB once disappears because of the beam fault. After that the ITB is formed again, and the central  $T_i$  reaches

about 25 keV. At the L-H transition,  $T_i$  increases over the whole plasma. During the NBI heating phase,  $n_e$  and  $T_e$  keep increasing. However, their profiles change little after the L-H transition.

Figure 4.3 shows the comparison of  $\chi_e$  and  $\chi_i$  profiles at (a) before the transition ( $t = 8.45$  s), (b) after the transition ( $t = 8.55$  s), (c) just before ELM ( $t = 9.0$  s) and (d) during ELM ( $t = 9.1$  s). At the L-H transition,  $\chi_i$  decreases over the whole plasma. The time scale of the  $\chi_i$  decrease is much smaller than  $\tau_E^{\text{th}}$  ( $\tau_E^{\text{th}}$  at (a) is about 300 ms). As for  $\chi_e$ , it decreases in the outer region ( $r/a \geq 0.8$ ), while it does not change too much in  $r/a \leq 0.8$ .

During H-mode phase,  $\chi_i$  increases gradually. At the time (c), both  $\chi_e$  and  $\chi_i$  are almost the same as those at (a) and  $\tau_E^{\text{th}}$  becomes about 330 ms. Since  $P_{\text{net}}$  at (c) is about twice as large as that at (a) and both  $T_e$  and  $T_i$  increase during H-mode phase, the improvement of the confinement proceeds during the H-mode phase in the sense that there is no power degradation.

Thus the evolution of local transport and the confinement improvement has two different time scales at the first L-H transition and the successive H-mode phase: fast change (much smaller time scale of  $\tau_E^{\text{th}}$ ) at the L-H transition and the succeeding slow change (time scale of  $\tau_E^{\text{th}}$ ).

After the ELM activity starts,  $\chi_i$  increases over the whole plasma and TH factor drops very fast to the level before the L-H transition. At the same time  $\chi_e$  slightly increases. These are shown in lines (d) in Fig. 4.3.

#### 4.4 Transport properties during the repetitive L-H-L transitions

In this subsection, we study the case of repetitive L-H-L transitions after the confinement improvement is well developed.

Figure 4.4 shows the waveform of shot E16107 during the repetitive L-H-L transitions phase. Plasma parameters in the shot E16107 are  $I_p = 2.7$  MA,  $B_t = 4.2$  T,  $q_{\text{eff}} = 4.5$ ,  $R = 3.2$  m,  $a = 0.91$  m,  $\kappa = 1.5$ ,  $V = 72$  m<sup>3</sup>,  $n_e = 2.3 \times 10^{19}$  m<sup>-3</sup>,  $T_e(0) \approx 7$  keV and  $T_i(0) \approx 22$  keV. The NBI heating begins at  $t = 8.0$  s and the first L-H transition occurs at  $t = 8.5$  s. The time evolution of  $D_{\alpha\text{div}}$  shows that L-mode phase and H-mode phase appear alternately. Both  $W_{\text{dia}}$  and  $n_e L$  begin to increase at the L-H transition and decrease at the H-L transition. The local  $T_e$  and  $T_i$  values over the whole plasma ( $0.2 \leq r/a \leq 0.9$ ) also begin to change at the transitions within the time resolution of measurement. The TH factor changes from 1.2 to 1.47 at (e) in Fig. 4.4 and from 1.74 to 1.26 at (f). The time scale of these change is much smaller than  $\tau_E^{\text{th}}$ . During H phase, TH factor increase as the same as shown in the former subsection.

Figure 4.5 shows the profiles of  $n_e$ ,  $T_e$  and  $T_i$  in the H-mode phase and L-mode phase of shot E16107. The corresponding  $\chi_e$  and  $\chi_i$  profiles (thick line to the H phase and thin line

to the L phase) are calculated as shown in Fig. 4.6. Both  $\chi_e$  and  $\chi_i$  changes over the whole plasma.

#### 4.5 Conclusion

We have studied the time evolution of global confinement and local transport properties in ICC H-mode plasmas with  $T_i(0) \geq 20$  keV in JT-60U. At the first L-H transition when both  $T_e$  and  $T_i$  keep increasing and the confinement continues to improve, TH factor and  $\chi_i$  changes in two different time scale: much smaller time scale of  $\tau_E^{\text{th}}$  at the L-H transition and the succeeding slow change on a time scale of  $\tau_E^{\text{th}}$ . At the repetitive L-H-L transitions, when the confinement improvement is well developed, TH factor and  $\chi$  changes on a much smaller time scale than  $\tau_E^{\text{th}}$ .

We continue the transport study of ICC H-mode plasmas to clarify the mechanism of fast and slow changes in the global confinement and local transport properties. Difference of  $\chi_e$  behaviour in shot E16168 (small change between L- and H-phases) and in shot E16107 (remarkable change) is not resolved. The evolution of  $\chi$  in the edge barrier was not studied here because of lack of edge profile data. These are future problems to be clarified.

**Acknowledgments** Authors are grateful to Drs. M. Kikuchi and K. Shimizu for fruitful discussion and suggestions. They are indebted to members of JT-60 team for their collaboration. The continuous encouragement of Dr. H. Kishimoto is also acknowledged.

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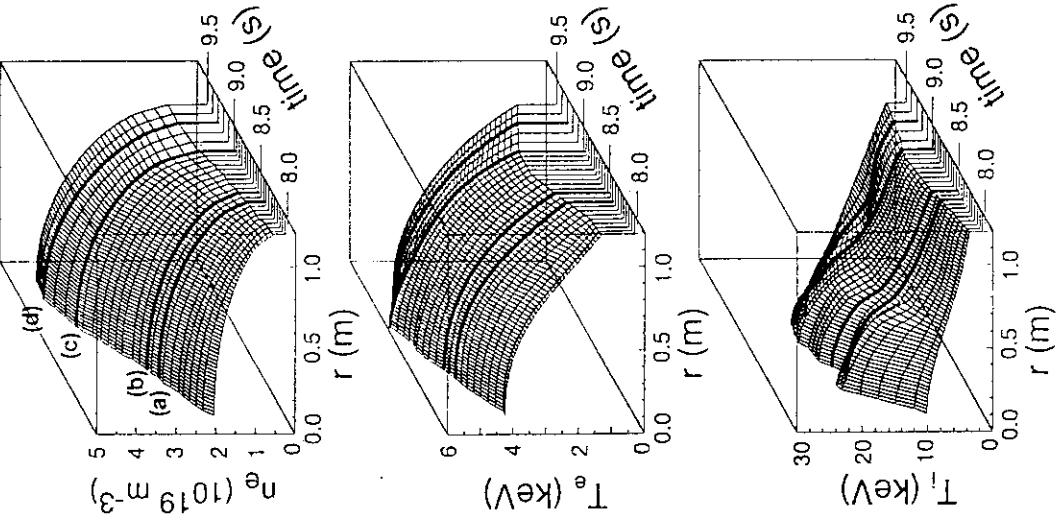


Fig. 4.2 Time evolution of  $n_e$ ,  $T_e$  and  $T_i$  profiles in shot E16168.

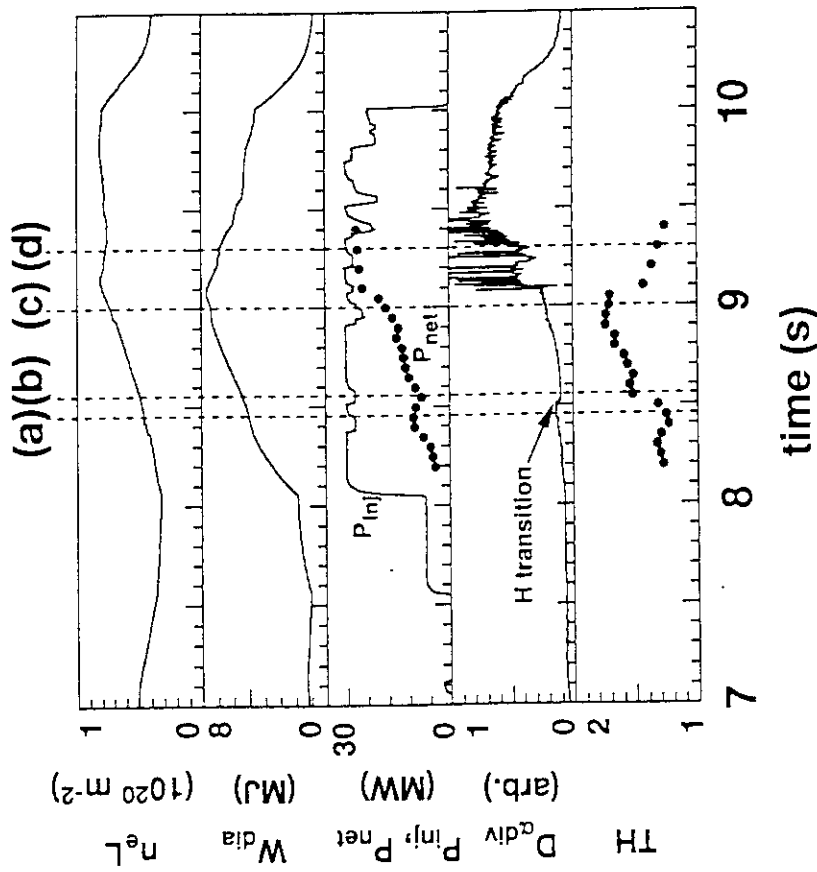


Fig. 4.1 Waveforms of shot E16168 with  $I_p = 3.5$  MA,  $B_t = 4.2$  T.

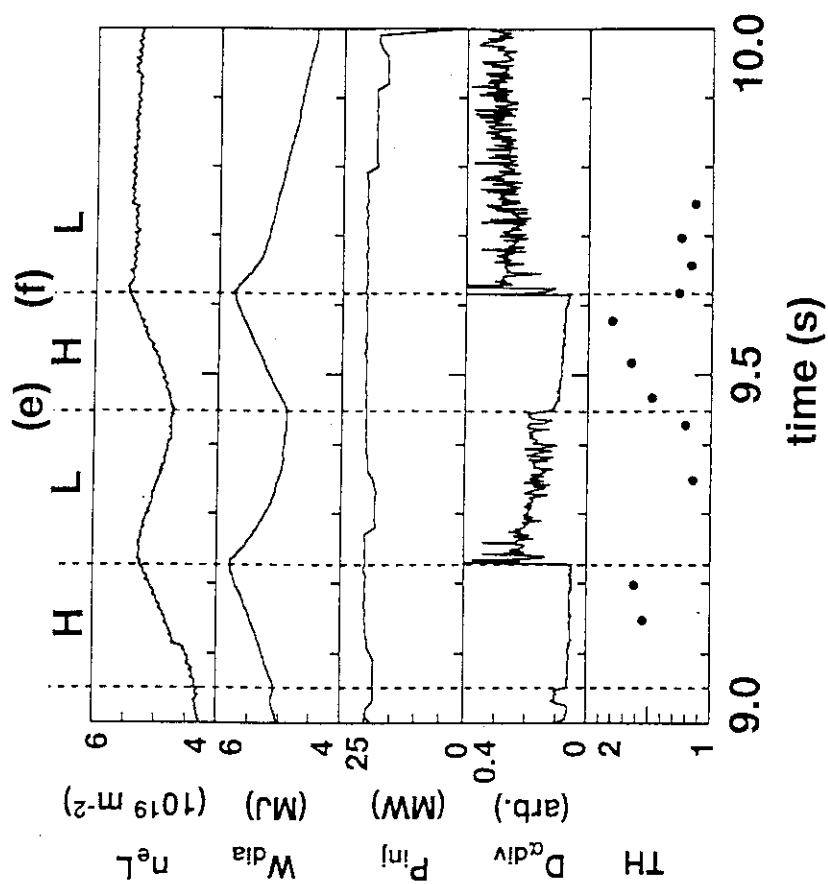


Fig. 4.4 Waveforms of shot E16107 with  $I_p = 2.7$  MA,  $B_t = 4.2$  T.

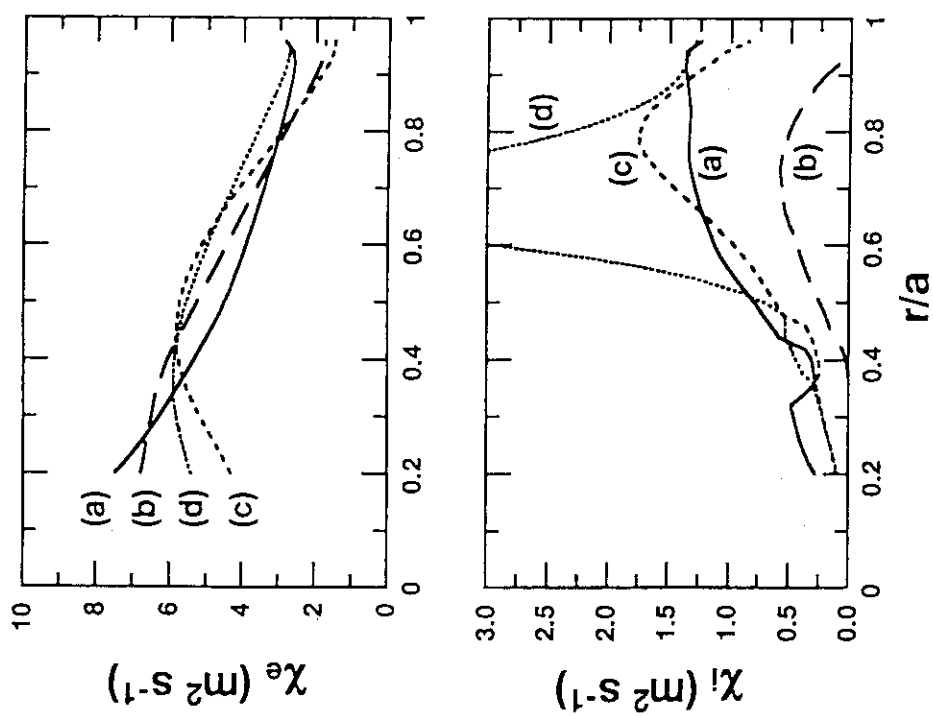


Fig. 4.3 Profiles of  $\chi_e$  and  $\chi_i$  for various time points in shot E16168.

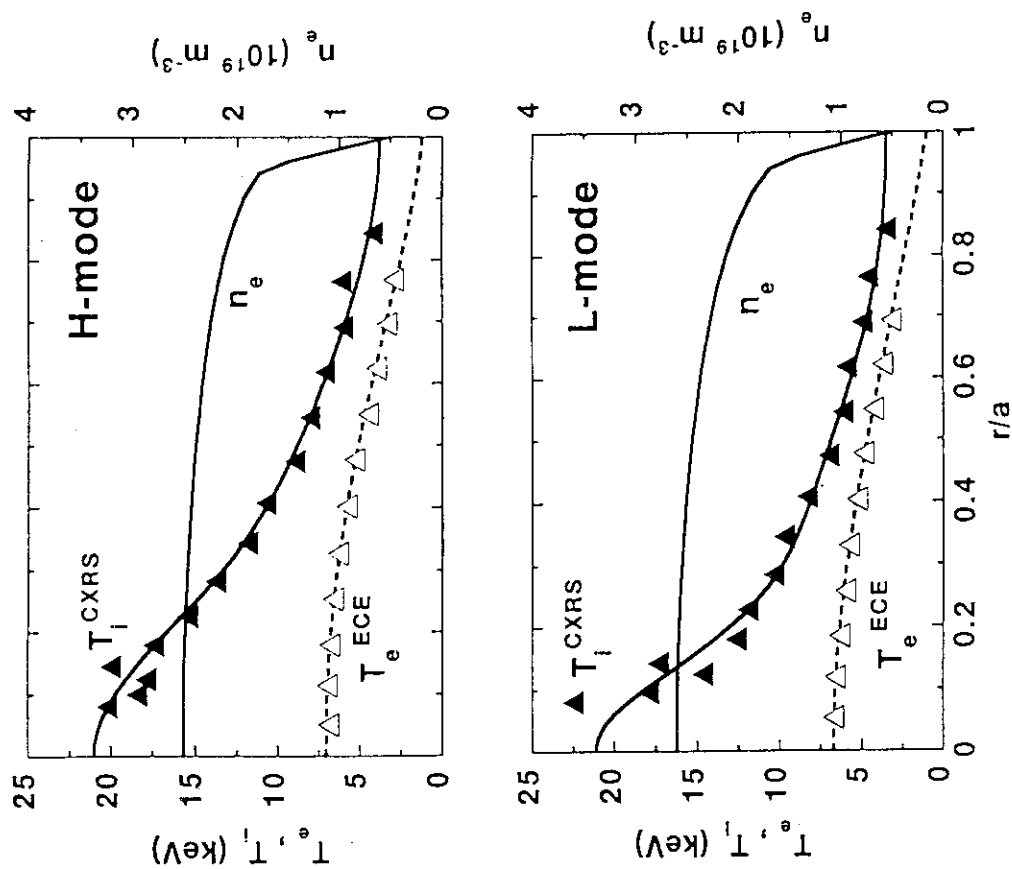


Fig. 4.5 Profiles of  $n_e$ ,  $T_e$  and  $T_i$  in the H-mode phase and L-mode phase of shot E16107.

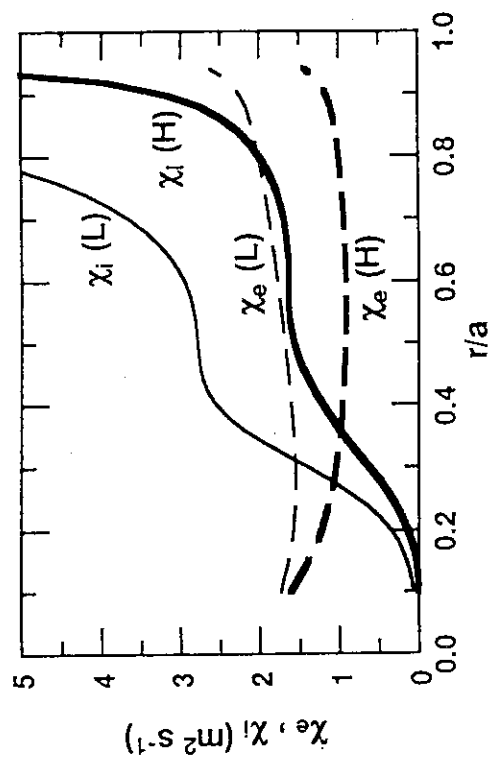


Fig. 4.6 Profiles of  $\chi_e$  and  $\chi_i$  in the H-mode phase and L-mode phase of shot E16107.

## 5. Non-dimensional Transport Experiment in JT-60U

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### 5.1 Introduction

In the thermonuclear fusion research and development, ITER EDA project is one of the most important projects, which aims to demonstrate an engineering design of a thermonuclear experimental reactor. It is required for the performance of ITER that ITER should have a confinement capability to reach controlled ignition and extended burn for a duration longer than characteristic time scales of plasma processes and plasma wall interactions [5.1]. The ELMy H-mode confinement is appropriate for satisfying this requirement, and is considered to be sustained in ITER. The expected performance of the confinement is based on the scaling law. At the present time, a following scaling law has been recommended by ITER Physics R&D Expert Group for ELMy H-mode confinement in ITER [5.2];

$$\tau_{th}^{ELMy} = 0.85 \tau_{th}^{ELM-free}, \quad (5.1)$$

where the thermal energy confinement time for ELM-free H-mode,  $\tau_{th}^{ELM-free}$ , was given by the ITER H-mode Database Working Group [5.3] as

$$\tau_{th}^{ELM-free} = 0.036 M^{0.41} I_p^{1.06} B_t^{0.32} n_e^{0.17} R^{1.9} a^{-0.11} \kappa^{0.66} / P_{net}^{0.67}. \quad (5.2)$$

The units in the scaling expression are following; confinement time  $\tau$  is in s, plasma current  $I_p$  in MA, toroidal field  $B_t$  in T, line averaged density  $n_e$  in  $10^{19} \text{ m}^{-3}$ , major radius  $R$  in m, minor radius  $a$  in m, and net power  $P_{net}$  is in MW. The ion mass number is denoted by  $M$  and the ellipticity by  $\kappa$ .

The thermal energy confinement time  $\tau_{th}$  is considered to be related to the heat diffusivity  $\chi$  in a plasma as  $\tau_{th} \propto a^2 / \chi$ . It is also related to the stored thermal energy  $W_{th} \propto a^2 R n_e T$  as  $\tau_{th} = W_{th} / P_{net}$ , where  $T$  is the plasma temperature. Replacing  $P_{net}$  with  $T$  by using above relations and Eqs. (5.1) and (5.2), we obtain a expression of  $\chi$  correspond to the H-mode confinement with and without ELM;

$$\chi^{H-mode} \propto (T / eB_t) \rho_*^{0.73} \beta^{1.205} v_*^{0.285}. \quad (5.3)$$

A small correction in Eq. (5.2) is necessary for the dimensional correctness, and the size scaling of  $R^{1.79} (R/a)^{0.11}$  is replaced to that of  $R^{1.775} (R/a)^{0.11}$ . In the relation (5.3),  $(T / eB_t)$  is the Bohm diffusion coefficient and other quantities,  $\rho_*$ ,  $\beta$  and  $v_*$ , are the non-dimensional

variables. The normalized gyro radius  $\rho_*$  is proportional to  $T^{0.5}/R B_t$ , the beta value  $\beta$  to  $n_e T/B_t^2$ , and the collisionality  $\nu_*$  to  $R n_e/T^2$ . Since the dependence of  $\chi^{\text{H-mode}}$  on  $\rho_*$  is nearly linearly proportional, confinement property of H-mode is like a gyro-Bohm type.

As for the L-mode confinement, ITER89-P scaling of the total energy confinement time  $\tau_E$  corresponds to a Bohm type confinement with  $\chi \propto (T/eB_t) \rho_*^0 \beta^{0.555} \nu_*^{0.275}$ . The improvement in the confinement has been evaluated with the use of H-factor ( $= \tau_E/\tau_E^{\text{ITER89P}}$  or  $= \tau_{\text{th}}/\tau_E^{\text{ITER89P}}$ ). The values of  $b$  and  $\nu_*$  in the ITER plasma with  $R^{\text{ITER}}$  and  $B_t^{\text{ITER}}$  will be almost the same as those attained in the present tokamak plasmas with  $R$  and  $B_t$ . For this situation, the value of  $\rho_*$  in ITER,  $\rho_*^{\text{ITER}}$ , will become smaller than the present  $\rho_*$  value;

$$(\rho_*^{\text{ITER}} / \rho_*) \approx (R / R^{\text{ITER}})^{5/6} (B_t / B_t^{\text{ITER}})^{2/3}. \quad (5.4)$$

Therefore, if the ELMy H-mode confinement is really a Gyro-Bohm type, H-factor in ITER will be larger than that obtained in the present experiments.

In order to confirm above physics base of ITER design, non-dimensional transport experiments for ELMy H-mode plasmas were required as ITER physics research needs [5.4]. The experiments, then, have been carried out in DIII-D [5.5] and in JET [5.6]. Non-dimensional plasma parameters except  $\rho_*$  have been chosen like ITER parameters. The gyro-Bohm type confinement was found in DIII-D, while the Bohm type confinement was found in JET. In this paper, we report the result of the non-dimensional transport experiments performed in JT-60U.

## 5.2 Experimental Results

We compare three ELMy H-mode shots with different  $B_t$  and  $I_p$  values; shot E23555 ( $B_t = 1.5\text{T} / I_p = 0.9\text{MA}$ ), shot 23556 ( $2.5\text{T} / 1.5\text{MA}$ ) and shot E23559 ( $3\text{T} / 1.8\text{MA}$ ). The neutral beam of deuterium is injected into deuterium plasmas. The plasma configurations of these discharges are nearly similar with each other as shown in Fig. 5.1;  $R \approx 3.27\text{ m}$ ,  $a \approx 0.82\text{ m}$ , and  $\kappa \approx 1.6$ . The safety factor at the 95% flux surface  $q_{95}$  is chosen as an ITER condition ( $q_{95} \approx 3$ ). Though one of the major aims of the experiments is to investigate the  $\rho_*$  scaling in the ITER relevant plasma condition, an important non-dimensional parameter of the aspect ratio of JT-60U,  $R/a \approx 4$  is different from the ITER's,  $R/a = 8.1\text{m}/2.8\text{m} \approx 2.9$ . Therefore, values of total  $\beta$  and poloidal  $\beta_p$  in JT-60U cannot simultaneously be set the same as in ITER, and only  $\beta_p$  value is set the same as in ITER. The collisionality is evaluated as that of passing particles,

$$\nu_* = \nu_{q95} R / v_{\text{th}} \approx 2 \times 10^{-3} n_e q_{95} R / T^2, \quad (5.5)$$

and the normalized gyro radius as that of a poloidal gyro radius,

$$\rho_* = m_e v_{eth} / e B_p R \approx 10^{-4} T^{0.5} q_9 / \kappa^{0.5} a B_t, \quad (5.6)$$

where  $n_e$  is in  $10^{19} \text{ m}^{-3}$ ,  $R$  in m,  $a$  in m,  $T$  in keV, and  $B_t$  is in T. The ratio of ion temperature  $T_i$  to electron temperature  $T_e$  is nearly unity as shown in Fig. 5.2 for  $B_t = 2.5\text{T}$ . The  $T_i$  (circles in the figure) is measured by charge-exchange recombination spectroscopy (CXR), and the  $T_e$  (squares) is measured by ECE Fourier transform spectrometer (ECE). This equi-temperature condition is one of ITER relevant conditions. The triangularity  $\delta \approx 0.1$  in JT-60U is rather small compared with that in ITER,  $\delta \approx 0.4$ .

Figure 5.3 shows the wave forms of three discharges. The line integrated electron density,  $n_e L$  ( $L$  is about 2.2 m), the stored energy measured by diamagnetic loop,  $W_{dia}$ , the injected neutral beam power,  $P_{NBI}$ , and the  $H_\alpha$  intensity from the divertor region,  $H_{\alpha div}$ , are demonstrated. In order to set values of  $\beta$  and  $v_*$  similar to the ITER values, the density is controlled by gas puffing and the neutral beam power is changed stepwise in time. The times of comparison, at which  $\beta$  and  $v_*$  are similar to ITER's, are indicated by broken lines. The L-H transitions for all cases occur enough before the times of comparison. In all cases, ELMy H-modes are obtained.

The results of experiment are summarized in Table 5.1, where ITER parameters are also listed for comparison. The absorption power  $P_{abs}$  is given by using a Orbit-following Monte-Carlo code to estimate the ripple loss. Values of  $T$  is those near  $r/a = 0.5$ . The thermal stored energy is calculated from profile data,  $n_e$ ,  $T_i^{CXR}$  and  $T_e^{ECE}$ , and from the consistency check with  $W_{dia}$ , neutron emission rate and so on. Values of thermal poloidal-beta  $\beta_{pth}$  are almost similar, while  $v_*$  for  $B_t = 1.5\text{T}$  is higher than others due to the difficulty in the control of low density. We consider that this difference affects little the conclusion because the effect of  $v_*$  on the transport may be much weaker than that of  $\beta$ .

The values of H-factor ( $H = \tau_E / \tau_E^{ITER89P}$ ) are compared among three cases. The H-factor changes little during the decrease of  $\rho_*$  from 2.4 ( $B_t = 1.5\text{T}$ ) to 1.8 ( $B_t = 2.5\text{T}$ ), and it is reduced at  $B_t = 3.0\text{T}$ . This fact suggests that the confinement property of ELMy H-mode in JT-60U is never a Gyro-Bohm type, but is like a Bohm type. The comparison of  $H_H$ -factor ( $H_H = \tau_{th} / \tau_{th}^{ELMy}$ ) shows the same conclusion: If the confinement is a Gyro-Bohm type,  $H_H$ -factor is nearly constant during the change of  $\rho_*$  value. In fact, however,  $H_H$ -factor is degraded by the decrease of  $\rho_*$ . Finally we compare the confinement time  $\tau_{th}$  normalized by the Bohm confinement time  $\tau_B \propto eB/T$ . Values of this Bohm-normalized confinement time vary little during the change of  $\rho_*$  value. This result also suggests a Bohm type confinement of ELMy H-mode in JT-60U plasmas under the ITER relevant condition.

### 5.3 Discussion

The non-dimensional transport experiments shows that the confinement property of ELMy H-mode in JT-60U plasmas is a Bohm type under the ITER relevant condition. The values of H-factor in these plasmas are rather low compared with DIII-D and JET results. We discuss in this subsection why the H-factor is not high and why the confinement becomes a Bohm type.

#### 5.3.1 Low H-factor in plasmas with $T_i/T_e \approx 1$

The values of H-factor are 1.42 for  $B_t = 1.5T$ , 1.39 for  $B_t = 2.5T$ , and 1.25 for  $B_t = 3.0T$ , which are rather low compared with those in DIII-D ( $H = 1.5 - 2.0$ ) [5.5] and in JET ( $H \approx 1.7$ ) [5.6]. The values of  $H_H$ -factor from 0.62 to 0.51 are also low.

In order to obtain the high H-factor in JT-60U plasmas, the high  $T_i$  condition ( $T_i/T_e > 1$ ) has been inevitable. A scaling expression for the H-factor of high  $T_i$  H-mode in JT-60U was given as  $H - 1 \propto (T_{i0}/T_{e0} - 1) n_e^{1.5}$  [5.7], where subscript 0 denotes the center value. The ratio  $T_{i0}/T_{e0}$  in the ITER relevant plasmas for the present experiments, however, is almost unity as already shown in Fig. 5.2. Therefore, high values of H-factor in the present plasmas are hardly attained.

#### 5.3.2 Effect of ELM activity on the improvement

Non-dimensional transport experiment for ELMy H-mode in JT-60U has shown that the H-factor does not increase and the  $H_H$ -factor decreases with the increase of  $B_t$ . This result suggests that the ELMy H-mode confinement looks a Bohm type. The transport property of L-mode plasmas in JT-60U was found to be a Weak Gyro-Bohm type [5.8]. It is difficult to imagine that the spatial dependence of the heat diffusivity becomes a Bohm type one in H-mode plasmas. A Bohm type property may be observed when we compare plasmas with different parameters. The cause of this Bohm type property can be explained as the effect of ELMs, which become more active in a higher  $B_t$  plasma than in a lower  $B_t$  plasma.

An index of the ELM activity,  $\beta/\rho_*$ , was found in Ref. [5.9]. Giant ELMs start when this index exceeds a limit as was shown in Fig. 1(b) in Ref. [5.9]. As the input power increases and the value of  $\beta/\rho_*$  increases beyond the limit, the ELM frequency  $f_{ELM}$  becomes high. This tendency was clearly shown in Fig. 2(b) in Ref. [5.9] also. Throughout the non-dimensional transport experiments, the index is increasing with the increase of  $B_t$  (decrease of  $\rho_*$ ), and  $f_{ELM}$  is also increasing. One can clearly see in Fig. 5.3 that  $f_{ELM}$  becomes higher in higher  $B_t$  plasmas. When  $f_{ELM}$  becomes higher, the improvement in the confinement can be degraded [5.9]. The relation between  $f_{ELM}$  and H-factor is shown in Fig. 5.4, which is the same as Fig. 9(a) in Ref. [5.9]. We suppose, therefore, that the feature of a Bohm type

confinement is caused by the suppression of improvement due to enhanced ELM activity during the increase of  $B_t$ .

#### 5.4 Summary

We have carried out the non-dimensional transport experiments of ELMy H-mode in JT-60U under the ITER relevant condition. The results shows that the confinement property of the ELMy H-mode is a Bohm type. When  $B_t$  increases with constant  $\beta$  and decreasing  $\rho_*$ , an index of ELM activity,  $\beta/\rho_*$ , increases and ELMs become active. We suppose that this enhanced ELM activity suppresses the improvement in the H-mode confinement of a plasma with smaller  $\rho_*$ . This suppression can be the cause of the Bohm type confinement of ELMy H-mode in JT-60U.

In order to reduce the ELM activity, the increase of the triangularity  $\delta$  of a plasma cross section can be efficient. We will perform the non-dimensional transport experiments in the high  $\delta$  configuration of JT-60U.

#### Acknowledgment

The authors are grateful for the experimental support of members of the JT-60U team.

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Table 5.1 Experimental results and comparison with ITER

	JT 60-U			ITER
R (m)	3.3			8.1
a (m)	0.82			2.8
V (m <sup>3</sup> )	63			2000
Shot No.	E23555	E23556	E23559	
B <sub>t</sub> (T)	1.5	2.5	3.0	5.7
I <sub>p</sub> (MA)	0.9	1.5	1.8	21
q <sub>95</sub>	3.0	3.0	3.0	3.0
P <sub>abs</sub> (MW)	3.0	7.0	9.5	
n <sub>e</sub> (10 <sup>19</sup> m <sup>-3</sup> )	1.7	2.5	3.3	13
T (keV)	1.5	2.5	2.7	10
W <sub>th</sub> (MJ)	0.52	1.32	1.83	
β <sub>pth</sub>	0.43	0.40	0.39	0.5
v* (10 <sup>-3</sup> )	13	8	8	4
ρ* (10 <sup>-3</sup> )	2.4	1.8	1.6	0.5
τ <sub>th</sub> (s)	0.17	0.19	0.19	
H(ITER89P)	1.42	1.39	1.25	
H <sub>H</sub> (ELMy H)	0.62	0.55	0.51	
(τ <sub>th</sub> T/eB <sub>t</sub> ) <sub>nor</sub>	0.95	1	0.89	

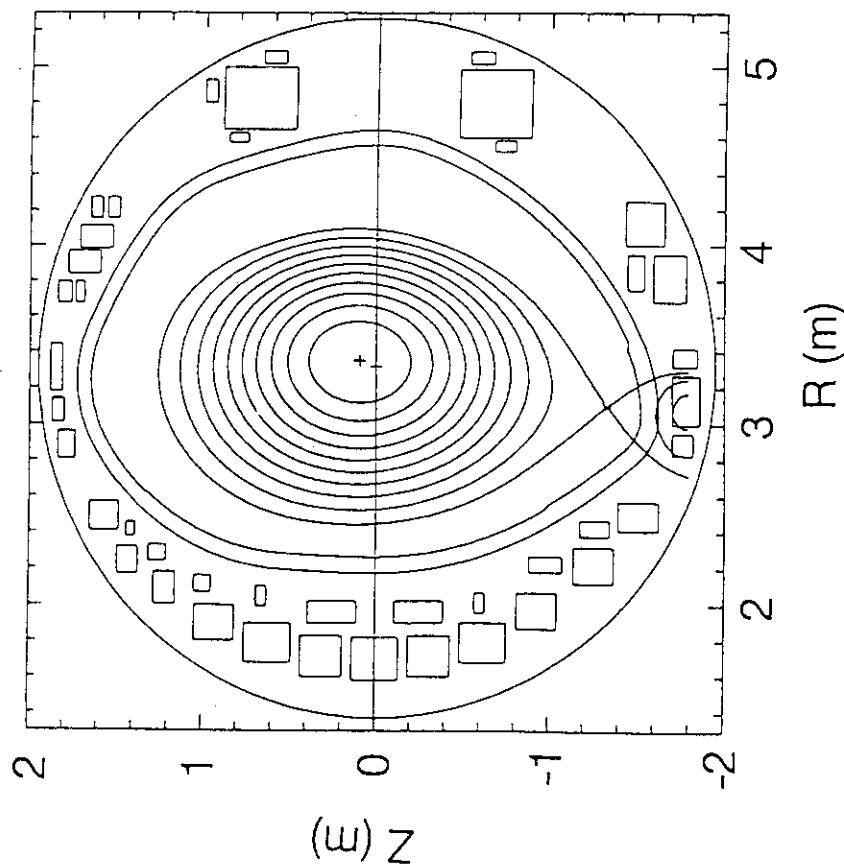


Fig. 5.1 Plasma configuration in JT-60U.

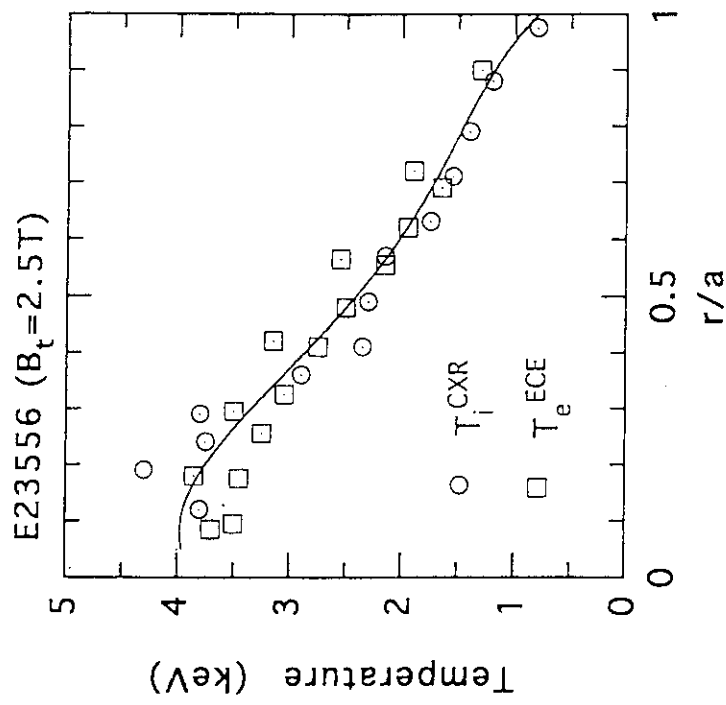


Fig. 5.2 Temperature profile for  $B_t = 2.5$  T. Circles denote  $T_i$  measured by CXR and squares denote  $T_e$  measured by ECE. They have nearly the same values.

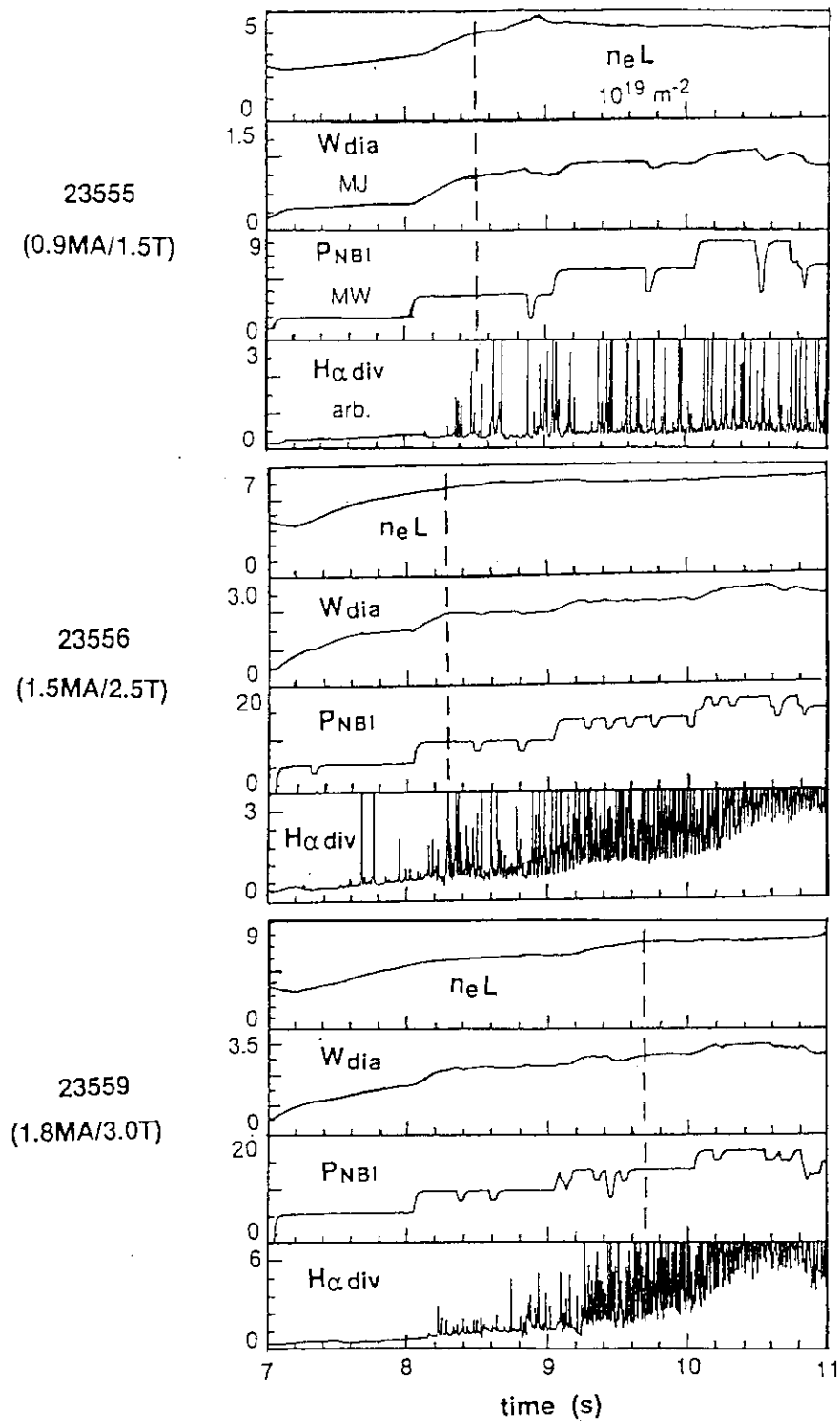


Fig. 5.3 Time evolution of  $n_e L$ ,  $W_{dia}$ ,  $P_{NBI}$ , and  $H_{\alpha} div$  for three shots with  $B_t = 1.5T$ ,  $2.5T$ , and  $3.0T$ . Dashed lines denote the times of comparison. ELMs become active in a higher  $B_t$  plasma.

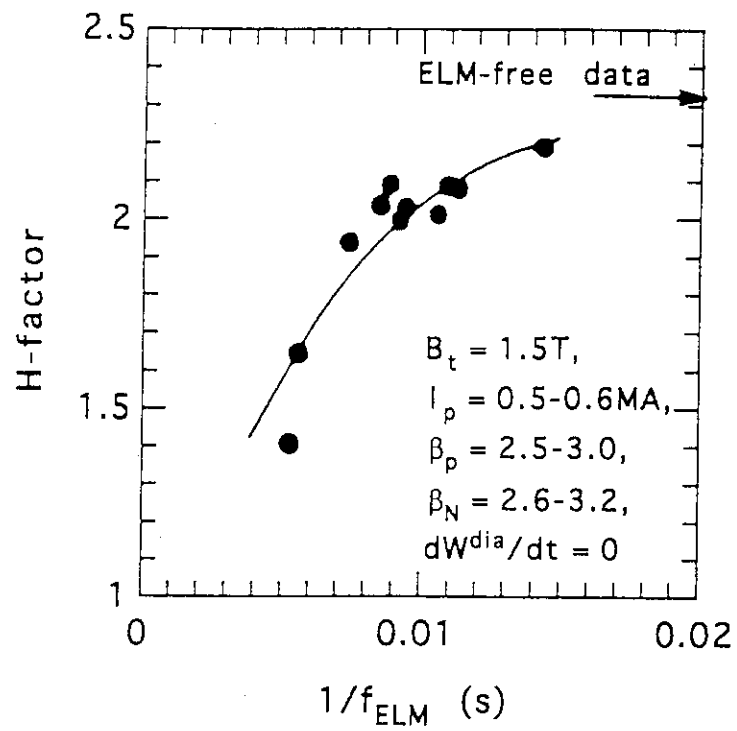


Fig. 5.4 Relationship between  $f_{ELM}$  and H-factor.

## 6. Threshold Power for H-mode Transition in JT-60U Plasmas

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### 6.1 Introduction

Fusion reactors, such as ITER, are designed based on the H-mode confinement scheme. Threshold power ( $P_{th}$ ) for the H-mode transition is one of the key issues for design of reactors. Following scaling of  $P_{th}$  was proposed so far [6.1];  $P_{th}^{ITER} \propto \bar{n}_e B_t S$ , where  $\bar{n}_e$ ,  $B_t$  and  $S$  are line-averaged electron density, toroidal magnetic field and the plasma surface area, respectively. Although scaling of confinement time depends on the plasma current ( $I_p$ ) [6.2], the dependence of  $P_{th}$  on  $I_p$  have been considered to be very weak. Here, dependence of  $P_{th}$  on  $I_p$  and effective safety factor ( $q_{eff}$ ) have been carefully studied, as well as its dependence on  $B_t$  and  $\bar{n}_e$  in single-null divertor operation with  $R \sim 3.3$  m and  $a \sim 0.83$  m [6.3].

### 6.2 Threshold power

In neutral beam heated JT-60U plasma with boronized vessel walls, parametric scans were performed in order to reappraise the dependence of  $P_{th}$  on  $I_p$  ( $0.9\text{MA} \leq I_p \leq 2.4\text{MA}$ ),  $q_{eff}$  ( $3.6 < q_{eff} < 10.2$ ),  $B_t$  ( $1.5\text{T} \leq B_t \leq 4.1\text{T}$ ) and  $\bar{n}_e$  ( $0.7 \times 10^{19}\text{m}^{-3} \leq \bar{n}_e \leq 2.8 \times 10^{19}\text{m}^{-3}$ ). Performed parametric scans are categorized into four cases; (1)  $I_p$  scan with  $B_t = \text{const}$  and  $\bar{n}_e \sim \text{const}$ , (2)  $B_t$  scan for  $I_p = \text{const}$  and  $\bar{n}_e \sim \text{const}$ , (3)  $I_p$  and  $B_t$  scan for  $q_{eff} = \text{const}$  and  $\bar{n}_e \sim \text{const}$ , and (4)  $\bar{n}_e$  scan for  $I_p = \text{const}$  and  $B_t = \text{const}$ . The discharge regions are shown in Fig. 6.1. The plasma parameters are as follows:  $2 \leq Z_{eff} \leq 5.5$ ,  $0.2\text{MW} \leq P_{rad} \leq 2.2\text{MW}$  where  $P_{rad}$  is radiated power from main plasma.

Since recycling condition of the wall and neutral particle behaviors are found to influence the transition criteria [6.4], the experiments were carried out in continuous discharges ( $\sim 70$  discharges) with a fixed small ripple-loss configuration which is shown in Fig. 6.2. The ripple loss is less than 20%. Data of  $P_{th}$  from only discharges with low recycling have been analyzed in this paper. The typical time evolution of the plasma parameters is shown in Fig. 6.3. In order to evaluate the  $P_{th}$  for accuracy, neutral beams were injected into plasma in time dependent shape of step. Net power ( $P_{net}$ ) is evaluated based on following equation;  $P_{net} = (1 - \text{Freion})(P_{inj} - P_{shine}) + POH - dW/dt$ , where  $P_{inj}$ ,  $P_{shine}$ ,  $POH$ ,  $\text{Freion}$  and  $W$  are power of injected NBI, shine through, ohmic, fraction of reionization and diamagnetic stored energy.

The dependence of observed  $P_{th}$  on density is shown in Fig. 6.4 for the region of  $\bar{n}_e$  ( $10^{19}\text{m}^{-3}$ )  $\geq 1.2$ . The region of density was restrained, because there was a threshold density below which the H-mode is never obtained for minimum  $P_{th}$  [6.5]. Obtained scaling is  $P_{th} \propto \bar{n}_e^{0.5}$  for the region. The density dependence of  $P_{th}$  was weaker than  $P_{th}^{ITER}$ .

Concerning the magnetic field strength, dependence of  $P_{th} / \bar{n}_e^{0.5}$  on the toroidal magnetic field is shown in Fig. 6.5. It was found that  $P_{th}$  depends on the toroidal magnetic field and its power factor was about 1 in a wide range of  $B_t$  from 1.5 to 4.1 T.

The density dependence of  $P_{th} / (\bar{n}_e^{0.5} B_t)$  is shown in Fig. 6.6. The function of  $f(\bar{n}_e)$  was assumed to be around unity for  $\bar{n}_{e19} \geq 1.2$  and  $f = 1.2 / \bar{n}_{e19}$  for  $\bar{n}_{e19} \leq 1.2$ . The threshold power increases with the decreasing density in the range of  $\bar{n}_{e19} \leq 1.2$ . It was confirmed again that there is a threshold density below which the H-mode is never obtained for minimum  $P_{th}$  [6.5].

The dependence of  $P_{th} / (\bar{n}_e^{0.5} B_t)$  on  $q_{eff}$  is shown in Fig. 6.7. For  $q_{eff} < 7$  there is no  $q_{eff}$  dependence of  $P_{th}$ . However, the threshold power is found to increase with decreasing  $I_p$  for  $q_{eff} > 7$ . The  $q_{eff}$  dependence of  $P_{th} / (B_t \bar{n}_e^{0.5})$  is written in the function of  $g(q_{eff}) = \exp\{[(q_{eff}-1)/10]^4\}$ . Therefore the following scaling is obtained;  $P_{th}(MW) = 1.1 B_t(T) \bar{n}_{e19}^{0.5} (10^{19}m^{-3}) f(\bar{n}_e) g(q_{eff})$ . The comparison between the data and scaling of threshold power is shown in Fig. 6.8. There is good agreement between them.

Normalized  $P_{net}$  to  $P_{th}$  is shown in Fig. 6.9 for all data including high recycling shots. The values of  $P_{net} / P_{th}$  in the high recycling shots (shot no. < 23530) are large compared with that in the low recycling shots. Since recycling condition of the wall affects the threshold power, here the threshold power in only low recycling shots was discussed.

### 6.3 Discussion

The L-H transition threshold was studied using the net power reaching the plasma edge,  $P_{net*} = P_{net} - P_{rad}$  [6.6]. The value of  $P_{rad}$  is from 5 % to 20% of  $P_{net}$ . Even if the radiation is taken into account in the evaluation of  $P_{th}$ , the parametric dependence of  $P_{th}$  is the same as above-mentioned dependence and following scaling is obtained:  $P_{th}(MW) = 1.0 B_t(T) \bar{n}_{e19}^{0.5} (10^{19}m^{-3}) f(\bar{n}_e) g(q_{eff})$ . Only factor is changed from 1.1 to 1.0.

### 6.4 Summary

The following scaling is obtained for  $\bar{n}_{e19} \leq 2.8$ ;  $P_{th}(MW) = 1.1 B_t(T) \bar{n}_{e19}^{0.5} (10^{19}m^{-3}) f(\bar{n}_e) g(q_{eff})$ , where  $f(\bar{n}_e)$  is around unity for  $\bar{n}_{e19} \geq 1.2$  and  $f = 1.2 / \bar{n}_{e19}$  for  $\bar{n}_{e19} \leq 1.2$  and  $g(q_{eff}) = \exp\{[(q_{eff}-1)/10]^4\}$ . The density dependence of  $P_{th}$  was rather weaker than  $P_{th}^{ITER}$ . The threshold power increases with decreasing density in the range  $\bar{n}_{e19} \leq 1.2$ . There is a threshold density below which the H-mode is never obtained for minimum  $P_{th}$ . For  $q_{eff} < 7$  there is no  $q_{eff}$  dependence of  $P_{th}$ . However, for  $q_{eff} > 7$  the threshold power decreases with increasing  $I_p$ .

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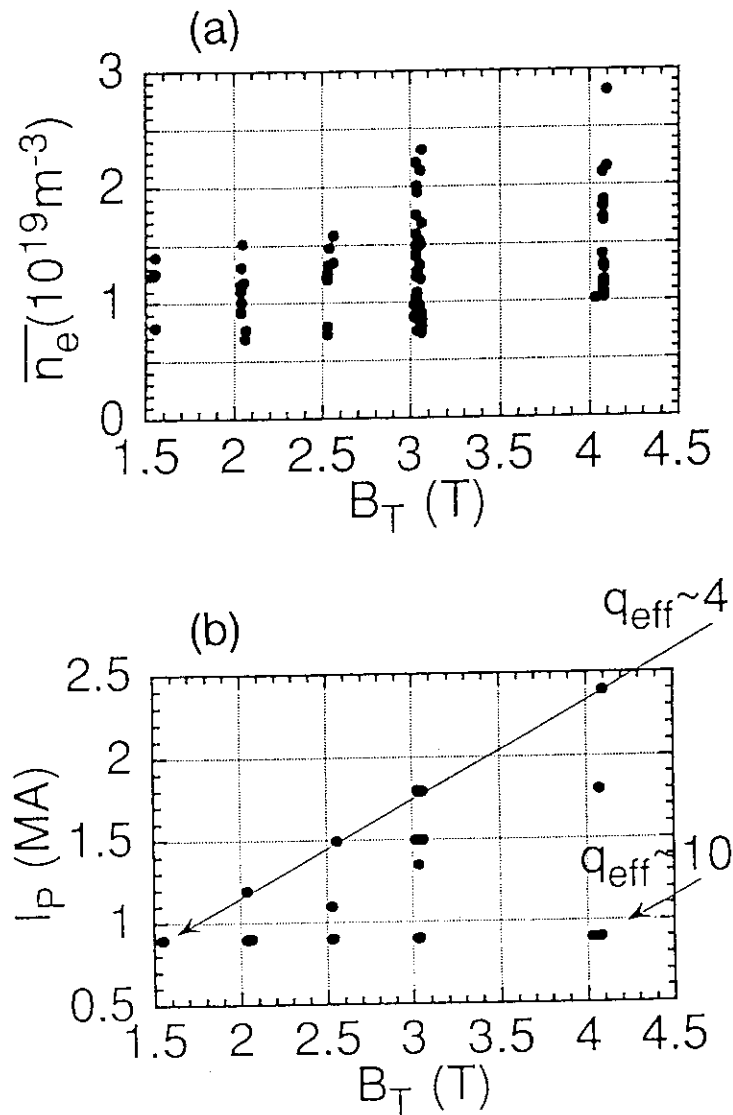


Fig. 6.1 Discharge region. (a)  $\bar{n}_e$  vs  $B_t$  (b)  $I_p$  vs  $B_t$ .

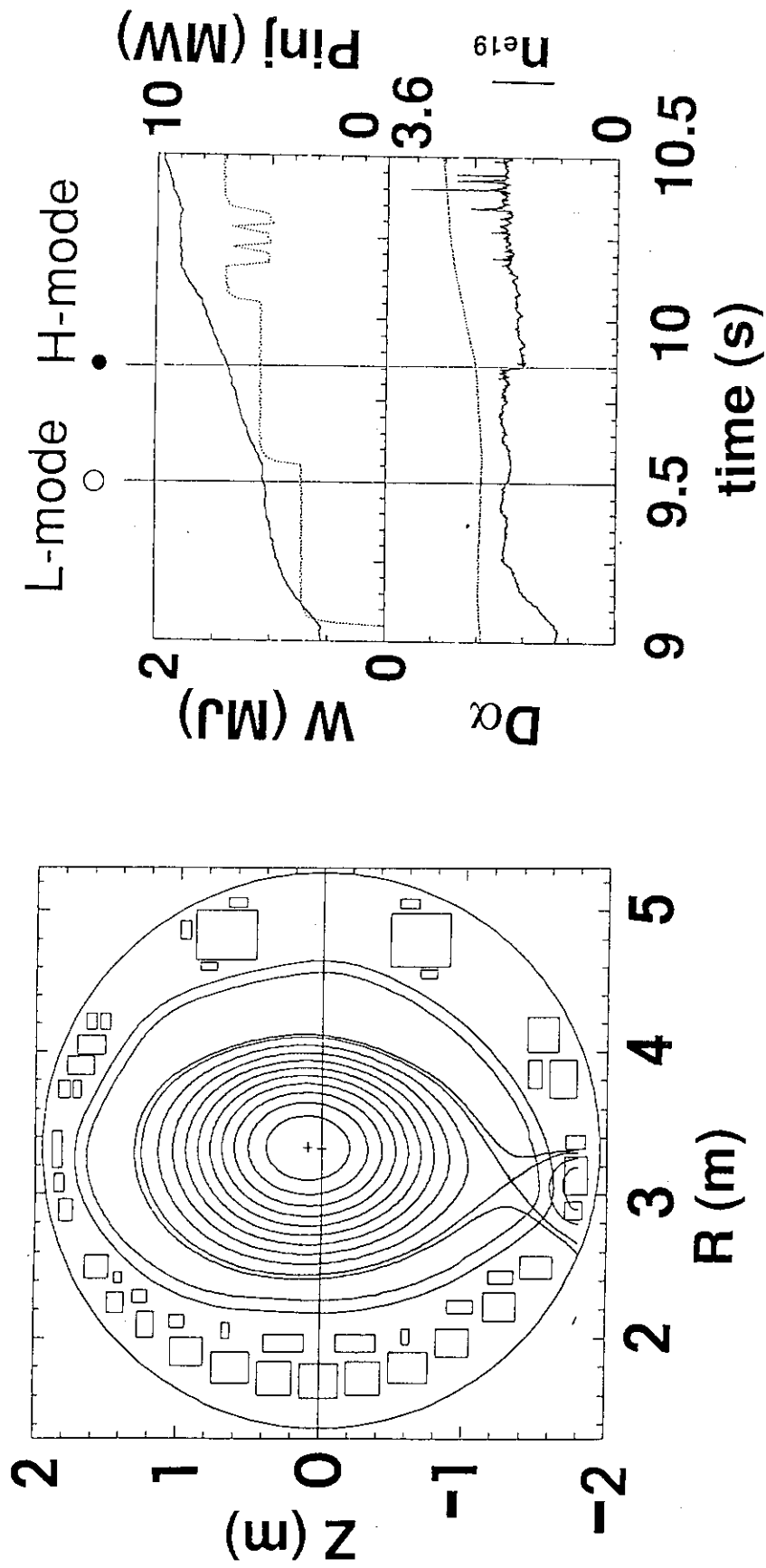


Fig. 6.2 Typical configuration with small ripple-loss.

Fig. 6.3 Typical time evolution of plasma parameters.



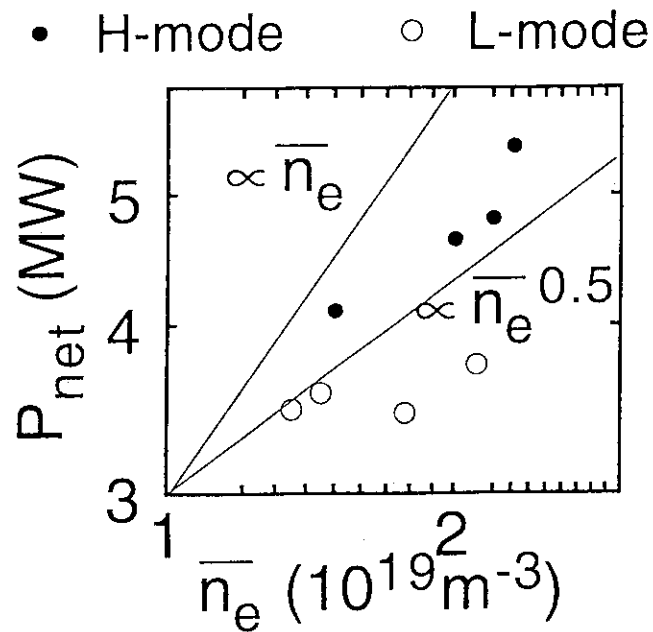


Fig. 6.4 Density dependence of  $P_{\text{th}}$  for  $B_t = 3\text{T}$ ,  $I_p = 1.8\text{MA}$ ,  $\bar{n}_{e19} \geq 1.2$ .

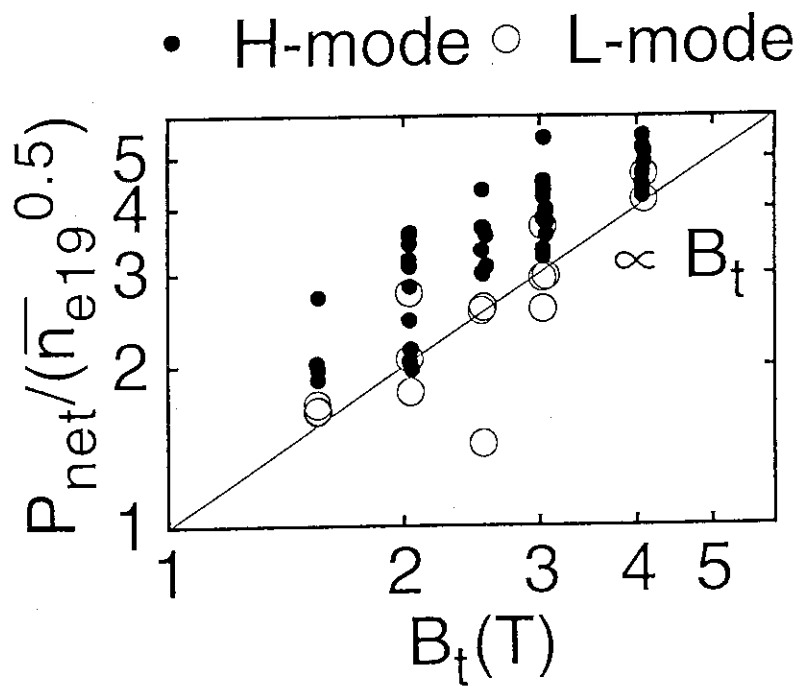


Fig. 6.5 Toroidal magnetic field dependence of  $P_{\text{th}} / \bar{n}_e^{0.5}$  for  $\bar{n}_{e19} \geq 1.2$ ,  $q_{\text{eff}} < 7$ .

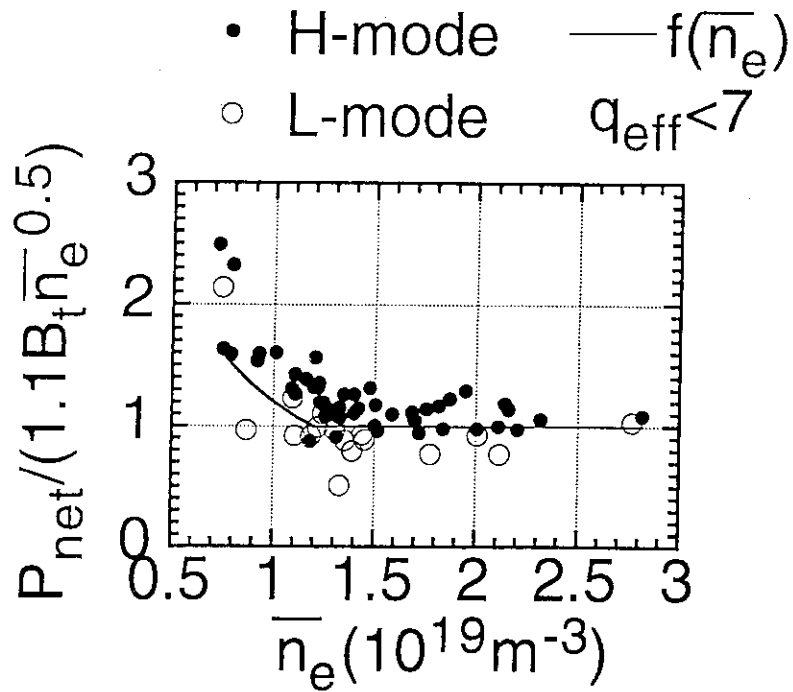


Fig. 6.6 Density dependence of  $P_{\text{th}} / (\bar{n}_e^{0.5} B_t)$  including low density data for  $q_{\text{eff}} < 7$ .

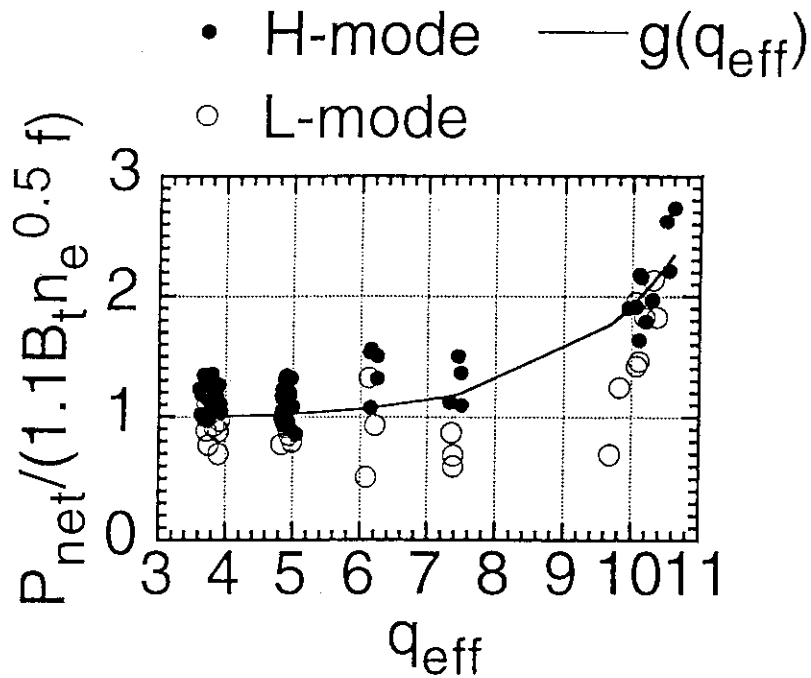


Fig. 6.7 In high  $q_{\text{eff}}$  region, the  $q_{\text{eff}}$  dependence of  $P_{\text{th}} / (\bar{n}_e^{0.5} B_t)$  for  $\bar{n}_{e19} \geq 1.2$ .

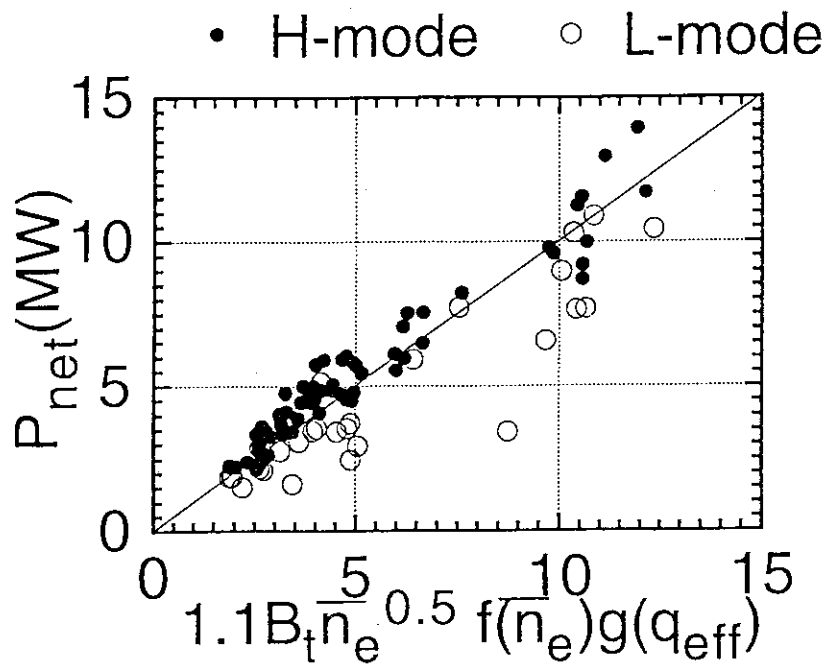


Fig. 6.8 Comparison between the all data except high recycling discharges and obtained scaling of threshold power.

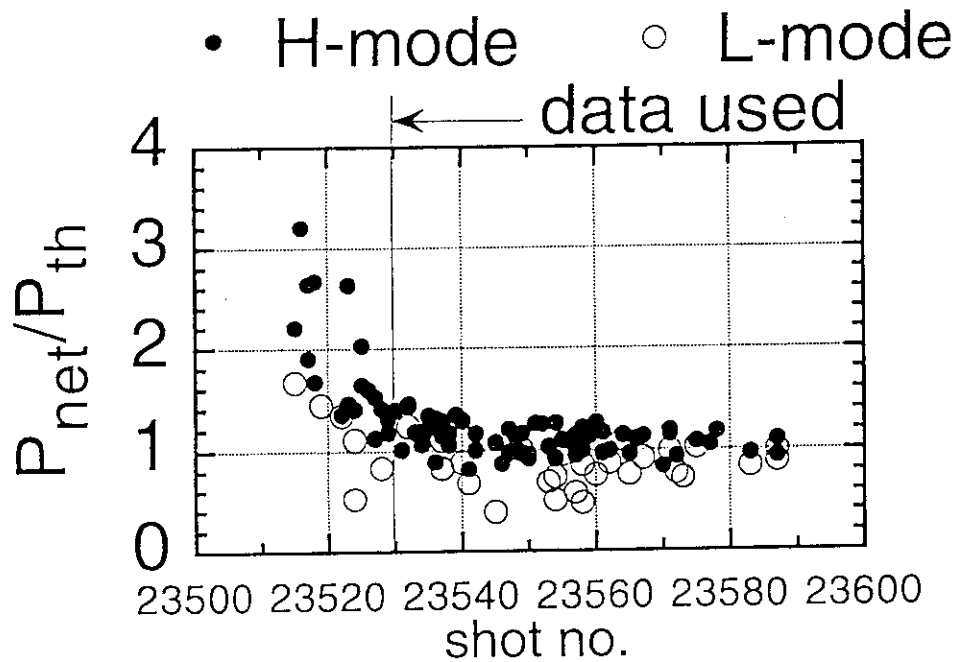


Fig. 6.9 Normalized  $P_{\text{net}}$  to  $P_{\text{th}}$  for all data including high recycling data.

## 7. Parametric Study of Edge Local Quantities across the H-mode Transition Observed at JT-60U

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### 7.1 Introduction

It is now regarded to be inconceivable to operate fusion reactors without the H-mode, which is ubiquitously observed in various plasma devices. However, neither conditions to attain the H-mode nor physical mechanism has been fully understood. Therefore, it has been urged to compile the threshold database in order to predict the required heating capability in ITER, as well as to stimulate theoretical works.

Issues of investigations are (1) why the H-mode transition power threshold ( $P_{NB}^{th}$ ) is remarkably dependent on  $B_T$ , but less significant on  $I_p$ , which is contrary to their contributions to the confinement properties, (2) whether the low density limit for the H-mode transition really exists and how it is scaled, (3) how much is the contributions of the neutrals on  $P_{NB}^{th}$ , (4) if the edge ion collisionality is an adequate criteria to describe the H-mode transition, (5) isotope effect, (6) how we can scale the L to H back transition and (7) whether the non-dimensional transition parameters can be an effective measure of  $P_{NB}^{th}$ . This paper mainly address the items from (1) through (5) except (3), of which detailed description is given in another section. Results of the scaling studies will also be briefly described, since it is discussed in the previous section.

### 7.2 Experiment

In order to reasonably reduce the influence of wall conditions as much as possible, power threshold data was obtained from the consecutive tokamak discharges in a single series of experiment. Neutral beam (NB) power was varied stepwise with the size of 1 - 2 MW during the discharge to effectively define the heating power right above and below the threshold. 118 H-mode transition data was obtained from 71 pulses, and the operational parameters were as follows: (a)  $I_p = 0.9 - 2.4$  MA, (b)  $B_T = 1.5 - 4.0$  T, (c) averaged density  $\bar{n}_e = 0.7 - 2.8 \times 10^{19} \text{ m}^{-3}$ , (d)  $V_p \sim 61.8 \text{ m}^3$  ( $S \sim 138 \text{ m}^2$ ), (e)  $X_p \sim 0.18 \text{ m}$  and (f)  $\kappa \sim 1.56$ . Averaged time derivative fraction of the stored energy  $\dot{W}$ ,  $P_{rad} / P_{net}$  ( $= P_{abs} - \dot{W} + P_{OH}$ ) and the ripple loss (including the charge exchange and orbit loss) were respectively 22.0%, 13.1% and 24.3%. As we kept the equilibrium configuration the same throughout the experiment, the averaged  $Z_{eff}$  was 2.76, and the major impurity species were carbon ( $\sim 3.5\%$ ) and oxygen ( $\sim 1.6\%$ ).

Hereafter, carbon is regarded as an influential impurity species in the code analysis and the estimate of ion collisionality discussed in the later subsections.

In addition to the (i) increase of  $n_e$  and the diamagnetic stored energy  $W^{dia}$ , (ii) reduction of  $D_\alpha$  and  $\tilde{n}_e$  (with a multichannel microwave reflectometer) as well as the values of edge  $T_i$  and  $v_\Phi$ ,  $\theta$  (with charge exchange recombination diagnostic of CVI line) were examined to determine the time of transition.

### 7.3 Threshold power scaling

NB absorption power and the ripple loss fraction were respectively evaluated by the TOPICS and OFMC codes, whilst ohmic input and the radiation power were obtained by the FBI code. As discussed in the prior section,  $P_{NB}^{th}$  is scaled as  $n_e^{0.5} B_T^{1.0}$  in the region  $\bar{n}_e \geq 1.5 \times 10^{19} \text{ m}^{-3}$  [7.1]. This is congenial to the  $n_e^{0.75} B_T S$  scaling produced by the ITER H-mode database working group [7.2], which is weighted more on larger devices, and not to the  $n_e B_T R^{0.75}$  scaling, seemingly due to the similar dependence on  $n_e$ . However, JT-60U's boundary is still 20% higher than the  $n_e^{0.75} B_T S$  scaling, in spite of the fact that the current data base for  $P_{NB}^{th}$  is the lowest, in comparison to the publications at IAEA Würzburg (1992) [7.3] and Seville (1994) [7.4] conference. Reduction in  $P_{NB}^{th}$  is believed to be ascribed to the way the database was compiled, and we suggest that the accumulation of the data taken at different occasions with even a slight difference of the equilibrium shape, may easily mislead the results of  $P_{NB}^{th}$  scaling. The quality of database having been remarkably improved,  $I_p$  dependence of  $P_{NB}^{th}$  was not resolved.

### 7.4 Relation between the threshold power scaling and local quantities

In this section, we will focus on the behaviour of  $P_{NB}^{th}$  in the low density regime, with respect to the edge local quantities. Among the various experimental documentations in various tokamaks, the significance of the edge plasma temperature has been disputed as one of the key issues to induce the H-mode transition. Theoretical considerations take this quantity in terms of the ion collisionality  $\nu_i^*$  ( $= \nu_{ii} R q / \epsilon^{2/3} v_{th}$ ). As predicted by the Shaing's theory, high-energy collisionless particles contribute to the ion orbit loss and drive a poloidal torque, while low-energy collisional particles contribute to the poloidal viscosity and resist poloidal rotation. The critical  $\nu_i^*$  at which the poloidal flow velocity makes a sudden change to a more positive value is expected to be around unity. However, quantitative agreement with the theory has not been previously shown. A candidate for this discrepancy is the influence of impurities, since the transition condition must naturally be influenced by the impurities. One of the mechanism is the enhancement of the average ionic charge  $Z_{eff}$ . When  $Z_{eff}$  increases, pitch angle scattering of the bulk ions becomes more frequent and the threshold value increases. The other mechanism is

the non-ambipolar loss of impurities, which is caused by the rotation induced ion-ion collisions. JFT-2M evaluated at 7 mm inside the separatrix to obtain the value of  $v_i^*$  around 50, whereas for ASDEX it was larger than three. The DIII-D work also did not include the impurity effect.

In this work, we have investigated the effect of ion-impurity collision theoretically in terms of the normalized viscosity coefficient, considering the ion distribution function is non-Maxwellian in the area of our interest. Key impurity effect comes from the change of the deflection and the anisotropy relaxation frequencies by the impurity. In order to have the formula conserve the structure, we have replaced  $v_i^*$  with  $v_i^*(Z_{\text{eff}}n_e/z_i^2n_i)$  [7.5]. We can expect such an effect on fast ion orbit loss. This treatment is included hereafter for the estimate of the ion collisionality at the transition, and we denote as  $v_{i\text{eff}}^{*95}$  evaluated at the 95% of the outermost flux surface position.

As seen in Fig. 7.1,  $P_{\text{NB}}^{\text{th}}$  increases significantly in the region below  $\bar{n}_e = 1.5 \times 10^{19} \text{ m}^{-3}$ , whilst  $v_{i\text{eff}}^{*95}$  is concomitantly reduced in the same region. Open circles indicate the data right before the transition, and crosses represent the data at the very end of the previous NB power step; namely right above and below  $P_{\text{NB}}^{\text{th}}$ , respectively. It is noteworthy as well that the value of ion collisionality was first shown to reside around unity in deuterium discharge in the region  $\bar{n}_e \geq 1.5 \times 10^{19} \text{ m}^{-3}$ . Slight decrease towards higher density region is also shown in Fig. 7.2, however, definitive statement will have to be done after obtaining additional transition data in the region  $\bar{n}_e \geq 3.0 \times 10^{19} \text{ m}^{-3}$ . Fig. 7.3 shows the relation between  $T_i^{95}$  and  $n_e^{95}$  for  $\bar{n}_e$  over and below  $1.2 \times 10^{19} \text{ m}^{-3}$ . Here, data was restricted to  $q_{\text{eff}} (= q^{95}/0.95) < 8$  from the reason mentioned in the succeeding paragraph. For  $\bar{n}_e \geq 3.0 \times 10^{19} \text{ m}^{-3}$ ,  $T_i^{95}$  increases as  $n_e^{95}$ , which supports the agreement with the constant collisionality. However, in the region  $\bar{n}_e \geq 3.0 \times 10^{19} \text{ m}^{-3}$ ,  $T_i^{95}$  soars in the fixed  $n_e^{95}$  region. This result indicates that the reduction of  $v_{i\text{eff}}^{*95}$  is ascribed to the increase of  $T_i^{95}$  i.e., additional NB power is necessary to increase  $T_i^{95}$  and make  $v_{i\text{eff}}^{*95}$  reach the value as low as adequate for transition in the low density regime. The role of neutral density  $n_0$  to reduce the threshold of  $v_{i\text{eff}}^{*95}$  is discussed in the succeeding section, where it is documented with the use of DEGAS code that an increase of  $n_0^{95}/n_e^{95}$  can cause the reduction of threshold value of  $v_{i\text{eff}}^{*95}$  [7.6]. We also know from the previous experimental results that beam deposition profile is more peaked for low density target plasmas. Therefore, edge heating is less effective for low density plasmas, and it is possible that the necessary NB power is even more enhanced to induce the transition.

As we have observed peculiar feature for the data  $q_{\text{eff}} > 8$ , we have divided the low density data  $\bar{n}_e \leq 1.5 \times 10^{19} \text{ m}^{-3}$  into three regions of (1)  $3.6 < q_{\text{eff}} < 4.0$ , (2)  $4.3 < q_{\text{eff}} < 7.7$  and (3)  $9.1 < q_{\text{eff}} < 11$ . As seen in Fig. 7.4, apparent  $q_{\text{eff}}$  dependence on the low density limit was resolved. Although the difference between the cases of (1) and (2) is subtle, it is shown that larger  $q_{\text{eff}}$  can increase the low-density transition boundary significantly. From the

assumption that the width of scrape-off-layer (SOL)  $\lambda_c$ , which is directly relevant to the penetration of neutral particles, is proportional to  $(2D_\perp L_c / C_s)^{1/2}$  [7.7], where  $C_s$ ,  $L_c$  and  $D_\perp$  are respectively ion acoustic velocity ( $= \{k(T_e + T_i) / m_i\}^{1/2}$ ), connection length ( $= qR$ ) and Bohm diffusivity ( $= 0.06 T_e \text{ (eV)} / B_T \text{ (T)}$ ), one might expect that edge neutral density has a definitive role to define the low density transition limit. However,  $\lambda_c$  can also be reduced to  $T_e^{1/4} I_p^{-1/2} = I_p^{-1/4}$  (supposing  $T_e^{95} \propto I_p$ ), which again defies the inclusion of  $B_T$  in the  $P_{NB}^{\text{th}}$  scaling. Having an equation that the penetration depth is described as  $\lambda_{iz} \propto \bar{n}_e^{-7/10} \sim I_p^{-7/10}$  (assuming  $n_e^{95} \propto I_p$ ) in mind, it has to be further investigated why the  $I_p$  dependence was not apparently seen. In addition, the role of neutrals in regard to the origin of density dependence will be another issue of further studies. DEGAS analysis would have to be extended to higher density region to show that neutral density increase with the density.

Boundaries of  $P_{NB}^{\text{th}}$  in each  $q_{\text{eff}}$  regime was fitted with the function; i.e.  $f(\bar{n}_e, q_{\text{eff}}) = a + b / (\bar{n}_e + c)^d$ , and  $P_{NB}^{\text{th}}$  data was divided by the relevant  $f(\bar{n}_e, q_{\text{eff}})$ , which provided the Fig. 7.5. It shows that the whole data, regardless of the ranges of  $\bar{n}_e$  and  $q_{\text{eff}}$ , can be fitted to the  $n_e^{0.5} B_T^{1.0}$  scaling. However, it is noteworthy that for the first 10 to 20 discharges, there exist several data, which is well above  $P_{NB}^{\text{th}}$ . These data shows higher  $T_i^{95}$  for  $n_e^{95}$ , in comparison to other data obtained in the later phase of the experiment, which is indicative of the influence of wall conditions (either impurity or neutrals).

In many of the discharges sawteeth were present. However, it was observed that under the heating power defined as right below  $P_{NB}^{\text{th}}$ , plasma did not turn into the H-mode, until the NB power step was increased. This result indicates that the amount of heat flux emitted from the core region of the plasma and passes through the edge layer is less than the NB power step.

Inclusion of the radiation loss from the main plasma region did not remarkably modify the scaling established. Its influence, which indicates the  $n_e^{\alpha(Z_{\text{eff}})}$  dependence was not observed, due to the relatively small fraction  $P_{\text{rad}} / P_{\text{net}}$  of 13.1%. As to the ripple loss effect, which is scaled as  $n_e^{0.53} I_p^{-0.04}$  for a fixed configuration, neither exhibited major modifications to the scaling, and it only reduced the coefficient from 1.0 to 0.63. It was also found that  $P_{NB}^{\text{th}}$  data with the inclusion of the radiation power and ripple loss agreed well (absolute values were also similar) with the  $n_e^{0.75} B_T S$  scaling produced by the ITER H-mode database working group.

## 7.5 Addendum

This subsection mainly deals with the  $P_{NB}^{\text{th}}$  data obtained in the last experimental campaign, where comparison of the threshold power and edge local parameters were compared between the deuterium and hydrogen discharges, as well as the grad-B direction towards and against the X-point. The equilibrium configuration was very similar to the one recently analyzed, and the operational parameters were: (a)  $I_p = 0.95 - 1.72$  MA and (b)  $B_T = 2 - 3.5$  T.

Since the comparison of the absolute value of  $P_{NB}^{th}$  with the recent experiment is believed to be difficult, result of relative comparison is summarized in this paper.  $P_{NB}^{th}$  is the largest for the case  $\nabla B$  drift direction against the X-point with deuterium as an acting gas, and larger for hydrogen discharge with  $\nabla B$  drift direction towards the X-point. The smallest was the deuterium with  $\nabla B$  drift direction towards the X-point. Recalling that deuterium reduces the edge transport by the mass effect, it can be speculated that  $P_{NB}^{th}$  is smaller when edge confinement is improved. Superior transport property makes it easier to increase the edge ion temperature i.e., to reduce the value of  $v_i^*_{eff}$ . Compiled data was smaller than the expectations from the  $1.5B_T^{1.5}$  scaling, and the density dependence was not clearly resolved in this experimental campaign. It has to be mentioned that the number of pulses undertaken for this particular experiment was less than the recent experiment.

The value of  $v_i^*_{eff}$  was the same between the deuterium and hydrogen discharges. This is reasonable, since root-squared mass terms included in the numerator and denominator cancel out in the  $v_i^*$  formula. When the direction of  $B_T$  was reversed, however, the value of  $v_i^*_{eff}$  was reduced, as in the case of the low density discharge mentioned in the previous subsections. Since the value of  $v_i^*_{eff}$  at the transition is not influenced by the changes of equilibrium configuration, this result might be indicating the changes of edge neutral density. This is also consistent with the fact that  $P_{NB}^{th}$  was the largest for the case of  $\nabla B$  drift direction against the X-point. In addition,  $q_{eff}$  dependence was not found in this campaign. Comparison of the edge  $T_i$  and  $T_e$  showed similar tendency to the recent experiment.

## 7.6 Discussion

Although the quality of the transition database was remarkably improved, further investigations to understand the influence of neutrals as well as the reason why the contribution of  $I_p$  is not apparent would have to be pursued.

## 7.7 Summary

In order to reasonably reduce the influence of wall conditions as much as possible, power threshold data was obtained from the consecutive tokamak discharges in a single series of experiment with a fixed equilibrium configuration.  $P_{NB}^{th}$  is scaled as  $n_e^{0.5} B_T^{1.0}$  in the region  $\bar{n}_e \geq 1.5 \times 10^{19} \text{ m}^{-3}$ . This is congenial to the  $n_e^{0.75} B_T S$  scaling produced by the ITER H-mode database working group. Thus, the quality of database having been remarkably improved,  $I_p$  dependence of  $P_{NB}^{th}$  was not resolved.

We have clearly documented that the low density limit for the H-mode transition does exist, and it can be scaled with  $q_{eff}$  or the edge neutral density. Therefore, it was suggested that the density limit can be machine dependent. We have also shown that increase of the edge



neutral density reduces the value of ion collisionality required to induce the transition, which significantly increase the necessary NB heating power. Above results makes us deduce that the ion collisionality is not the sole necessary condition for the H-mode transition, and we are urged to seek for the full set of criteria.

## Acknowledgments

One of the authors (TF) is grateful to Dr. K. Shaing for the stimulating discussions.

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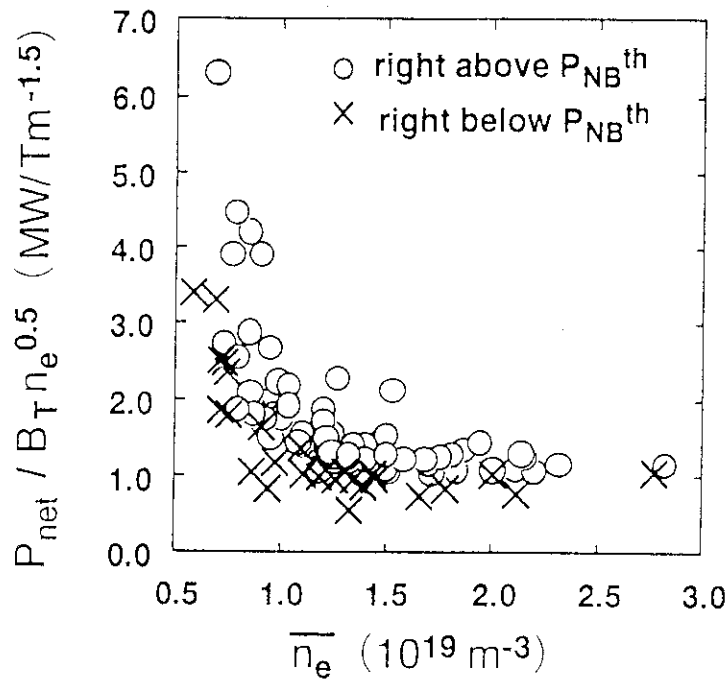


Fig. 7.1 Relation between  $P_{\text{net}}/B_T n_e^{0.5}$  and  $\bar{n}_e$ .  $P_{\text{NB}}^{\text{th}}$  increases significantly in the region below  $\bar{n}_e = 1.5 \times 10^{19} \text{ m}^{-3}$ . Circles indicate the data right before the transition, and crosses represent the data at the very end of the previous NB power step; namely right above and below  $P_{\text{NB}}^{\text{th}}$ , respectively.

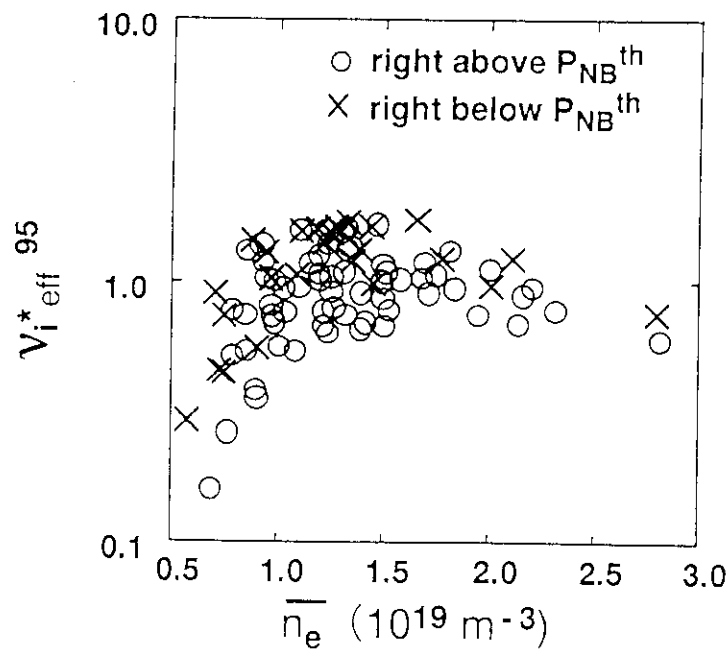


Fig. 7.2 Relation between  $v_i^* \text{eff}^{95}$  and  $\bar{n}_e$ .  $v_i^* \text{eff}^{95}$  is concomitantly reduced in the region below  $\bar{n}_e = 1.5 \times 10^{19} \text{ m}^{-3}$ . Symbols are the same as in Fig. 7.1.

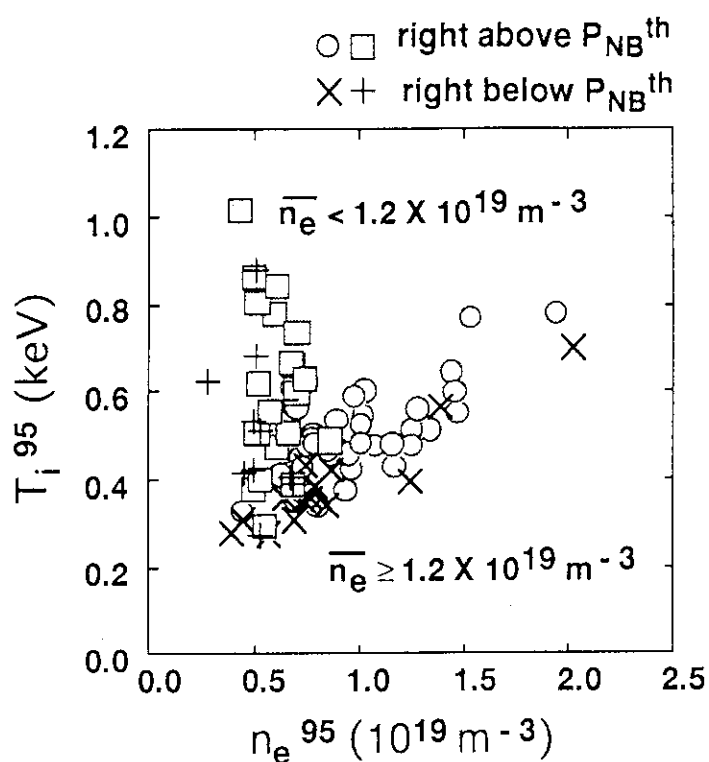


Fig. 7.3 Relation between  $T_i^{95}$  and  $n_e^{95}$  for  $\bar{n}_e$  over and below  $1.2 \times 10^{19} \text{ m}^{-3}$ . Here, data were restricted to  $q_{\text{eff}} (= q^{95}/0.95) < 8$ .

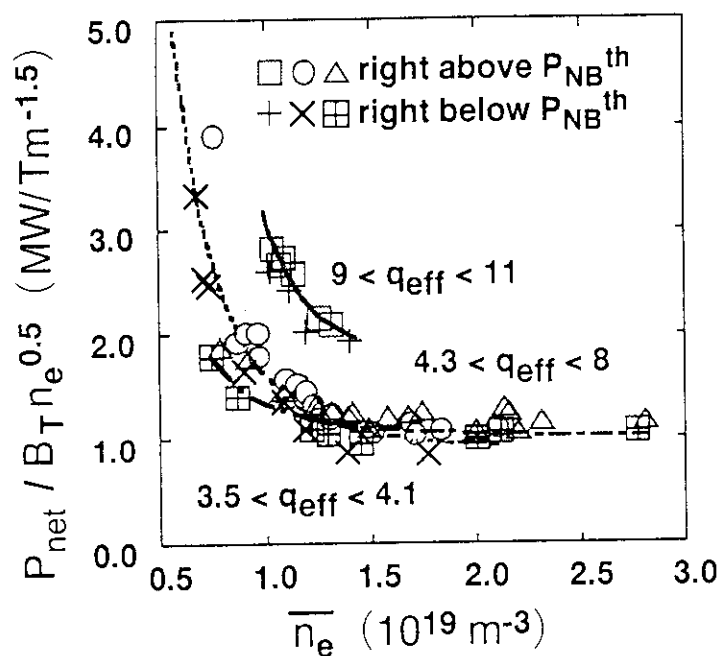


Fig. 7.4 Relation between  $P_{\text{net}}/B_T n_e^{0.5}$  and  $\bar{n}_e$  for various  $q_{\text{eff}}$  values; (1)  $3.6 < q_{\text{eff}} < 4.0$ , (2)  $4.3 < q_{\text{eff}} < 7.7$  and (3)  $9.1 < q_{\text{eff}} < 11$ .

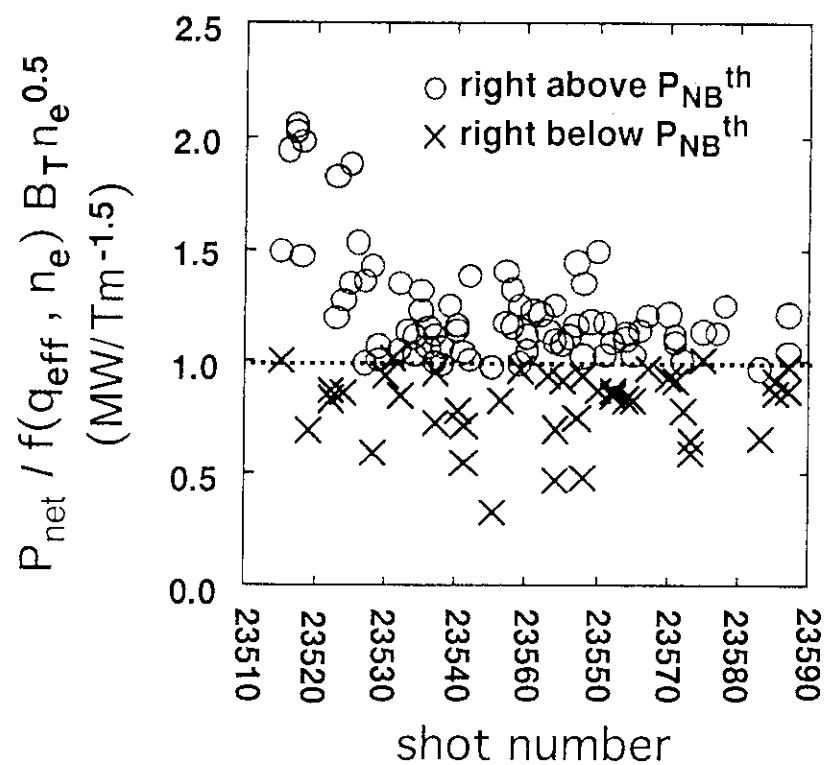


Fig. 7.5 Boundaries of  $P_{\text{net}}$  divided by  $B_T n_e^{0.5} f(\bar{n}_e, q_{\text{eff}})$ . The whole data, regardless of the ranges of  $\bar{n}_e$  and  $q_{\text{eff}}$ , are fitted by the  $n_e^{0.5} B_T^{1.0}$  scaling.

## 8. Effect of Edge Neutrals on the Condition of H-mode Transition in JT-60U

Katsuhiko TSUCHIYA, Hidenobu TAKENAGA, Takeshi FUKUDA, Yutaka KAMADA, Shinichi ISHIDA, Masayasu SATO, Tomonori TAKIZUKA

### 8.1 Introduction

H-mode transition in JT-60U was intensively investigated for the understandings of mutual relationship between the global parameters; such as the net heating power, electron density, plasma current and toroidal field strength, and the edge local quantity, as represented by the ion collisionality. The features of H-mode transition were mainly studied in the past in terms of the effective edge ion collisionality ( $\nu_i^*_{\text{eff}}$ ), where the impurity effect was included [8.1]. It is theoretically suggested by Shaing and Crume [8.2] that this quantity is related to the orbit excursion of edge ions which induces the radial electric field  $E_r$ , and the H-mode transition takes place under the condition that  $\nu_i^*$  is around unity.

It has been found in JT-60U, however, that the L-mode phase can persist even at  $\nu_i^*_{\text{eff}}$  much less than unity. This phenomena was often observed when the intensity of  $D_\alpha$  line and the amount of charge-exchange neutral flux were relatively large. Therefore, we have speculated that the edge neutrals affect the criteria of H-mode transition, and calculated the neutral particle density near the separatrix by using the DEGAS code [8.3]. Influence of the neutral particles on the transition criteria has also been disputed as an urgent issue of investigation in the ITER physics R&D tasks.

Experiments for the detailed investigation of H-mode transition power threshold were recently carried out [8.4], in a way that repetitive tokamak pulses were produced with fixed equilibrium configuration, and only the plasma current, toroidal magnetic field and the electron density were varied. Analysis of the neutral density was carried out on the database obtained in the series of experiments described above.

### 8.2 The estimation method of edge neutral density

The edge neutral density is estimated by the Monte-Carlo code DEGAS. The flow chart of calculation is shown in Fig. 8.1. The plasma equilibrium configuration was calculated with the FBEQU code, and its output parameters were used in DEGAS. Edge plasma parameters, were calculated with the two-dimensional divertor code based on a simple model [8.5], to which we apply the measured data by Langmuir probe array on the divertor plates. The

absolute value of neutral density was adjusted to be consistent with the  $D\alpha$  intensity. The lines of sight for this measurement are shown in Fig. 8.2. Averaging the calculated density over the poloidal direction on the surface of  $r/a = \text{constant}$ ,  $n_0(r/a)$  was evaluated. The edge neutral density ( $n_0^{95}$ ) used hereafter is defined by averaging  $n_0(r/a)$  from  $r/a=0.95$  to 1.0 (i.e. LCFS), in order to reduce the Monte Carlo calculation noise.

### 8.3 Results and discussion

Database for the threshold power experiments consists of discharges with  $I_p=0.9\text{--}2.4$  MA and  $B_T=1.5\text{--}4.0$  T [8.4]. Figure 8.3 shows the waveforms of a typical discharge included in the analysis database. H-mode transition occurs at  $t = 8.17$  sec in this particular pulse. During the series of these experiments, the plasma configuration was kept fixed, and the injection NB power was varied stepwise in time. Figure 8.4 shows the averaged electron density ( $n_e^{\text{av}}$ ) dependence of  $v_i^* \text{eff}$  which is defined as  $v_i^* \text{eff} = (n_e Z_{\text{eff}} / n_i Z_i^2) (v_{ii} qR / \epsilon^{3/2} v_{\text{th}})$ . The ion collision frequency is  $v_{ii} = 16 \sqrt{\pi} n_i e^4 \ln \Lambda Z_i^4 / 3 \epsilon (m) (2T)^{3/2}$ , and the ion thermal speed is  $v_{\text{th}} = \sqrt{2T/m}$ , where  $m$  and  $T$  are ion mass and ion temperature, respectively.  $\epsilon$  is the inverse aspect ratio ( $= a/R$ ).  $Z_i$  is the charge number of impurity which is assumed to be 6. The circles indicate the data right before H-transition, and the crosses are for the one NB power-step prior to the H-mode transition. The  $n_e^{\text{av}}$  dependence of  $v_i^* \text{eff}$  is constant in the case of  $n_e^{\text{av}} > 1.2 \times 10^{19} \text{ m}^{-3}$ . Under the condition of low density (less than  $1.2 \times 10^{19} \text{ m}^{-3}$ ),  $v_i^* \text{eff}$  shows the significant decrease in regard to the plasma density. This dependence indicates that decrease of the density is directly related to the deteriorated accessibility to the H-mode. In this case, the heating power to attain the H-mode significantly soared. Therefore, the edge ion temperature right before the transition was considerably larger than the case of  $n_e > 1.2 \times 10^{19} \text{ m}^{-3}$ , which is consistent with the reduced edge ion collisionality [8.4]. Figure 8.5 shows the dependence of  $n_0^{95}$  on  $n_e^{95}$  for a part of data shown in Fig. 8.4.  $n_e^{95}$  stands for the electron density at  $r/a = 0.95$ . The  $n_e^{95}$  dependence of  $n_0^{95}$  was not clear. However, it is noteworthy that the values of  $n_0^{95}$  at the beginning phase of the series of experiment are larger than the others at the same value of  $n_e^{95}$ . This is also consistent with the experimental evidence that the threshold power for the H-mode transition gradually decreases as the first wall is conditioned with the repetitive tokamak discharges [8.4].

Figure 8.6 shows the correlation of  $v_i^* \text{eff}$  and  $n_0^{95}/n_e^{95}$  for the same data as Fig. 8.5. It can be seen that  $v_i^* \text{eff}$  at the transition tends to decrease with the increase of  $n_0^{95}/n_e^{95}$ . In addition, both L- and H-mode phases are clearly separated on this plane. Therefore, the edge neutral density affects the increase of threshold power in the range of low density. The edge neutrals have a key role of the condition of H-transition.

## 8.4 Summary

Effect of neutral density on the H-mode transition was experimentally studied in JT-60U. The neutral density near the separatrix was evaluated with the DEGAS code. We have obtained the result which indicates strong correlation between  $v_{i*eff}$  and  $n_0^{95}/n_e^{95}$ . This means that the edge neutrals have a substantial influence on the condition of H-mode transition in the low density plasmas.

## Acknowledgement

The authors would like to thank the support of the JT-60 team for their contributions and for the beneficial discussions, in particular Drs. M. Mori, K. Shimizu and N. Asakura.

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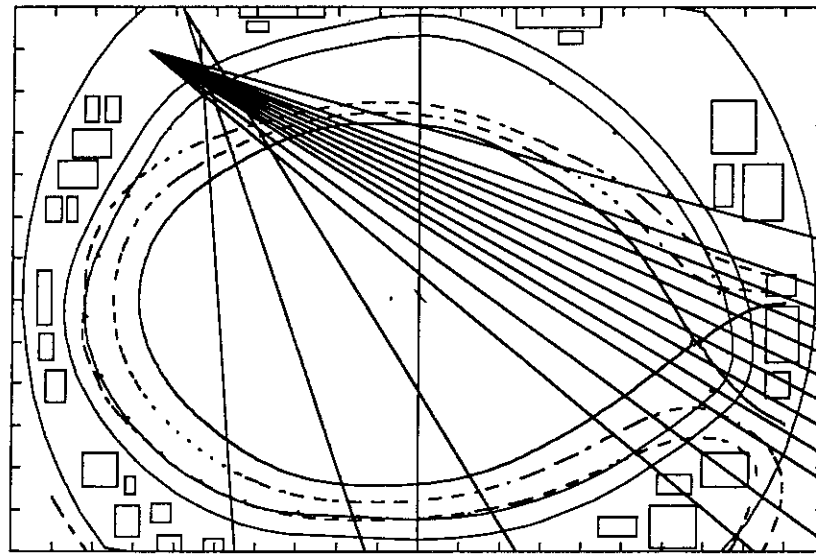


Fig. 8.2 Lines of sights for  $D\alpha$  measurement.

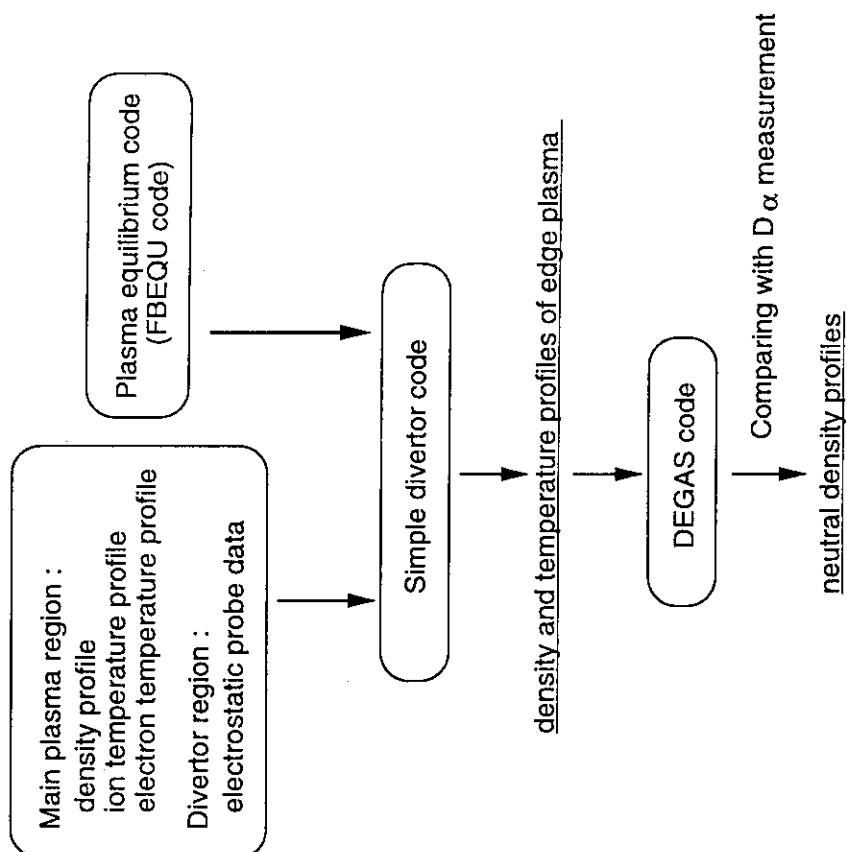


Fig. 8.1 Flow chart of the neutral density calculation.



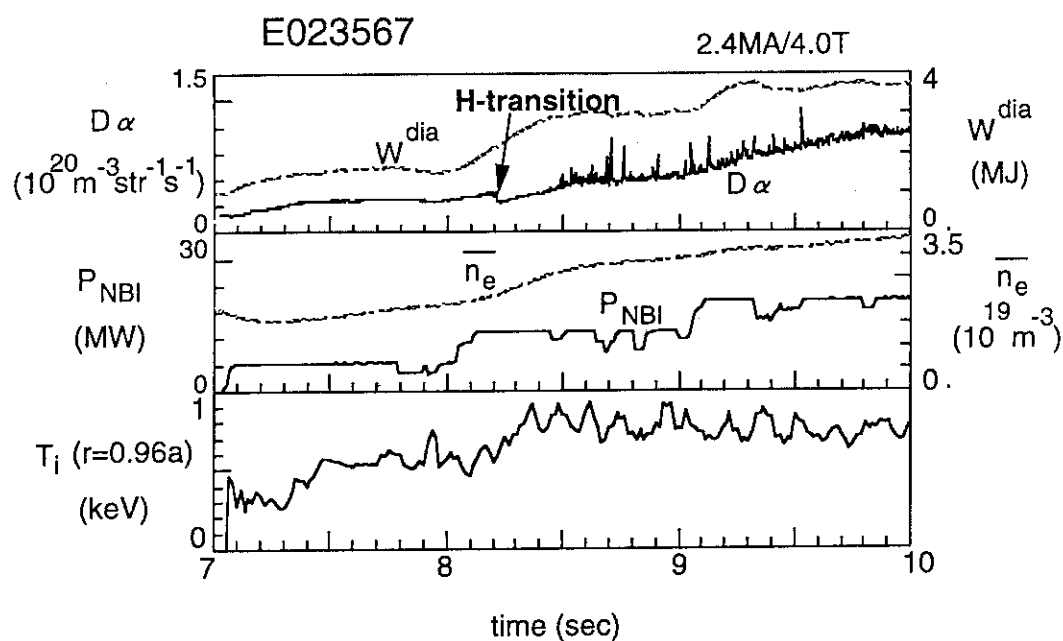


Fig. 8.3 Traces of a typical shot on the discharge with  $I_p = 2.4\text{MA}$  and  $B_T = 4.0\text{T}$ .

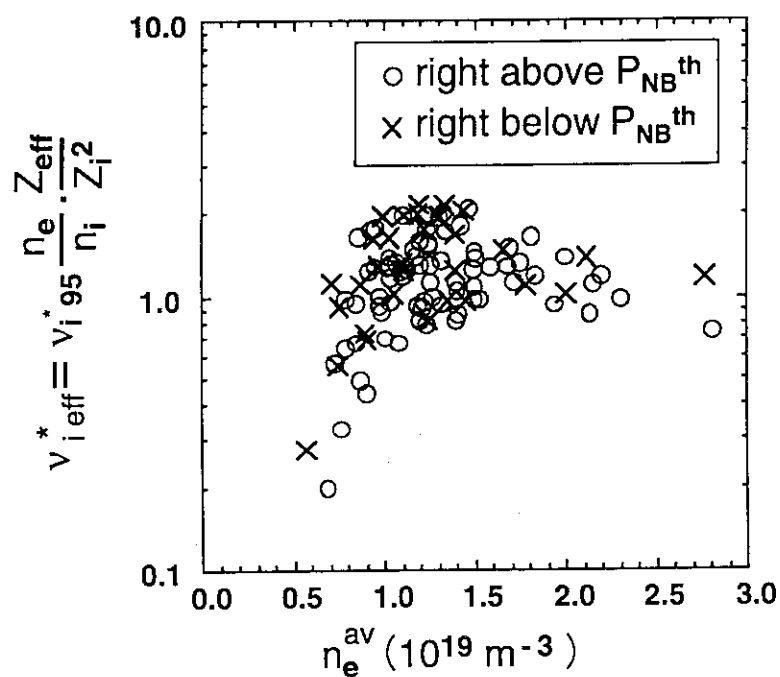


Fig. 8.4 Electron density dependence of  $v_i^*_{\text{eff}}$  for the data of discharges with  $I_p = 0.9\text{-}2.4\text{MA}$  and  $B_T = 1.5\text{-}4.0\text{T}$ .

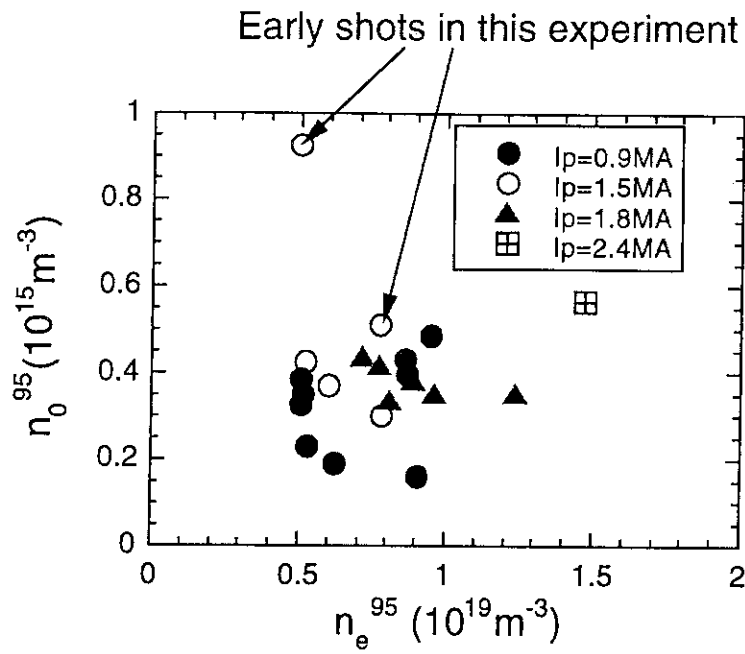


Fig.8.5 Dependence of  $n_0^{95}$  on  $n_e^{95}$  for a part of the same data as Fig. 8.4.

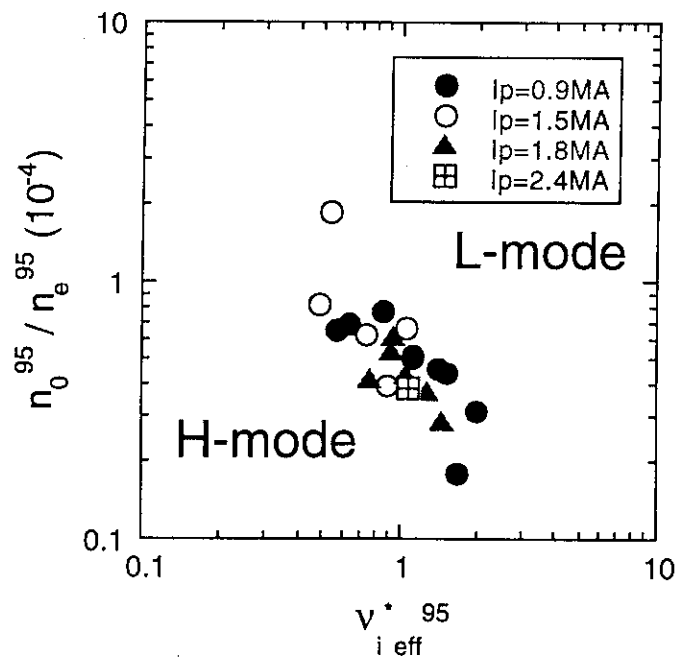


Fig. 8.6 Correlation of  $v_i^* \text{eff}$  and  $n_0^{95}/n_e^{95}$  for the same data as Fig. 8.5.

## 9. H-L Back Transition in JT-60U

Hideobu TAKENAGA, Takeshi FUKUDA, Yutaka KAMADA, Katsuhiko TSUCHIYA

Back transition phenomenon from H-mode to L-mode is crucial for understanding physical mechanisms improving confinement properties and operating regimes of H-mode plasmas. Thus, it is an urgent task for ITER R&D to establish a data base of the threshold power for the H-L back transition. In JT-60U, the H-L back transition was observed in the several cases, and here, we discussed the properties of three typical H-L back transitions. These occurred 1) after the end of the NB heating phase (case 1), 2) during the density increasing phase due to strong gas-puffing (case 2) and 3) when the wall conditioning was insufficient (case 3), which is related to the high recycling factor and/or a large amount of the impurities generation at the first wall and the divertor plates.

Figure 9.1 shows typical time traces for case 1 of averaged electron density ( $n_e$ ), stored energy ( $W_{dia}$ ), NB input power ( $P_{NBI}$ ) and  $D_a$  emission intensity observed using a chord viewing the divertor region. In this discharge, plasma current ( $I_p$ ) was 0.9MA, magnetic field ( $B_T$ ) was 2T and NB heating was applied during  $t=8.6-10.1s$ . Violent ELM activity appeared at  $t=8.9s$ , and continued until  $t=10.3s$  although the NB heating phase had been terminated at  $t=10.1s$ . The H-L back transition occurred at 200ms after the end of the NB heating phase. In the NB heating phase, the energy confinement time was estimated to be 160ms at  $t=9.8s$ . The delay time of the H-L back transition from the end of the NB heating phase was comparable to the energy confinement time. This delay time might be thought to correspond to the decay time of the ion temperature at the plasma edge, because the energy confinement time decide the decay time of the edge ion temperature when the NB heating power depositing in the central region was terminated. It has been reported that the edge ion temperature is a key parameter for the L-H transition [9.1], and also, above results suggest that the edge ion temperature plays an important role for the H-L back transition.

In Fig. 9.2, typical time traces for case 2 ( $I_p=1.2MA$ ,  $B_T=2T$ ) of  $n_e$ ,  $W_{dia}$ ,  $P_{NBI}$ , amount of gas-puffing and  $D_a$  emission intensity were shown. In this discharge, the amount of gas-puffing was controlled with a feed back algorithm using the measured average electron density. It is noted that  $D_a$  emission intensity in this discharge was much larger than that in the other two discharges. ELM activity started just after main NB heating was applied and gas-puffing was applied during  $t=8.9-10s$ . The value of  $n_e$  and the base intensity of the  $D_a$  emission increased with the amount of gas-puffing and ELM became inactive gradually during  $t=9.0-9.2s$ . Then, ELM disappears and  $n_e$  was kept constant in spite of increasing of the amount of gas-puffing, in contrast,  $D_a$  emission intensity increased. The enhancement factor

over the ITER-89P L-mode scaling decreased from 1.3 at  $t=8.6\text{s}$  to 0.95 at  $t=9.6\text{s}$ . These results indicate the occurrence of the L-H back transition, however, it can be seen from Fig. 9.2 that this case does not have a clear H-L back transition. The theory was proposed where the transition becomes a soft type transition under the high neutral particle density condition [9.2]. This case is consistent with this theory, because the neutral particle density had to be high due to the strong gas-puffing as shown by the large intensity of  $D_\alpha$  emission.

Figure 9.3 shows typical time traces for case 3 ( $I_p=1.5\text{MA}$ ,  $B_T=2.5\text{T}$ ) of  $n_e$ ,  $W_{dia}$ ,  $P_{NBI}$  and  $D_\alpha$  emission intensity. In this case, the L-H transition and the H-L back transition occurred repeatedly. As described before, this case was usually observed when wall conditioning was insufficient, which is related to the high recycling factor and a large amount of impurities generation. Therefore, these phenomena were discussed from the viewpoint of the change of the effective ion collisionality including the effect of impurities and the change of the neutral particle density [9.3].

In the present work, the study of the H-L back transition was limited qualitatively. In order to identify the mechanisms which decide the condition of the H-L back transition, it is necessary to accumulate a data base of the parameters influencing the transition such as NB heating power, the edge ion temperature and the neutral particle density, quantitatively.

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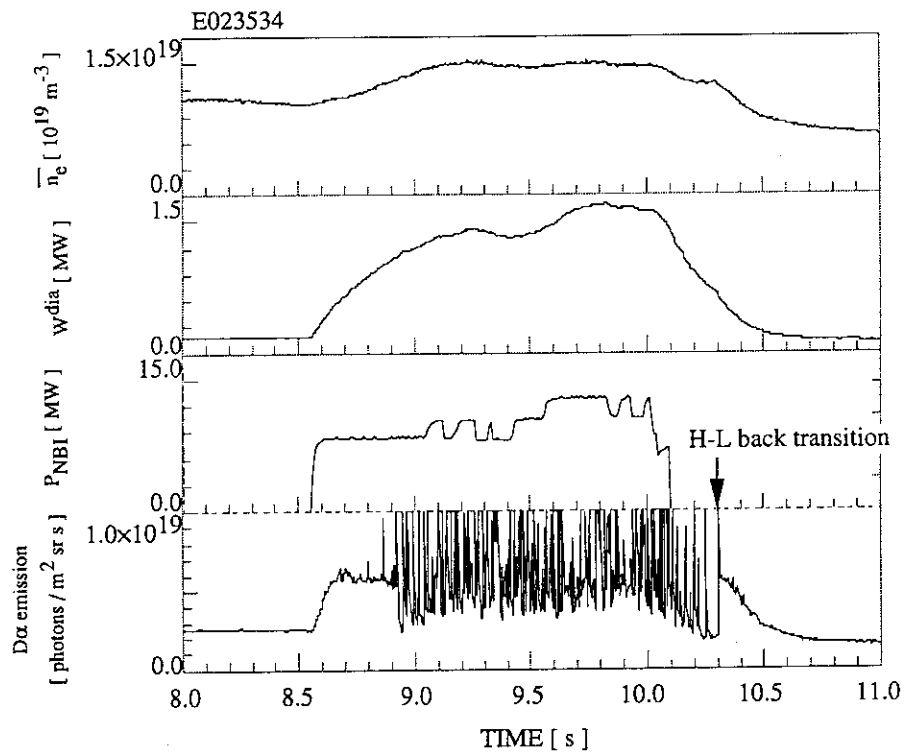


Fig. 9.1 Typical time traces for case 1

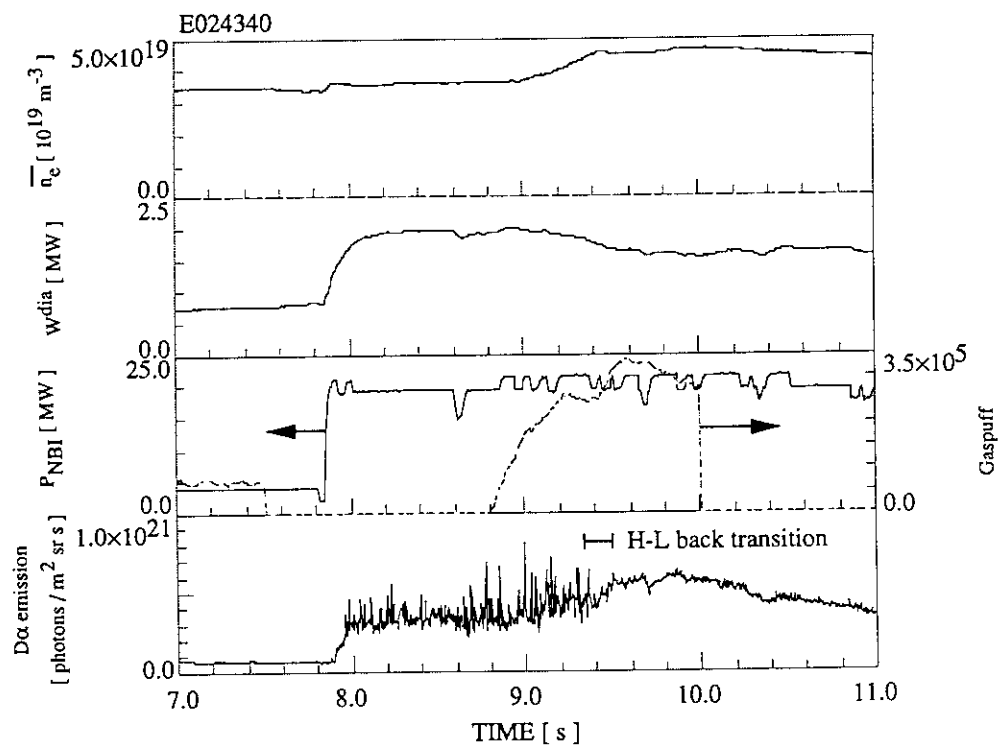


Fig. 9.2 Typical time traces for case 2

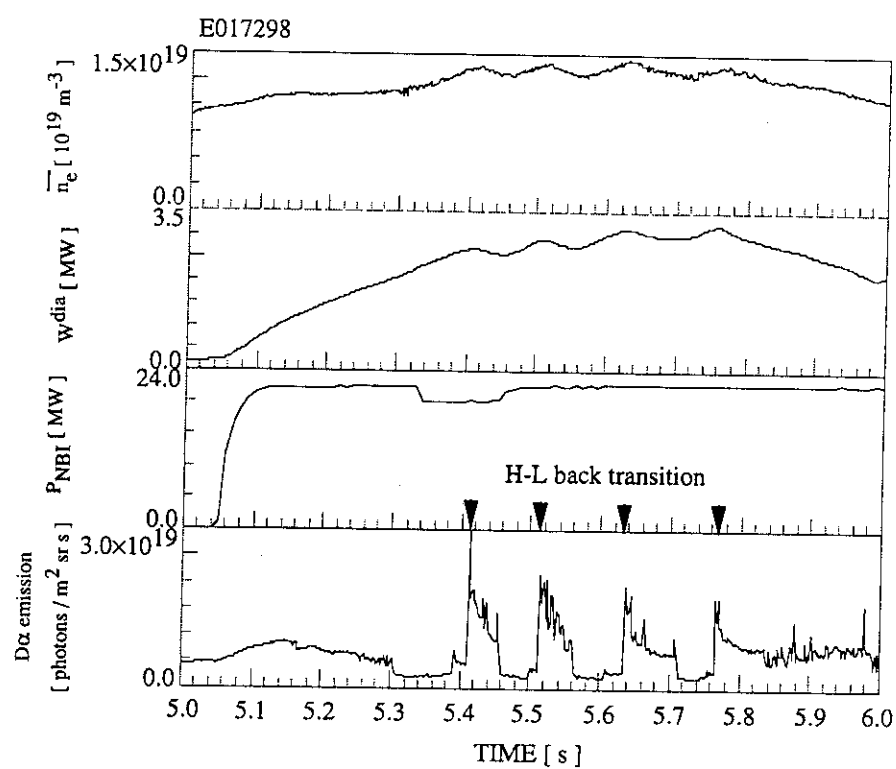


Fig. 9.3 Typical time traces for case 3

## 10. Onset Condition of ELMs in JT-60U

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### 10.1 Introduction

The ELMy H-mode is the candidate for the steady-state operational mode in the tokamak fusion reactors. Therefore control of the ELM behavior is one of the most important issues in sustainment of the highly integrated plasma performance. There are three types of ELMs observed in JT-60U (see below), out of which this paper concentrates on the giant ELMs. This is because appearance of the giant ELMs limits the achievable edge density and pressure and also tends to restrict the core plasma performance through the profile effects on stability and transport. For H-mode plasmas with broad pressure profiles, the edge pressure limit directly determines the global  $\beta_N$  limit [10.1]. The edge pressure limit also affects the ELM frequency  $f_{ELM}$ : The low pressure limit increases  $f_{ELM}$  at a given heating power and results in poor confinement in a ELMing steady-state. To contribute to establish the control scenario of the ELM behaviors, this paper identifies the onset conditions of the giant ELMs and emphasizes the effects of plasma shape such as triangularity  $\delta$  and elongation  $\kappa$ .

For the typical shapes of JT-60U plasmas with  $\delta \sim 0.1$ , two types of ELMs have been observed. The first is the dithering ELM, which appears when the heating power  $P_{net}$  is around the threshold power for the L-H transition. The second is the giant ELM observed when the edge pressure reaches a critical value. When both  $\delta$  and  $\beta_p$  are high ( $\delta > \sim 0.3$ ,  $\beta_p > \sim 2$ ), the third type of ELM is observed; the wave form is minute-grassy and the edge  $n_e$ ,  $T_i$  and  $T_e$  can continuously increase during the grassy ELMs. The behaviors of the three ELMs are similar to those observed in DIII-D [10.2] and have different dependence of  $f_{ELM}$  on  $P_{net}$ . With increasing  $P_{net}$ , dithering ELM appears,  $f_{ELM-dither}$  decreases quickly, then giant ELM appears and  $f_{ELM-giant}$  increases almost linearly with  $P_{net}$  [10.3]. With decreasing  $B_t^2/(Rq_{eff}^2)$ , decreasing  $l_i$  or increasing  $n_e$ ,  $f_{ELM-giant}$  increases. The minute-grassy ELMs have higher  $f_{ELM}$  than giant ELMs and no clear dependence on  $P_{net}$  has been observed.

### 10.2 Effects of plasma shape on the onset conditions of the giant ELMs

In JT-60U, an ELM-free phase appears only when  $\bar{n}_e$  is lower than a threshold value  $\bar{n}_e^{th}$ , which increases with  $I_p$  or  $B_t^2/(Rq_{eff}^2)$  (a measure for the ballooning stability) [10.3]. Since edge  $n_e$  and edge  $T_i$  &  $T_e$  are roughly proportional in JT-60U, the onset condition of the giant ELM is given by a function of edge  $n_e$  or edge pressure. The values of  $\bar{n}_e^{th}$  are  $\sim 1 \times 10^{19} m^{-3}$  at

$I_p=1\text{MA}$  and  $\sim 3\text{--}4 \times 10^{19}\text{m}^{-3}$  at 4MA. To obtain high plasma performance at higher  $n_e$  we studied the effects of plasma shape. Recently a new connection of poloidal field coils (now limited at  $I_p < 1.2\text{MA}$ ) enabled us to scan triangularity  $\delta$  from the original value of  $\sim 0.1$  to  $\delta \sim 0.4$  and also down to a negative  $\delta \sim -0.06$ . Figures 10.1 a)-c) compare the typical shapes at  $I_p=1\text{MA}$  and d) indicates the operation region of  $\delta$  and elongation  $\kappa$  for the ELM study: the  $\delta$ -scan at fixed  $\kappa$ , the  $\kappa$ -scan at fixed  $\delta$  and negative  $\delta$ .

Figure 10.2 shows the results at  $I_p=1\text{MA}$  with  $(\delta, \kappa) \sim (-0.05, 1.55)$ ,  $(0.08, 1.6)$  and  $(0.33, 1.4)$ . In Figs. 10.2 a) and b), higher  $\delta$  has higher  $\bar{n}_e$  at the first giant ELM. In addition, the ELM free period (from H-transition to the first giant ELM) was increased from  $\sim 0.05$  sec to 0.9 sec. (Note: The length of ELM-free period is a rough measure of improvement, because the length is a function of  $n_e$ -rise affected by NB power, recycling, etc.) Figures 10.2 (c) and (d) show that edge  $\bar{n}_e$  and edge temperatures at the onset of the giant ELMs increase with  $\delta$  and their improvement from  $\delta \sim 0.08$  to  $\delta \sim 0.33$  are 30-40% ( $\bar{n}_e$ ) and  $\sim 80\%$  ( $T_e(95\%)$  and  $T_i(95\%)$ ). The edge pressure limit ( $n\chi T$ ) increases roughly linearly with  $\delta$ .

The onset condition of giant ELMs is given by a clear proportionality  $\text{grad-}p^{\text{th}}_{\text{edge}} \sim B_t^2/(Rq_{\text{eff}}^2)$  over wide ranges of  $I_p$  (0.4-4MA) and  $B_t$  (1-4T) (Fig. 10.3(b)), where  $p^{\text{th}}_{\text{edge}}$  is a measure of thermal pressure given by  $\bar{n}_e(\sim 0.7a) \times (fT_i(95\%) + T_e(95\%))$  and  $f$  is a function of  $Z_{\text{eff}}$ . The pressure gradient parameter  $\text{grad-}p^{\text{th}}_{\text{edge}}$  is given by  $p^{\text{th}}_{\text{edge}} / (T_i(95\%)^{0.5}/B_p(a))$ . Here we assume the width of the edge pressure pedestal is proportional to the poloidal gyro radius of thermal ions  $\rho_i \sim T_i(95\%)^{0.5}/B_p(a)$  based on experiment [10.4]. The normalized pressure gradient  $\alpha = (\text{grad-}p^{\text{th}}_{\text{edge}}) / (B_t^2/(Rq_{\text{eff}}^2))$  (tangent in Fig. 10.3(b); proportional to the  $\alpha$  parameter used in the high- $n$  ballooning analyses) is almost constant over a wide range of  $q_{\text{eff}}=3\text{--}10$  at low- $\delta$  (Fig. 10.3(c) open circles). This result suggests the giant ELM is triggered by high- $n$  ballooning mode. A time dependent analysis including bootstrap current supports this result [10.5]. Whereas, high- $\delta$  plasmas (closed circles) have higher values of  $\alpha$ . In Fig. 10.4(a), the  $\alpha$ -parameter increases with  $\delta$ , which is clear for the  $\delta$ -scan at fixed  $\kappa$  (closed circle). This tendency may saturate around  $\delta \sim 0$ , because data with  $\delta \sim 0.08$  and  $-0.05$  at  $\kappa \sim 1.5$  have similar values of  $\alpha$  (see Figs. 10.4(a) and 10.5(a)). Another important result is that the  $\beta_N$  value at the onset of giant ELM increases with  $\delta$  (Fig. 10.4(b)). In JT-60U H-mode at  $\delta \sim 0.1$ ,  $\beta_N$  was lower than 1.8 because of giant ELMs [10.1]. The results obtained here shows that the H-mode performance in JT-60U is increasing with  $\delta$ . Figure 10.5(a) shows the  $\alpha$ -value also tends to increase with  $\kappa$  ( $\kappa=1.5\text{--}1.8$ ) (open circles:  $\delta \sim 0.1$ ). Finally, at the fixed  $\kappa$  ( $=1.5\text{--}1.6$ ) and  $\delta$  ( $=0.06\text{--}0.13$ ) the  $\alpha$ -value increases with  $l_i$  (Fig. 10.5(b)), which indicates the giant ELMs in this  $(\kappa, \delta)$  region is related to the high- $n$  ballooning mode in the first stability region.

We simply assumed the width of the edge pedestal is proportional to  $\rho_i$ , which should be studied in detail. The width for the high  $\delta$  and low  $\delta$  plasmas measured so far seems almost the same at a given  $B_p(a)$ . Another issue is the contribution of the fast ion pressure gradient. For the



effects of current profile, the region of  $I_i$  in the high  $\delta$  ( $>0.3$ ) cases in Fig. 10.4 is 0.8-1.6 and almost in the same range of low- $\delta$  cases. The study on edge shear at high- $\delta$  is our future work including the access to the second stability regime.

### 10.3 Conclusion

For the standard shape of JT-60U at low triangularity  $\delta$  ( $\sim 0.1$ ), onset condition of the giant ELMs is clearly correlated with the high- $n$  ballooning limit in the first stability regime over wide ranges of plasma parameters ( $I_p$ ,  $B_t$ ,  $q_{\text{eff}}$ ). The edge pressure limit increases with elongation  $\kappa$  (1.5-1.8) and internal inductance  $I_i$ . The  $\delta$ -scan ( $-0.05 \sim 0.4$ ) showed that the limit of edge density, edge pressure and edge pressure gradient increases with  $\delta$ .

**Acknowledgement.** The authors gratefully acknowledge contribution by Dr. T. S. Taylor to establish the high triangularity shape in JT-60U.

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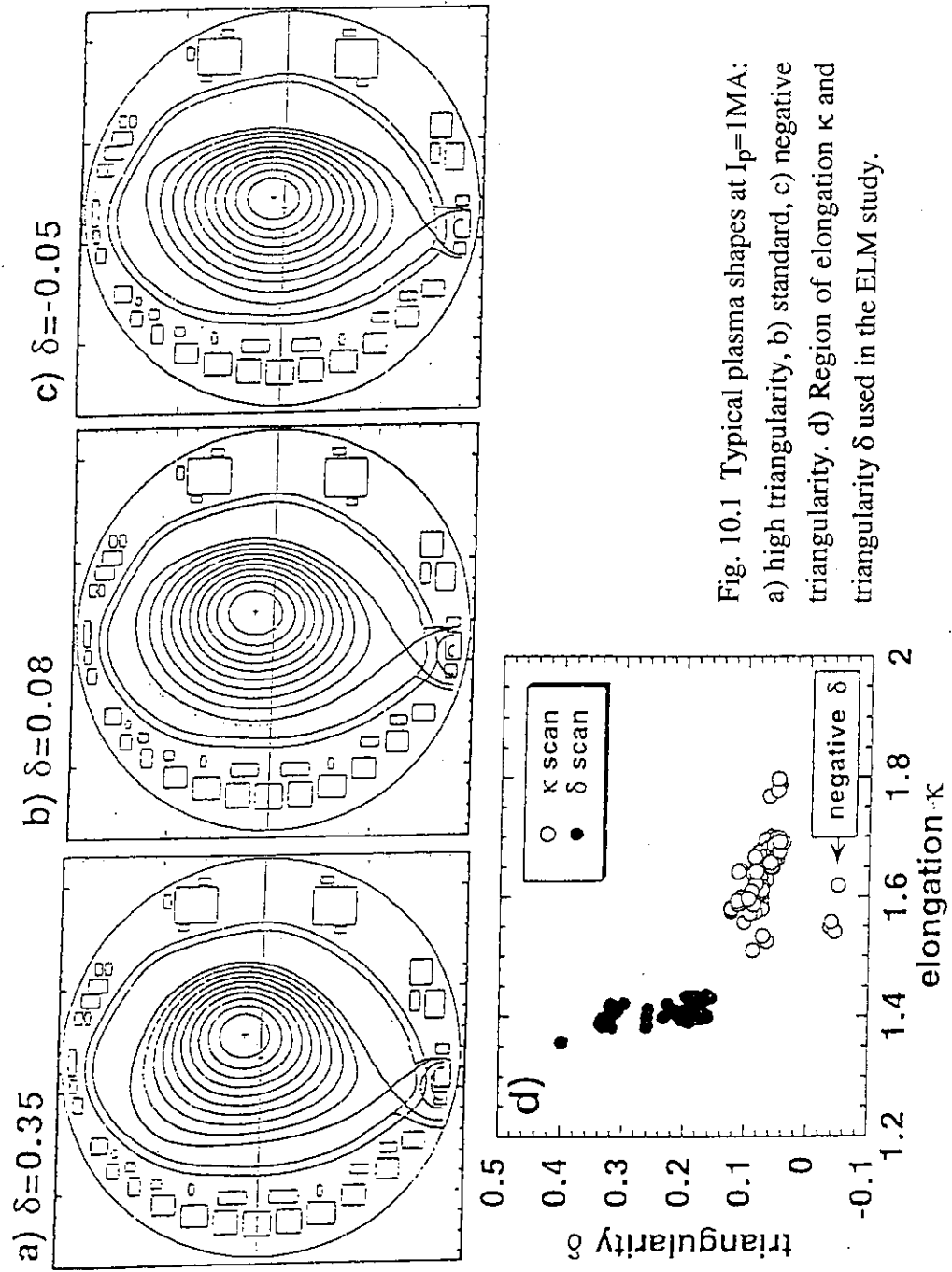


Fig. 10.1 Typical plasma shapes at  $I_p=1\text{MA}$ :  
 a) high triangularity, b) standard, c) negative triangularity. d) Region of elongation  $\kappa$  and triangularity  $\delta$  used in the ELM study.

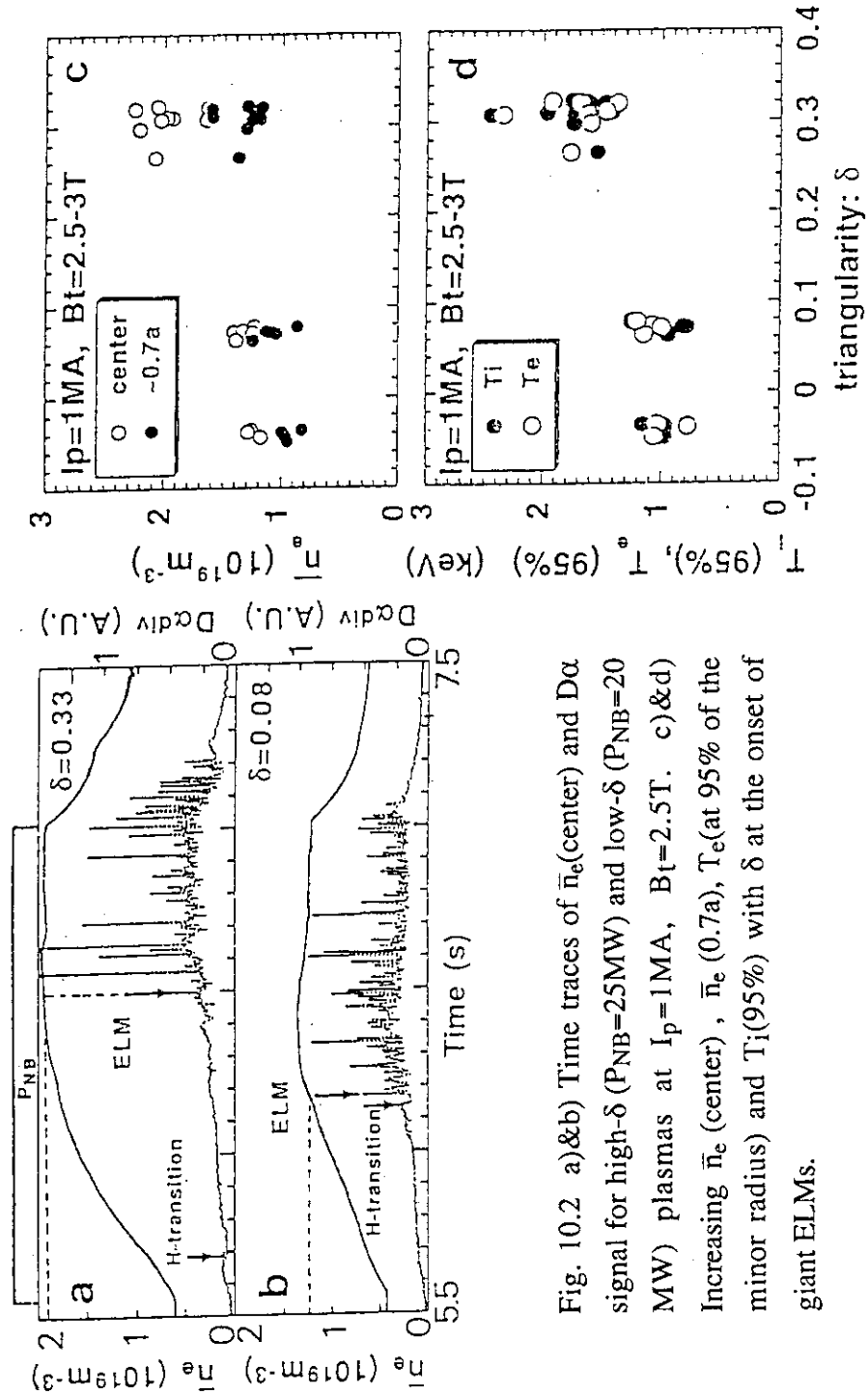


Fig. 10.2 a)&b) Time traces of  $\bar{n}_e$ (center) and  $D\alpha$  signal for high- $\delta$  (PNB=25MW) and low- $\delta$  (PNB=20 MW) plasmas at  $I_p=1\text{MA}$ ,  $B_t=2.5\text{T}$ . c)&d) Increasing  $\bar{n}_e$  (center),  $\bar{n}_e$  (0.7a),  $T_e$ (at 95% of the minor radius) and  $T_i$ (95%) with  $\delta$  at the onset of giant ELMs.

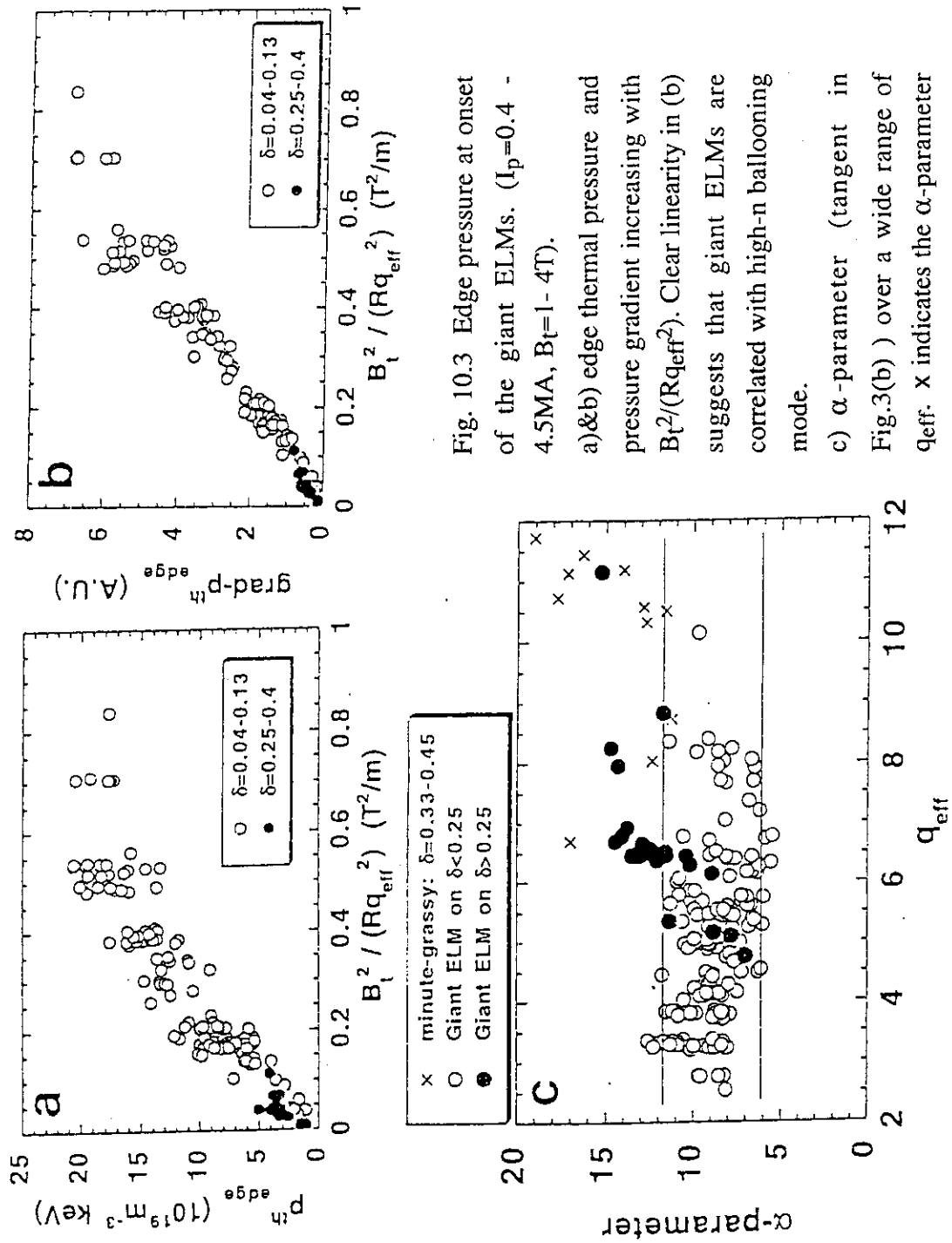
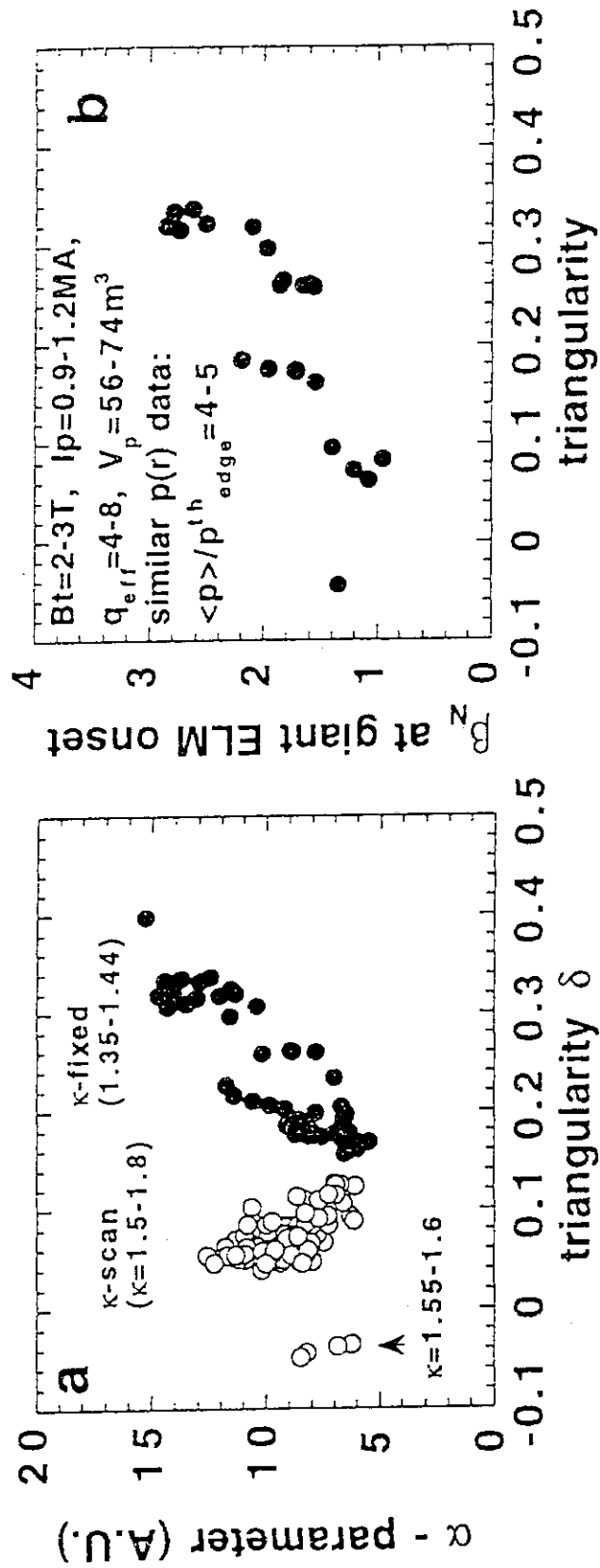


Fig. 10.3 Edge pressure at onset of the giant ELMs. ( $I_p=0.4 - 4.5MA$ ,  $B_t=1-4T$ ).

a)&b) edge thermal pressure and pressure gradient increasing with  $B_t^2/(Rq_{eff}^2)$ . Clear linearity in (b) suggests that giant ELMs are correlated with high-n ballooning mode.

c)  $\alpha$ -parameter (tangent in Fig.3(b) ) over a wide range of  $q_{eff}$ . x indicates the  $\alpha$ -parameter during minute grassy ELMs.

Fig. 10.4 Dependence of  $\alpha$ -parameter and  $\beta_N$  on triangularity at onset of giant ELMs

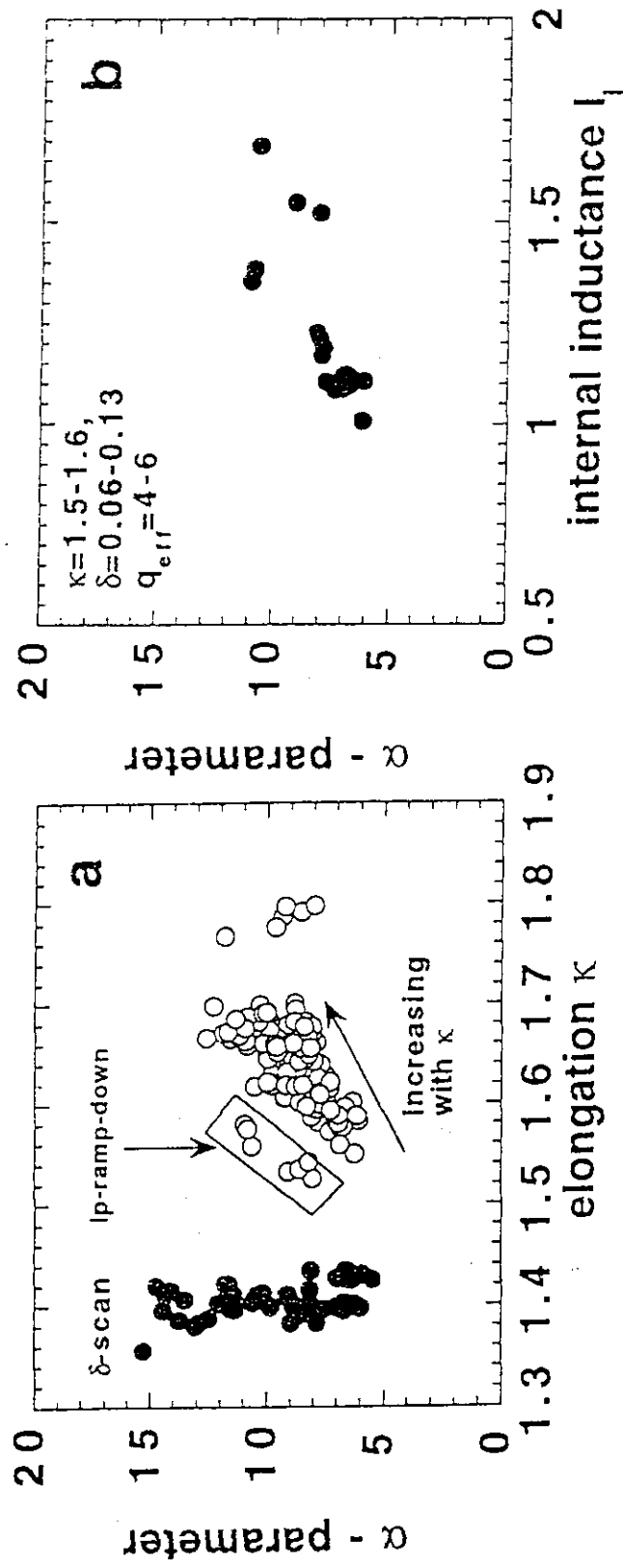


Fig. 10.5 Dependence of  $\alpha$ -parameter on elongation and  $I_i$  at onset of giant ELMs